



COAL INDIA LIMITED

CONTRACT MANAGEMENT MANUAL- 2023

CHAPTER-6

**HIRING OF EQUIPMENT FOR REMOVAL OF
OVERBURDEN & EXTRACTION OF COAL**

(Updated-May2023)



COAL INDIA LIMITED- CONTRACT MANAGEMENT MANUAL - 2023

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HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

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SECTION-01

(CONTRACT IDENTIFICATION)

1. Prepare Contract Identification Package: *Responsibility-Area GM/GM(Plg)/CTD*

Details of work to be given out on contract, item rate or percentage rate basis for a patch/area to facilitate extraction and transportation of coal / removal of overburden based on sanctioned Project or proposed Project to be taken up through hiring of equipment. The indicative estimated value of contracts for such work/ groups of work which will be given out as single contract shall be arrived at, as deliberated hereinafter.

2. Action to be initiated for preparation of the following: *Responsibility-Area GM/GM(Plg)/CTD*

- (a) Detailed scope of work.
- (b) Relevant drawings including detailed survey.
- (c) Relevant boring data.
- (d) Detailed specification.
- (e) Detailed cost estimate.
- (f) Examine the particulars and identify special characteristic of the work, if any, attracting special conditions for fixing eligibility criteria.

The Area, in association with CMPDIL Regional Institute / Planning Department if felt necessary, will prepare the estimate based on Schedule of Rates (if available) / Departmental analysis, if any / earlier accepted rate for Similar Works (keeping location, volumes etc. in mind). They will do a meticulous, detailed exercise to arrive at a reasonable estimate. It will be the responsibility of the Area / CMPDIL Regional Institute / Planning Department entrusted with this task to explain the basis of the estimates, if the bids are received at abnormally higher or lower prices. They will also estimate the cost that a Project might incur at different distinct stages of its progress.

3. Finalize Scope of Work, Items of Work with quantities thereof after detailed survey, cost estimate etc. in association with concerned Project / Area, concerned HOD at Corporate Head Qtr. and Regional Institute CMPDIL and send for approval of Competent Authority. *Responsibility-Area GM/GM(Plg)/CTD*

Note: Administrative approval for Tendering:

Administrative approval of the proposal to be obtained from the Tender Accepting Authority/ Tender Approving Authority. In case, the Tender Approving Authority is above CMD, the administrative approval of the proposal to be obtained from CMD, CIL/CMD Subsidiaries.

DoP as circulated by CIL/Subsidiary from time to time shall be followed.

4. The approval of estimate shall be obtained including all taxes along with maximum applicable Goods and Services Tax (GST) and the same shall be put to tender. It should be specifically mentioned in NIT that estimated value put to tender is inclusive of GST.



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SECTION-01

(CONTRACT IDENTIFICATION)

5. Receive approved Project Report/cost estimate including scope of work etc. as detailed at 2 & 3 above from Competent Authority duly vetted by Finance.
Responsibility- GM(Plg)/CTD

Note:

(a) Where the identified estimate package is a part of approved Project Report, approval should be obtained from:

concerned Area GM where the estimated cost is within his D.O.P.

Or

concerned Director (Tech.) in all cases where the estimated cost is beyond the D.O.P. of Area GM

(b) Where the identified estimate package is not a part of approved Project Report, approval should be obtained from:

concerned Area GM where the estimated cost is within his D.O.P.

Or

concerned Director (Tech.) where the estimated cost is within his D.O.P.

Or

CMD of the Company, in all cases irrespective of the value of the work, where the estimated cost is beyond the D.O.P of Area GM and concerned Director (Tech.). However, for cases where Committee of Functional Directors headed by Chairman/CMD is the approving authority, approval of estimate by CMD be brought to the knowledge of Approving Authority, during award.

Note: Not to be part of Tender Document

It is desirable that the time gap between the approval of estimate and floating of the tender is less than six months. In case of tendering is not done for six months or more from date of approval of estimate, the estimate shall be reviewed and fresh competent approval shall be obtained.

5. Send contract identification package with list of approved items of work to be given on contract. *Responsibility-GM(Plg)/CTD*

- i. Head of concerned Technical Department at Corporate Head Qtr.
- ii. Corporate Finance
- iii. GM of Area.
- iv. CMC Department

Note: Subsidiaries may follow its own system towards preparation of Contract Identification Package.

6. Prepare draft Bid covering: *Responsibility-CMS*

Part I –

- (a) Notice Inviting Bid in line with e-Procurement Guideline.
- (b) Instructions to Bidders.
- (c) Forms of bid and qualification information.
- (d) Conditions of Contract.
- (e) Guidelines on Debarment of firms from Bidding



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SECTION-01

(CONTRACT IDENTIFICATION)

(f) Any other documents.

Part II –

(a) Price Bid covering Bill of Quantities.

7. The percentage rate tender should be floated for Hiring of Equipment for Removal of Overburden and Extraction of Coal after adoption of Schedule of Rates (SOR) for estimate purpose.
8. Review and make additions/alterations of the above draft as at step 6 above, if any, depending on special features of a particular contract. *Responsibility-CMS*
9. Obtain approval of the Competent Authority for bid document along with NIT and publication/hosting. *Responsibility-CMS*
10. Arrange for maintaining a master copy of approved bid document and a master copy of the uploaded bid document hosted in the website. A register be maintained, which shall record bid notices/documents hosted. *Responsibility-CMS*



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SECTION-02

(PREPARATION & COMPILATION OF TENDER DOCUMENTS)

Approval of the Competent Authority for bid document along with NIT and publication/hosting. *Responsibility-CMS*

- (a) For normal works, Concerned Director shall be the Approving Authority for the bid document, where the bid document is prepared as per the guidelines of Manual. The list of equipment to be deployed for the Project for execution shall also be a part of approval.

In case of any variation, bid document shall be approved by CMD of the Subsidiary which shall be brought to the notice of Committee of Functional Directors headed by Chairman/CMD, during award.

The following system may be followed for publication of the NIT and Bid Documents in the Website and Govt. Portals.

- i. Tender for the approved and financially concurred estimates will be published on the e-Procurement portal by authorized executives of CIL/Subsidiary with Digital Signature Certificate (DSC). The authorized executive for this purpose will normally be the HoD of the concerned Tender Inviting Department.
- ii. The created tenders shall be published on the dedicated e-Procurement portal of CIL and the details will be mirrored in the Central Public Procurement Portal (<http://eprocure.gov.in>) of Govt. of India.
- iii. Publication of individual tenders in newspaper and other print media is dispensed with and one common window advertisement by CIL(HQ) and/or Subsidiary HQs may be published in national dailies, regional and local newspapers, once in a fortnight, indicating that all the tenders issued by CIL and its Subsidiaries for procurement of Goods, Works and Services are available on the above portals and that procurement is also done through GeM portal.

Publication of the following Common Window Advertisement in National dailies, regional and local newspapers on fortnight basis may be arranged and also arrange for scrolling of the same on the respective Subsidiary website.

“All the tenders issued by CIL and its Subsidiaries for procurement of Goods, Works and Services are available on website of Coal India Ltd. www.coalindia.in, respective Subsidiary Company, CIL e-Procurement portal <https://coalindiaticenders.nic.in> and Central Public Procurement Portal <https://eprocure.gov.in>. In addition, procurement is also done through GeM portal <https://gem.gov.in>.”

- (b) In case the work is of a specialized nature/very urgent nature with strict time frame, limited tenders amongst registered/working Contractor's or known agencies of repute may be invited with the competent approval, depending upon the value of the work as per D.O.P



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SECTION-02

(PREPARATION & COMPILATION OF TENDER DOCUMENTS)

However, in case of very urgent nature, the following system shall be followed:

(A) Special Purpose Limited Tender (SPLT): This system may be adopted in situations as detailed below:

The work of OB removal, coal evacuation, coal transportation and wagon loading could be undertaken:

- a) in case of failure of existing contract either in full (where shortfall is 50% or more in 6 (six) consecutive months) or in part (where shortfall of Contractor is at 30% or more in 6 (six) consecutive months).

(Note: It shall be ensured that no discretion shall be exercised while invoking above Clause.)

- b) in case of failure of tenders due to high rate or in the case of lowest Bidder (L-1) fails to commence the work and deposit the Performance Security Deposit within the schedule period.

Note:- In case the tender is cancelled in (b) above due to high rate and this guideline is followed for re-tender, it must be ensured that the rate so arrived at, should not be more than the cancelled tendered rate.

- c) for safety reasons (like Bench corrections due to Geological fault, Bench Failure etc,) the work OB removal, stabilization of OB Dump and stabilization of embankment could be undertaken.

The above work(s) can be awarded for a period upto 180 days and upto a value of Rs.50 Crores only.

For this, the following procedures may be adopted:

- i) Tenders shall be invited on e-Procurement Portal of CIL.
- ii) All working Contractors /Contractors who have been awarded similar nature of work in last 3 years in the same Subsidiary / nearby adjoining area of other Subsidiary, if any, shall be allowed to participate and more than 3 valid bids shall be taken.
- iii) It shall be single cover system in which Bidder shall submit letter of bid, financial capacity (evidence of working capital as 20% of the estimated cost) and BOQ i.e. price bid.
- iv) Bid shall be opened after 07(seven) days after the date of publication. Bidders shall get 7 x 24 hours to submit the bid. No auto-extension shall be allowed, even if the 08th day is a Sunday or Holiday, Bid will be opened at 4 PM on the 8th day.
- v) The work may be awarded in 5 days (including period seeking clarifications/ any shortfall documents which may be obtained online on e-Procurement



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portal) after justification of the offered price. The evaluation of the bids should be completed within 4 days of above allowed time.

- vi) The issuance of LOA/letter of award and its electronic communication on e-Procurement portal shall constitute execution of contract/agreement. The Contractor will have to commence work within next 05 days of award of work.
- vii) The EMD as well as Performance Security Deposit (PSD) shall be applicable as per the existing provisions. However, no payment shall be made without submission of PSD and execution of formal agreement.
- viii) All other provisions of relevant chapter of CMM shall be applicable.
- ix) No extension (quantity or time) shall be allowed in such cases.
- x) CFDs of CIL/Subsidiary shall be approving authority. No further sub-delegation shall be allowed.

Note: Relevant provisions of GFR 2017 (Rule 162) shall be applicable.

(B) Emergency Safety Single Tender (ESST): This may be adopted for emergent situations arising out of mine accidents, heavy rainfall etc., thereby endangering men and machinery for the duration of emergency (reasons to be recorded) as per following procedure:

- i) It shall be single cover system in which offers (BOQ i.e. price bid) may be collected from Contractor(s) who are working at present in the area/nearby area in Subsidiary and/or any other agency as deemed fit by the awarding authority.
- ii) Bid shall be opened on the same day and award of work will also be on the same day after establishing the reasonability of price taking into consideration the emergency situation. The issuance of LOA shall constitute the execution of contract. The work may commence immediately.
- iii) The PSD shall be applicable as per the existing provision of CMM of CIL. The work may commence immediately. However, the payment shall be made only after submission of PSD and execution of formal agreement.
- iv) All relevant Clauses of CMM of CIL/MCEW shall be applicable.
- v) Awarding Authority shall be as per the existing DoP for award of work on Nomination basis/ without calling Tender.

Note: Relevant provisions of GFR 2017 (Rule 166 & 194) shall be applicable.



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SECTION-03

(Tender Opening, Evaluation and Award)

1. Constitution of Tender Committee/ Standing Tender Committee shall be as follows: -

- a) Chairman - GM rank
 - * Representative of concerned GM-Area/Project
 - * Representative of CTD
 - * Representative of corporate finance
 - * Representative of CMS/CMC

for estimated value of work, the award of which requires the approval of Director or Chairman, CIL/CMD of the Subsidiary Company.

- b) Chairman - of the level of Director
 - * GM of concerned Area/Project
 - * GM/Head of Department of CTD
 - * GM(Fin)
 - * GM(HOD) of CMS/CMC

for estimated value of work, the award of which requires approval of the Committee of Functional Directors headed by Chairman/CMD/ Empowered Committee of Directors headed by Chairman/CMD including some of FDs, Govt. nominee & Independent Directors / Board of the Subsidiary Company.

- c) For estimated value of work, the award of which requires the approval of below Director level, the existing practice of the Subsidiary Company shall continue.

Note:

DoPas circulated by CIL/Subsidiary from time to time shall be followed.

2. Approving Authority for formation of Tender Committee:

- a) from Concerned Director for 1(a) above
- b) from Chairman/ CMD for 1(b) above

3. Inform respective members of the Tender Committee:

NOTES:

- a) The representatives of the GM-Area/Project and other concerned Departments as outlined in step 1(a) should, as far as possible, be in a position to be associated till finalisation of the recommendation of award.
- b) The Tender Committee as outlined in steps 1(a) and (b) above may take the assistance of any personnel/official of the concerned discipline of the Company as may be required for its deliberation.
- c) Dealing officer in CMS/ CTD is to act as Co-ordinator for the Tender Committee.
- d) Tender committee as outlined above at Step 1(b) may comprise of suitable additional member for specialized or very high value bids needing specialized



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expertise, if so considered necessary by the Chairman of the Tender Committee. Such representatives may also be obtained from CMPDIL or other agencies and shall be duly approved.

- e) In case of absence of a Director on any Tender Committee meeting, another Director will preside over the meeting. There should be alternate members for each head of division/department.
 - f) No member of the tender committee should be reporting directly to any other member of such committee irrespective of the value of procurement except chairman of the committee. TC cannot have tender accepting authority as a TC member.
 - g) From the time of bid opening to the time of contract award, no bidder shall contact the Procuring Entity on any matter related to the bid, except on request and prior written permission.
4. Intimate members of the Tender Committee about the date and time of the opening of tenders (as per e-Procurement Guidelines for Works and Services.)
5. Any significant change in condition necessitated from Pre-Bid meeting shall require approval of respective CFDs and subsequently, Tender schedule shall be shifted to a date 15 days beyond the date on which changed condition is uploaded.
6. Bid Opening & Tender Evaluation:
As per the Guidelines for e-Procurement of Works and Services.

Acceptance of offer shall be from the Tender Accepting Authority (TAA) having delegation of power to accept recommended value of award.

There are occasions where in response to call for Bid, only single Bid or single valid bid is received. The powers of accepting such single Bid shall be as per delegation of power of the accepting authority.

Rejection of Single Bid: It has become a practice among some procuring entities to routinely assume that open tenders which result in single bids are not acceptable and to go for re-tender as a 'safe' course of action. This is not correct. Re-bidding has costs: firstly, the actual costs of retenders; secondly the delay in executing of the work with consequent delay in the attainment of the purpose for which the procurement is being done; and thirdly the possibility that the re-bid may result in a higher bid.

Lack of competition shall not be determined solely on the basis of the number of Bidders. Even when only one Bid is submitted, the process should be considered valid provided following conditions are satisfied:

- (i) The procurement was satisfactorily advertised and sufficient time was given for submission of bids;



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- (ii) The qualification criteria were not unduly restrictive; and
- (iii) Prices are reasonable in comparison to market values.

7. Award of Work:

The Tender Committee will recommend for award of work to the successful Bidder after evaluating their technical eligibility based on the system-generated evaluation sheets followed by evaluation of the scanned documents uploaded by Bidder in support of the information furnished by them online and after evaluation of the reasonableness of L-1 rates. The reasonableness of rates will be evaluated as per the following provisions and other guidelines issued from time to time: -

- a) The overall amount for the work as well as rates for individual items of work quoted by L-1 are justified and are in conformity with the guidelines given below: -

Compare the rates quoted by overall L-1 for each item of work with:

- i) Estimated rate/Justified rate (as applicable) of the work.

OR

- ii) Analysis of rate based on prevalent market rates, including that of materials / consumable, equipment and labour (wherever possible and practicable) etc.

OR

- iii) The Company's own Schedule of Rates (SoR) for such items of work (if available).

OR

- iv) Recent rates awarded in the Company for such items of work in other contracts, if available.

- b) It is not obligatory to recommend the award of work to the lowest Bidder in all cases.

In case the lowest Bid is found to be unbalanced; clarification may be obtained from the L-1 Bidder to safeguard the interest of CIL/Subsidiary.

In case the lowest offer is found to be on the higher side, justification of such rate may be sought once including break-up of rate. Suo-moto rebates, if offered, during such justification may be considered.

Negotiation, if unavoidable, may be carried out, as per recommendation of the Tender Committee after giving justification and recording details of such negotiations. Such negotiation shall be brought out in TC recommendation and approval of such negotiations be sought.

This may be done in accordance with the clause 25.2(A) of ITB.

- 8. The tender accepting authority i.e. Competent Authority shall approve the award



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(Tender Opening, Evaluation and Award)

of work after concurrence of associate finance. The timeline for clearing of proposal by associate finance shall be 03(three) working days. The approval for award of work to L-1 Bidder will be accorded by the Competent Authority as per Delegation of Power based on the TC recommendation. In any approval for acceptance of tender, the responsibility of the Competent Authority is not discharged merely by selecting the cheapest offer or accepting TC recommendations but ensuring (through his associate Finance), whether:

- i) Offers have been invited in accordance with this manual and after following fair and reasonable procedures in prevailing circumstances;
 - ii) He is satisfied that the selected offer will adequately meet the requirement for which it is being procured;
 - iii) The price of the offer is reasonable and consistent with the quality required; and
 - iv) The accepted offer is the most appropriate taking all relevant factors into account in keeping with the standards of financial propriety.
9. Prepare and finalize Letter of Acceptance (LOA) in accordance with the approval of award by the Competent Authority, specifying the quantity awarded and the rate finalized, Contract period, total value of contract and base Diesel price for price variation etc.
10. Issue LOA to successful Bidder(s) with directions to deposit Security Deposit and upload the LOA in e-Procurement Portal of CIL. The offline communication of LOA shall not be mandatory.



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SECTION-04

(Issue of LOA and signing of Agreement)

1. The Bidder, whose Bid has been accepted, will be notified /communicated by the Employer electronically online on the e-procurement portal of CIL prior to expiration of the Bid validity period. This letter (hereinafter and in the Conditions of Contract called the "Letter of Acceptance") will state the sum that the Employer will pay the Contractor in consideration of the execution and completion of the Works by the Contractor as prescribed by the Contract (hereinafter and in the Contract called "the Contract Price").
The offline communication of LOA shall not be mandatory.
2. The notification of LOA will constitute the formation of the Contract.
3. The Work Order shall be issued by Area GM for the contracts awarded from Company HQ, after submission of Performance Security and Additional Performance Security (if any) by the Contractor as per schedule with following details: -
 - a. Time schedule for execution of formal written Agreement.
 - b. Any other salient details as per standard format (to be decided at Subsidiary level)

Note: For the works awarded with the approval of Area GM or by an Authority of lower level, the practice of issuance of Work Order, signing of Agreement etc. may be followed as decided at Subsidiary Level.
4. Area General Manager will finalize the following in consultation with Project Officer/ In-charge and the Contractor:
 - a. Detailed Work Programme.
 - b. Contractor's Resource Mobilization plan.
 - c. Excavation plan and survey programme.
 - d. Other necessary details and plans for execution of the contract.
5. Area General Manager shall make Agreement with Article of Agreement in association with the Contractor, incorporating all documents as per NIT including terms and conditions, as soon as the Contractor submits Performance Security and Additional Performance Security (if any).
6. In case of any deviation from standard format, legal vetting may be obtained, if required.
7. Agreements between Contractor or his Authorized representative/ Power of attorney and Company shall be executed.
8. Area GM is authorized to sign all Agreements on behalf of the Company, based on accepted offer.
9. Agreement shall be executed with the successful Bidder within 14 days of receipt & confirmation of BG submitted in terms of Security Deposit/ Additional Performance Security (if any) by the Bidder.



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SECTION-04

(Issue of LOA and signing of Agreement)

In case of failure to enter in to Agreement within the specified period the contract will be terminated in accordance to Clause 9 of Conditions of Contract (GTC). No payment for the work shall be made before execution of this agreement.

10. Inform the successful Bidder of the date of signing of the Agreement.

The successful Bidder shall enter into and execute Contract Agreement in the prescribed form on non-judicial stamp paper in accordance with the relevant law of the State/Union of India. The cost of the stamp papers for the Contract Agreement shall be borne by the successful Bidder. Two sets of Contract Agreements shall be prepared and signed by both the parties. One of the sets shall be stamped "Original" and the other "Duplicate". The duplicate copy and one additional copy will be supplied to the Contractor free of cost and the original is to be retained by the Company. For any additional copy, additional cost to be charged.

All additional copies should be certified by the Engineer-in-Charge.

11. Enter details of contract signed, in the Register of Contract.

12. Earnest Money

- (i) Earnest Money submitted by the Bidder is forfeited in the following event: -
- a. Withdrawal of Bid after the end date of Bid submission.
 - b. Failure to deposit the Performance Security and Additional Performance Security, if any, within the stipulated period of submission.
 - a. In case the L-1 Bidder is found to be ineligible in evaluation of Bid for Tenders invited under one-part system i.e of value below Rs.50 Lakh.
 - b. Any other conditions stipulated in the NIT.
- (ii) On the request of the Bidder, send advice to concerned Finance to discharge the EMD submitted online after the Bidder has signed the Agreement and furnished the required Performance Security/ Security Deposit.



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SECTION-05

INSTRUCTION TO BIDDER

1. SCOPE OF BIDDER

- 1.1 The _____ (referred to as Employer in these documents) invites bids for the work as mentioned in the Notice Inviting Tenders (NIT). The Bidders should submit bid for the whole work mentioned in the NIT.
- 1.2 The successful Bidder will be expected to complete the Work by the Intended Completion Date specified in the tender document.

2. ELIGIBLE BIDDERS

- 2.1 The invitation for bid is open to all Bidders including an Individual, Proprietorship firm, Partnership firm, Company registered under Companies Act or a Joint Venture/Consortium. The Bidders shall be eligible to participate only if they fulfil the Eligibility Criteria specified in e-tender Notice. In a tender, a Bidder shall participate in one bid only.
- 2.2 Joint Venture (JV)/Consortium: Two or three Companies/Contractors may jointly undertake contract(s). Each entity will be jointly and severally responsible for completing the task as per the contract.

Joint Venture/Consortium details:

Name of all Members of a JV/CONSORTIUM (not more than 3):

1. Lead Member (minimum participation share – 50%)
2. Member (minimum participation share – 20%)
3. Member (minimum participation share – 20%)

Joint Venture/Consortium must comply the following requirements:

- i. The JV/Consortium must enroll in the e-Procurement portal with the name of the firm as appearing in the JV/Consortium agreement, whereas they may enroll in the name of the Lead Partner in case of Bid submission through GeM. However, the JV/Consortium has to register its name in GeM portal by obtaining all requisite Legal Documents (PAN, GST etc) in the name of JV/Consortium before execution of the Agreement.
- ii. The qualifying criteria parameter e.g. experience, financial resources (of the relevant period) and the equipment/fleet strength of the individual member of the JV/CONSORTIUM will be added together and the total criteria should not be less than as spelt out in qualifying/eligibility criteria as specified in e-tender Notice. However, the required Working Capital shall be met by individual members of JV/CONSORTIUM as spelt out in the relevant Clause.
- iii. The formation of JV/CONSORTIUM or change in the JV/CONSORTIUM character/ members after submission of the bid and any change in the bidding regarding JV/CONSORTIUM will not be permitted.
- iv. The bid, and in case of a successful bid - the agreement, shall be signed so as to legally bind all members jointly and severally and any bid shall be



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submitted with a copy of the JV/CONSORTIUM Agreement providing the joint and several liabilities with respect to the contract.

- v. The pre-qualification of a JV/CONSORTIUM does not necessarily pre-qualify any of its member individually or as a member in any other JV/CONSORTIUM. In case of dissolution of a JV/CONSORTIUM, each one of the constituent firms may pre-qualify if they meet all the pre-qualification requirements, subject to written approval of the employer.
- vi. The bid submission must include documentary evidence to the relationship between JV/CONSORTIUM members in the form of JV/CONSORTIUM Agreement to legally bind all partners jointly and severally for the proposed agreement which should set out the principles for the constitution, operation, responsibilities regarding work and financial arrangements, participation (percentage share in the total) and liabilities (joint and several) in respect of each and all of the firms in the JV/CONSORTIUM. Such JV/CONSORTIUM Agreement must evidence the commitment of the parties to bid for the facilities applied for (if pre-qualified) and to execute the contract for the facilities if their bid is successful.
- vii. One of the members shall be nominated as 'In-charge' of the contract and shall be designated as Lead Partner. This authorization shall be evidenced by submitting with the bid a Power of Attorney signed by legally authorized signatories of all the members.

All the partners of a JV/Consortium may together authorize the Lead Partner to submit the bid on behalf of the JV/Consortium, along with an undertaking that in case of a successful bid, the work shall be executed by the JV/Consortium as per contract terms of the bid document.

Note: This authorization must be a part of the JV/consortium agreement if the Bid is submitted by the lead partner on behalf of the JV/Consortium.
- viii. The JV/CONSORTIUM must provide that the Lead Member shall be authorized to incur liabilities and receive instructions for and on behalf of any and all members of the JV/CONSORTIUM and the entire execution of the contract shall be done with active participation of the Lead Member.
- ix. The contract agreement should be signed by each JV/CONSORTIUM members. Subsequent declarations/letters/documents shall be signed by lead member authorized to sign on behalf of the JV/CONSORTIUM or authorized signatory on behalf of JV/CONSORTIUM.
- x. The bid should be signed/digitally signed by the DSC holder submitting the Bid.
- xi. An entity can be a member in only one JV/Consortium. Bid submitted by JVs/Consortium/Lead Partner, consisting of the common entities as member will be rejected.
- xii. The JV/CONSORTIUM agreement may specify the share of each individual member for the purpose of execution of this contract. This is required only for the sole purpose of apportioning the value of the contract to that extent to individual member for subsequent submission in other bids if he intends to do so for the purpose of the qualification in that Bid.



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- xiii. The earnest money / bids security bank guarantee can be submitted by the Joint Venture/Consortium or one or more partners of the Joint Venture/Consortium.
- xiv. The JV/CONSORTIUM agreement must specifically state that it is valid for the project for which bidding is done. If JV/CONSORTIUM breaks up midway before award of work and during bid validity period bid will be rejected.
If JV/CONSORTIUM breaks up midway before award of work and during bid validity/after award of work/during pendency of contract, in addition to normal penalties as per provision of bid document, all the members of the JV/CONSORTIUM shall be debarred from participating in future bids for a minimum period of 12 months.
- xv. JV/CONSORTIUM agreement shall be registered in accordance with law so as to be legally valid and binding on the members before making any payment.
Note: If the work is awarded to a JV/CONSORTIUM firm, they will register the JV/CONSORTIUM agreement under Registration Act in accordance with law.
- xvi. JV/CONSORTIUM shall open a bank account in the name of JV/CONSORTIUM and all payments due to the JV/CONSORTIUM shall be credited by employer to that account only. To facilitate statutory deductions all statutory documents like PAN, GST registration etc. shall be submitted by JV/CONSORTIUM before making any payment.
- xvii. If a Bidder participates as Joint Venture (JV)/Consortium, the benefits as per Public Procurement Policy for MSEs Order-2012 shall not be applicable for them.

2.3 The Company reserves its right to allow Public Enterprises purchase preference facility as admissible under prevailing policy.

2.4 Procurement from Micro and Small Enterprises (MSEs) shall be applicable for Service Tenders in accordance to the notification of Govt of India(GoI) and including its amendment(s) as notified by GoI from time to time.

2.5 Preference to Make in India (as applicable) vide Order No. P-45021/2/2017-PP (BE-II) issued by Govt. of India as amended from time to time shall be applicable.

3. ELIGIBILITY CRITERIA OF THE BIDDER

3.1 Eligibility criteria to qualify for award of the contract –

- a. **Work Experience:** The Bidder must have experience of works (includes completed/ ongoing) of similar nature valuing 50 % of the Annualized estimated value of the work put to tender (for period of completion over 1 year) / 50 % of the Estimated value of the work (for completion period



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up to one year) put to Tender, in any year (consecutive 365 days) during last 7(seven) years ending last day of month previous to the one in which bid applications are invited.

Similar nature of works shall mean: Excavation & transportation of Coal/soil/overburden/shale/ore/minerals etc. by mechanized means. However, works of similar nature may be suitably defined by respective Subsidiaries based on its own necessity.

“Annualised value” of the work shall be calculated as the “(Estimated value/Period of completion in Days) x 365”.

The value of executed works shall be given a simple weightage to bring them at current price level by adding 7% for each completed year (total number of days/365) after the end date of experience till the last day of month previous to one in which e-Tender has been invited.

Note:

1. Till the time of changes in the e-procurement portal regarding weightage from 5% to 7% is configured in the portal, the 5% weightage shall be considered for work experience for floating of tender on NIC portal.
2. The definition of Similar Work to be given in the NIT should be broader, unambiguous, explicit and it should contain the predominant nature of tendered work. There should not be any scope for different interpretation by Bidder and the department with respect to “Similar nature of work” defined in the NIT.

[In case of JV/CONSORTIUM, Work Experience shall be met collectively by all the members.]

Data to be furnished by Bidder online:

- I. Start date of the year for which work experience of Bidder is to be considered for eligibility.
- II. Start date & end date of each qualifying experience (similar nature)
- III. Work Order Number/Agreement Number of each experience
- IV. Name & address of Employer/Work Order Issuing authority of each experience
- V. Percentage (%) share of each experience (100% in case of an Individual/ Proprietorship firm or the actual % of share in case of a Joint Venture/Consortium/Partnership firm).
- VI. Executed Value of work against each experience
- VII. In case the Bidder is a Joint Venture/Consortium, the work experience of any one, two or three of the individual members of JV/CONSORTIUM or the JV/CONSORTIUM itself may be furnished as the work experience of the Bidder.

Note:

Work experience criteria is not required for tender value below Rs.50 Lakh unless otherwise specified in the NIT.



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Supporting Documents to be uploaded online:

For work experience Bidders are required to submit Work Experience (includes completed / ongoing) Certificate issued by the employer against the Experience of similar work containing all the information as sought online.

Work order, BOQ, TDS etc. may be sought during clarification or along with deficient documents, if felt necessary by the Tender Committee.

Note: A Sample Checklist for Work Experience Certificate is enclosed as Annexure-XI

- b. Working Capital:** Evidence of possessing adequate working capital (at least 20% of the "Annualized value or Estimated value whichever is less" of this work) inclusive of access to lines of credit and availability of other financial resources to meet the requirement. The Bidder should possess the working capital within three months prior to the date of opening of tender.

[In case of JV/CONSORTIUM, the lead member shall have to possess at least 50% share and all other members shall have to possess at least 25% share in the Working Capital].

Data to be furnished by Bidder online:

- i. Amount of available working capital inclusive of lines of credit and availability of other financial resources.
- ii. Date on which the Bidder possesses the required working capital.
- iii. Name of the Chartered Accountant (CA).
- iv. Membership Number of CA who certifies the Bidder's working capital on a particular date.
- v. Date of Issue of Certificate.

In case the Bidder is a Joint Venture/Consortium, the working capital of the individual members of the JV/CONSORTIUM will be added together.

Note:

Working Capital criteria is not required for tender below Rs.50 Lakh.

Supporting Documents to be uploaded online:

Certificate with UDIN of Working Capital issued by a Practicing Chartered Accountant having a membership number with Institute of Chartered Accountants of India containing the information as furnished by Bidder online.

Note- A Sample Checklist for Working Capital Certificate is enclosed as Annexure-XII

- c. Fleet Requirement:** The Bidder is required to accept unconditionally in GTE (General Technical Evaluation) as an undertaking in the prescribed format to deploy matching equipment/tippers/pay loaders as per NIT either owned or hired.



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Information to be furnished online:

Confirmation in the form of YES/NO regarding acceptance to deploy matching equipment/tippers/pay loaders as per NIT either owned or hired.

- d. **PAN Card:** PAN card issued by Income Tax department, Govt. of India (In case of JV/CONSORTIUM, PAN card for each Indian partner of JV/CONSORTIUM and Verifiable Tax Residency Certificate of respective country for each foreign partner or JV/CONSORTIUM itself).

Information to be furnished online:

In respect of the above eligibility criteria the Bidders are required to furnish the confirmation of possessing the Permanent Account Number (PAN), in the form of Yes/No.

Supporting Documents to be uploaded online(Bidder Space/My Document):

Scanned copy of PAN card issued by Income Tax department, Govt. of India.

Note: In case of JV/CONSORTIUM, each Indian member of JV/CONSORTIUM should possess PAN and each foreign member should possess Verifiable Tax Residency Certificate of respective country or JV/CONSORTIUM itself should possess PAN.

- e. **GST Registration** (Not Applicable for Exempted Services): The Bidder should be either GST Registered Bidder under regular scheme
OR
GST Registered Bidder under composition scheme
OR
GST unregistered Bidder during bid submission as per above.

Information to be furnished online:

1. Confirmation in the form of Yes/No regarding possessing of required document as enlisted in NIT with respect to GST status of the Bidder.
2. Status of the Bidder in the BOQ excel sheet being uploaded by the Bidder during bid submission as per above.

Supporting Documents to be uploaded online(Bidder Space/My Document):

The following documents depending upon the status w.r.to GST as declared by Bidder in the BOQ sheet:

- a) Status: GST registered Bidder under regular scheme

Document: GST Registration Certificate (i.e. GST identification Number) issued by appropriate authority of India.



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- b) Status: GST registered Bidder under composition scheme.

Document: GST Registration Certificate (i.e. GST identification Number) issued by appropriate authority of India.

- c) Status: GST unregistered Bidder:

Document: A Certificate with UDIN from a practicing Chartered Accountant having membership number with Institute of Chartered Accountants of India certifying that the Bidder is GST unregistered Bidder in compliance with the relevant GST rules of India.

(In case of JV/CONSORTIUM, Bidder should submit scanned copy of GST status of Lead Partner.)

Note: -

1. In case the work/service is awarded to a Joint Venture/Consortium participating in the tender they have to submit PAN, GST registration (as applicable in the tender and for the Bidder status) etc. in the name of the Joint Venture/Consortium after Award of Work/Service at the time of execution of Agreement/ before the payment of first running on account bill.
 2. If turnover of Bidder exceeds exemption limit, the Bidder must have GST registration as per GST Act and rules.
 3. During evaluation of GST Registered Bidders the confirmation of their status shall be verified from the relevant Govt website (Not to be kept as a part of NIT).
- f. **Legal Status of the Bidder:** The Bidder should be Individual/ Proprietorship firm/ Partnership firm/ Company registered under Companies Act/Joint Venture/Consortium.

Supporting Documents to be uploaded online:

1. The following documents in respect of Legal Status of a JV Bidder shall be uploaded in Bidder's Space by the JV/CONSORTIUM Bidder:
 - i. Scanned copy of JV/CONSORTIUM Agreement containing name of partners and lead partner, Power of Attorney to the lead partner and share of each partner.
 - ii. Power of attorney of the respective partners from the Board of Directors of the concerned Company, or from the partners of the entity, or from the proprietor, authorizing the signatory of JV/CONSORTIUM agreement on behalf of them.



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iii. The document(s) (any of them as applicable) regarding legal status of all the individual partners of JV/CONSORTIUM as mentioned below:

a) Affidavit or any other document to prove Proprietorship/Individual status of the Bidder.

OR

b) Partnership deed containing name of partners.

OR

c) Memorandum & Article of Association with certificate of incorporation containing name of Bidder.

2. The document(s) (any of them as applicable) regarding legal status of eligible Bidders other than JV/Consortium as mentioned below:

a) Affidavit or any other document to prove Proprietorship/Individual status of the Bidder.

OR

b) Partnership deed containing name of partners.

OR

c) Memorandum & Article of Association with certificate of incorporation containing name of Bidder

g. Digital Signature Certificate (DSC): The Bidders have to get themselves registered online on the e-Procurement portal of CIL (<https://coalindiatenders.gov.in>) with valid Digital Signature Certificate (DSC) issued from any agency authorized by Controller of Certifying Authority (CCA), Govt. of India and which can be traced up to the chain of trust to the Root Certificate of CCA. The registration should be in the name of Bidder, whereas DSC holder may be either Bidder himself or his duly authorized person.

If the Bidder himself is the DSC holder bidding on-line, then no document is required. However, if the DSC holder is bidding online on behalf of the Bidder then the Power of Attorney or any sort of legally acceptable document for the authority to bid on behalf of the Bidder.

h. Written Consent regarding Arbitration:

It shall be taken as an undertaking by the Bidder during submission of bid in case the Bidder is a Partnership Firm/Joint Venture/Consortium. For this a general form of undertaking has been specified in e-Procurement guideline of Works and Services containing this Clause. For other category of Bidders acceptance of terms and condition through User Portal Agreement / LOB complies this requirement.

i. Letter of Bid: The Letter of Bid addressed to the Tender Inviting Authority (TIA) will be given in Tender document containing name of the work, NIT



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No., Tender ID. This will be the covering letter of the Bidder for his submitted bid. The Bidders have to accept unconditionally the Letter of Bid in GTE (General Technical Evaluation) at the time of bid submission. This online acceptance during bidding through GTE shall be construed as submission of LOB by bidder.

j. Restrictions on Public Procurement from certain countries:

The Undertaking of the Bidder regarding compliance to order No.F.No.6/18/2019-PPD dt 23/7/2020 as amended from time to time of Ministry of Finance, Dept of Expenditure, Public Procurement Division with respect to restrictions on procurement of goods, services or works from a Bidder of a country which shares a land border with India and on sub-contracting to Contractors from such countries will be given in the tender document. The Bidders have to accept unconditionally this condition in GTE (General Technical Evaluation) at the time of bid submission. This online acceptance during bidding through GTE shall be construed as acceptance of the Bidder for fulfilment of the requirements towards eligibility under this provision.

k. Undertaking: An undertaking is to be given on Bidder's letter head online as per the format given in the bid document.

l. The MSEs are required to submit copy of documentary evidence, issued by their registering authority whether they are small enterprise or micro enterprise as per provisions of Public Procurement Policy for Micro and Small Enterprise (MSEs) Order, 2012 with latest guidelines/clarifications provided by MoMSME.

m. Documents as required to comply with the Order No. P-45021/2/2017-PP (BE-II) for preference to Make in India (as applicable) issued by Govt. of India as amended from time to time shall be submitted.

3.2 Even though the Bidders meet the above eligibility criteria, they are subject to be disqualified if they have made misleading or false representations in the forms, statements and attachments submitted in proof of the qualification requirements.

3.3 If the Bidder is a Subsidiary of a Company, the experience and resources of the Holding Company or its other Subsidiaries will not be taken into account. However, if the Bidder is a Holding Company, the experience and resources of its wholly owned Subsidiaries will be taken into consideration.

Notes: The documents to be furnished by the Bidder to prove that he is satisfying the eligibility criteria laid down should all be in the Bidders' name except in cases where though the name has changed, owners continued to remain the same and in cases of amalgamation of entities and when a Holding Company relies on the credentials of its wholly owned Subsidiaries.



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4. ONE BID PER BIDDER

- 4.1 Each Bidder shall submit only one Bid, either individually, or as a proprietor, or as a partner in a partnership firm or as a partner in a Joint Venture/Consortium or as a Company registered under Companies Act. A Bidder who submits or participates in more than one Bid (other than as a sub-Contractor or in cases of alternatives that have been permitted or requested) will cause all the proposals with the Bidder's participation to be disqualified.

4.2 Deleted

5. COST OF BIDDING

The Bidder shall bear all costs associated with the preparation and submission of his Bid, and the Employer will in no case be responsible or liable for those costs.

6. SITE VISIT

- 6.1 The Bidder, at the Bidder's own responsibility, cost and risk, is encouraged to visit and examine the site of Works and its surroundings and obtain all information that may be necessary for preparing the Bid and entering into a contract for execution of the Works. The costs of visiting the site shall be at the Bidder's own expense.
- 6.2 It shall be deemed that the Bidder has visited the site/area and got fully acquainted with the working conditions and other prevalent conditions and fluctuations thereto whether he actually visits the site/area or not and has taken all the factors into account while quoting his rates.
- 6.3 It shall be deemed that the Bidder has got himself acquainted with the mining conditions and geological details available for the proposed work site.

7. CONTENT OF BIDDING DOCUMENTS

The set of bidding documents comprises the documents listed below as issued online by the Employer and addenda issued in accordance with Clause 9:

1. Notice Inviting Tender.
2. Instructions to Bidders.
3. Conditions of Contract.
4. Scope of work/Bill of Quantities.
5. Forms of Securities and form of Article of Agreement.
6. Pre-contract Integrity Pact (if applicable)
7. User portal Agreement
8. Proforma for e-Mandate
9. Guidelines on Debarment of firms from Bidding
10. Other documents, if required.

8. CLARIFICATION OF BIDDING DOCUMENTS

- 8.1 Pre-bid meeting, if required, after publication of Tender may take place but, in any case, at least 1 (one) day before the start date of Bid submission. If a Pre-Bid meeting is held then the minutes of the Pre-Bid meeting shall be uploaded



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on the Portal, before start date of bid submission which can be viewed by all interested Bidders.

[Note (Not to be part of tender document): -

Any significant change in condition necessitated from Pre-Bid meeting shall require approval of respective CFDs and subsequently, Tender schedule shall be shifted to a date 15 days beyond the date on which changed condition is uploaded.]

- 8.2 The Bidder may seek clarification online within the specified period. The identity of the Bidder will not be disclosed by the system. The Department will clarify as far as possible the relevant queries of Bidders. The clarifications given by Department will be visible to all the Bidders intending to participate in that tender. The clarifications may be asked from the day of e-Publication of NIT. The period for seeking clarification by Bidder will be up to 7 (seven) days before the end date of bid submission. The replies to clarifications sought by Bidders should be given by the Department at least 2 (two) days before the end date of bid submission.

In exceptional cases where a large number of queries from Bidders are expected, the period for seeking clarification may be kept maximum upto 15 (fifteen) days before the end date of bid submission, but the minimum period given to the Bidders for seeking clarification should not be less than 10 (ten) days in such cases.

The Tender Inviting Authority will be responsible for replying/responding to the clarifications online within the prescribed time frame. However, if the Tender Inviting Authority feels that the query is of such a nature that advice of Tender Committee or any other authority is required to give clarification, he may do so to reply the queries within the prescribed time limit.

[Note (Not to be part of tender document)]: -The queries of Bidders clarified online and also unanswered queries of Bidders shall be referred in the TCR.

9. CORRIGENDUM TO NIT

Corrigendum should be issued only in exceptional cases as per the extant procedure and e-procurement guideline prevailing in the Company.

10. LANGUAGE OF BID

All documents relating to the Bid shall be in the English language.

11. BID PRICES

- 11.1 The Bidders shall offer for the whole Works as described in Sub-Clause 1.1, based on the Bill of Quantities. Based on priced bill of quantities submitted by the Bidder, the Employer reserves the right to allot whole or part of the work at their discretion and no claims, whatsoever, shall be entertained in this regard.



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11.2 The Bidder shall fill-in rates and prices for all items of the Works described in the Bill of Quantities. The rates and prices quoted by the Bidder shall be fixed for the duration of the contract and shall not be subject to variations on any account except to the extent variations allowed as per the conditions of the contract of the bidding document.

11.3 All duties, taxes excluding Goods and Services Tax (GST) & GST Compensation Cess (if applicable) only and other levies payable by the Bidder/Contractor under the Contract, or for any other cause as applicable on the last date of submission of Bid, shall be included in the rates, prices and the total Bid Price submitted by the Bidder. Applicable GST, either payable by Bidder or by Company under reverse charge mechanism shall be computed by system in BOQ sheet as per pre-defined logic.

All investments, operating expenses, incidentals, overheads, leads, lifts, carriages etc. as may be attendant upon execution and completion of works shall also be included in the rates, prices and total Bid price submitted by the Bidder.

However, such duties, taxes, levies etc. which is notified after the last date of submission of Bid and/or any increase over the rate existing on the last date of submission of Bid shall be reimbursed by the Company on production of documentary evidence in support of payment actually made to the concerned authorities.

Similarly, if there is any decrease in such duties, taxes and levies the same shall become recoverable from the Contractor.

11.4 The item wise rate quoted by Bidder shall be inclusive of all taxes, duties & levies but excluding GST & GST Compensation Cess, if applicable. The payment of GST and GST Compensation Cess by service availer (i.e. CIL/Subsidiary) to Bidder/Contractor (if GST payable by Bidder/Contractor) would be made only on the latter submitting a Bill/invoice in accordance with the provision of relevant GST Act and the rules made thereunder and after online filing of valid return on GST portal. Payment of GST & GST Compensation Cess is responsibility of Bidder/Contractor.

However, in case Contractor is GST unregistered Bidder/dealer or GST registered under composition scheme in compliance with GST rules, the Bidder/dealer shall not charge any GST and/or GST Compensation Cess on bill/invoice. In case of unregistered dealer/Bidder, GST, if applicable will be deposited by CIL/Subsidiary directly to concerned authorities in terms with GST provisions.

Input tax credit is to be availed by CIL/Subsidiary as per rule.

If CIL/Subsidiary fails to claim Input Tax Credit (ITC) on eligible Inputs, input services and Capital Goods or the ITC claimed is disallowed due to failure on the part of supplier/vendor of goods and services in incorporating the tax invoice issued to CIL/Subsidiary in its relevant returns under GST, payment



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of CGST & SGST or IGST, GST (Compensation to State) Cess shown in tax invoice to the tax authorities, issue of proper tax invoice or any other reason whatsoever, the applicable taxes & cess paid based on such Tax invoice shall be recovered from the current bills or any other dues of the supplier/vendor along with interest, if any.

Note: During the execution of the contract if the GST status of the bidder changes, then the payment of GST, if any, to the Contractor will be made as per the GST status declared by the bidder during tender stage based on which cost to Company has been ascertained or at actuals, whichever is lower.

11.5 The rates and prices quoted by the Bidder shall be fixed for the duration of the contract and shall not be subject to variations on any account except to the extent variations allowed as per the conditions of the contract of the bidding document.

11.6 If a firm quotes NIL charges/ consideration, the bid shall be treated as unresponsive and will not be considered.

12. CURRENCIES OF BID AND PAYMENT

The unit rates and prices shall be quoted by the Bidder entirely in Indian Rupees.

13. BID VALIDITY

13.1 Bid shall remain valid for a period not less than 120 days after the deadline for bid submission specified in Clause 16.

13.2 In exceptional circumstances, prior to expiry of the original time limit, the Employer may request that the Bidders may extend the period of validity for a specified additional period. The request and the Bidder's responses shall be made in writing. A Bidder may refuse the request without forfeiting his bid security. A Bidder agreeing to the request will not be required or permitted to modify the bid.

(Not to be part of Tender Document)

Reasons for seeking extension of bid validity should be recorded by the Tender Inviting Authority.

14. BID SECURITY/EARNEST MONEY DEPOSIT

14.1 The Bidder shall furnish, as part of his bid, a Bid Security/Earnest Money of the amount as shown in e-tender notice and in the form as deliberated below: -

The Bidder will have to make the payment of EMD through ONLINE mode only. No Offline mode of Payment of EMD/Bid security shall be applicable and acceptable.

In online mode the Bidder can make payment of EMD either through net-banking from designated Banks/s or through NEFT/RTGS from any scheduled



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Bank. In case of payment through net-banking the money will be transferred to CIL/ Subsidiary designated Account. In case of payment through NEFT/RTGS the Bidder will have to make payment as per the Challan generated by system on e-Procurement portal. Bidder will be allowed by the system to submit the bid only when the EMD is successfully received in CIL/Subsidiary designated account and the information flows from Bank's Server to e-Procurement portal. The Earnest Money/ bid security for the unsuccessful Bidder shall be refundable as promptly as possible. The EMD shall bear no interest. No Bid will be accepted unless accompanied by requisite Bid Security/ Earnest Money Deposit as stated above.

- 14.2. Any Bid not accompanied by an acceptable Bid Security/EMD shall be rejected by the employer as nonresponsive unless otherwise exempted in the Bid document.
- 14.3 The EMD of rejected Bidders will be refunded at any stage directly to the account from where it had been received (except the cases where EMD is to be forfeited).
- 14.4 The Bid Security / EMD of successful Bidder may be retained and adjusted with Performance Security / Security Deposit at Bidder's option.
- 14.5 The Bid Security/Earnest Money may be forfeited:
- a. if the Bidder withdraws the Bid after the end date of Bid submission during the period of Bid validity / extended validity with mutual consent; or
 - b. in the case of a successful Bidder, if the Bidder fails within the specified time limit to furnish the required Performance Security Deposit/Additional Performance Security if any;

Additionally, the Company shall debar such defaulting Contractor from participating in future bids for a minimum period of 12(twelve) months.

Note (Not part of tender document): -

However, debarment shall be done as per Guidelines on Debarment of firms from Bidding."

- 14.6 The Bid Security/ EMD deposited with the Employer will not carry any interest.
- 14.7 No claim from the Bidders will be entertained for non-receipt of the refund in any account other than the one from where the money is received.
- 14.8 If the refund of EMD is not received by the Bidder in the account from which the EMD has been made due to any technical reason, then it will be paid through conventional system of e-payment. For this purpose, Bidder should submit e-Mandate form as per Clause No.7 during bid submission.



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14.9 In case the tender is cancelled then EMD of all the participating Bidders will be refunded unless it is forfeited by the Department.

If the Bidder withdraws the bid online (i.e. before the end date of submission of tender) then the EMD will be refunded automatically after the opening of tender.

15. SIGNING AND SUBMISSION OF BID:

- a. In order to submit the Bid, the bidders have to get themselves registered online on the e-Procurement portal (<https://coalindiatenders.nic.in>) with valid Digital Signature Certificate (DSC) issued from any agency authorized by Controller of Certifying Authority (CCA), Govt. of India and which can be traced up to the chain of trust to the Root Certificate of CCA. The online Registration of the Bidders on the portal will be free of cost and one-time activity only. The registration should be in the name of bidder, whereas DSC holder may be either bidder himself or his duly authorized person.
- b. The Bidder will submit their bid online. No off-line bid shall be accepted.
- c. The Bidders will have to accept unconditionally the online User Portal Agreement which contains the acceptance of all the Terms and Conditions of NIT including General and Special Terms & Conditions, Integrity Pact and other conditions, if any, along with online undertaking in support of the authenticity of the declarations regarding the facts, figures, information and documents furnished by the Bidder online in order to become an eligible Bidder. No conditional bid shall be allowed/accepted. This User Portal Agreement of Guidelines for e-Procurement of Works and Services will be a part of NIT/Contract Document.
- d. The Bidders will have to accept unconditionally in GTE (General Technical Evaluation) the Undertaking regarding Genuineness of the information furnished by him on-line & authenticity of the scanned copy of documents uploaded by him on-line in support of his eligibility criteria, declaration w.r.t Make in India order and compliance w.r.t procurement from Bidder of a country which shares a land border with India etc. and Letter of Bid. All such undertakings requiring unconditional acceptance and where no input from Bidder is required in the undertaking shall be included in the GTE Template and shall be accepted by the Bidder during Bid submission.

In the undertaking given by Bidder online through acceptance in GTE, there will be provision for penal action, if any information/declaration furnished online by the Bidder against eligibility criteria is found to be wrong at any stage which changes the eligibility status of the Bidder.

- e. The Bidder will have to make the payment of EMD through online mode only.

In Online mode the Bidder can make payment of EMD either through net-banking from designated Bank/s or through NEFT/RTGS from any Scheduled Bank. In case of payment through net-banking the money will be immediately



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transferred to CIL/Subsidiary's designated Account. In case of payment through NEFT/RTGS the Bidder will have to make payment as per the Challans generated by system on e-Procurement portal and will have to furnish online the UTR Numbers before submission of bid. Bidder will be allowed to submit his/her bid only when the EMD is successfully received in CIL/Subsidiary account and the information flows from Bank to e-Procurement system.

In case of exemption of EMD the scanned copy of document in support of exemption will have to be uploaded by the Bidder during bid submission. However, this option is to be enabled only in those cases where the exemption of EMD to some Bidders is allowed as per NIT.

- f. The EMD for Mining tenders and Tenders for Turnkey Contracts will be as per Contract Management Manual and EMD for Civil, E&M and other tenders will be as per Manual of Civil Engineering Works of CIL and the existing policy of CIL.
- g. The qualification in bid will also be subject to the receipt and acceptance of EMD (except in case of EMD exempted Bidder) within schedule date and time as mentioned in the NIT.
- h. The information will be provided by the Bidder by filling up relevant data through a form in an objective and structured manner. The software will use the information provided by the Bidders to evaluate the technical bid automatically.
- i. For online submission of tender the Bidders will have to upload the following-
 - 1. For One/Single Part System- All the confirmatory documents as prescribed in the NIT and TPS (if applicable) in Cover-I and Price bid in Cover-I/ Cover-II as specified (Both are to be decrypted simultaneously).
 - 2. For Two Part System- All the confirmatory documents as prescribed in the NIT and TPS (if applicable) in Cover-I and "Price-bid" in Cover-II (Both are to be decrypted separately).

In case of EMD exemption, one more document in support of the claim of EMD exemption will have to be uploaded by the Bidder at specified folder.

- i). **Letter of Bid:** The Letter of Bid addressed to the Tender Inviting Authority (TIA) will be given in Tender document containing name of the work, NIT No., Tender ID. This will be the covering letter of the Bidder for his submitted bid. The Bidders have to accept unconditionally the Letter of Bid in GTE (General Technical Evaluation) at the time of bid submission. This online acceptance during bidding through GTE shall be construed as submission of LOB by bidder.



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- ii). **Technical Parameter Sheet (TPS)** (*If applicable as per standard NIT and compatible with the e-procurement portal*): The Technical Parameter Sheet containing the technical specification parameters for the tendered work/service will be in Excel format (password protected) and will be uploaded during tender creation. This will be downloaded by the Bidder and he will furnish all the required information on this Excel file. Thereafter, the Bidder will upload the same Excel file during bid submission in General Technical Evaluation (GTE). The Technical Parameter Sheet which is incomplete and not submitted as per instruction given above will be rejected.
- iii). **Confirmatory Documents**: All the confirmatory documents as enlisted in the NIT in support of online information submitted by the Bidder are to be uploaded in Cover-I or through "My Document" link in Bidder space by the Bidder while submitting his/her bid.
- iv). **Price bid**: The Price bid containing the Bill of Quantity will be in .xls format (password protected) and will be uploaded during tender creation. This will be downloaded by the Bidder and he will quote the rates for all items on this Excel file. Thereafter, the Bidder will upload the same Excel file during bid submission in Cover-I/ Cover-II, as specified for One Part system and in Cover-II for Two Part system. The Price-bid will be in Item Rate or Percentage Rate BOQ or Mixed Rate BOQ format and the Bidder will have to quote for all the tendered items as per NIT and the L-1 will be decided on overall quoted value (i.e. Cost to company). The Price-bids of the tenderers will have no condition. The rate to be quoted will be exclusive of GST but inclusive of all other Taxes and duties as applicable on the last date of submission of Bid. GST is to be shown separately on the overall value of the work. The Price Bid which is incomplete and not submitted as per instruction given above will be rejected.
- v). However, in case of tenders having provision for exemption of EMD, the Bidder claiming for exemption will have to upload the requisite document as specified in NIT in support of their claim for exemption of EMD.

16. DEADLINE FOR SUBMISSION OF BIDS

- 16.1 Bids shall be submitted online on the e-procurement portal of CIL within the deadlines prescribed as per Guidelines for e-procurement for Works and Services of CIL.
- 16.2 The Employer may extend the deadline for submission of Bids by issuing a Corrigendum in accordance with Clause 9, in which case, all rights and obligations of the Employer and the Bidders previously subject to the original deadline will then be subject to the new deadline.
- 16.3 Auto extension of Bid may also be done in accordance to Guidelines for e-procurement for Works and Services of CIL.



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17. MODIFICATION AND WITHDRAWAL OF BIDS

Modification of the submitted bid shall be allowed on-line only before the deadline of submission of tender and the Bidder may modify and resubmit the bid on-line as many times as he may wish.

Bidders may withdraw their bids online within the end date of bid submission and their EMD will be refunded. However, if the Bidder once withdraws his bid, he will not be able to resubmit the bid in that particular tender. For withdrawal of bid after the end date of bid submission, the Bidder will have to make a request in writing to the Tender Inviting Authority. Withdrawal of bid may be allowed till issue of work order/LOA with the following provision of penal action:

I. For One Part System-

The penal actions are-

1. the EMD will be forfeited and
2. the Bidder will be debarred for a minimum period of one year from participating in tenders in CIL/Subsidiary.

The Price-bids of all eligible Bidders including this Bidder will be opened and action will follow as under:

- i). If the Bidder withdrawing his bid is other than L-1, the tender process shall go on.
- ii). If the Bidder withdrawing his bid is L-1, then re-tender will be done.

II. For Two Part System-

The penal actions are-

1. If the request of withdrawal is received before online notification for opening of price bid, the EMD will be forfeited and Bidder will be debarred for a minimum period of one year from participating in tenders in CIL/Subsidiary. The Price-bid of remaining Bidders will be opened and the tender process shall go on.
2. If the request of withdrawal is received after online notification for opening of price bid, the EMD will be forfeited and the Bidder will be debarred for a minimum period of one year from participating in tenders in CIL/Subsidiary. The Price-bids of all eligible Bidders including this Bidder will be opened and action will follow as under:
 - i). If the Bidder withdrawing his bid is other than L-1, the tender process shall go on.
 - ii). If the Bidder withdrawing his bid is L-1, then re-tender will be done.

Note:

- a) In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for forfeiture of EMD and debarment shall be CMD of CIL/Subsidiary Company.
- b) In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for forfeiture



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of EMD and debarment shall be Director of CIL/Subsidiary Company.

- i. In case of above penal actions, a letter will be issued to the Bidder. Guideline for Debarment shall be followed for taking above penal action.
- ii. Penal action against clauses above will be enforced from the date of issue of such order.
- iii. The standard operating procedure to handle withdrawal of bid after end date of submission shall be as per Guidelines for e-Procurement of Works and Services.

18. OPENING OF BID:

18.1 For One Part System-

18.1.1 The tender will be opened one day after the Bid submission end date or next working day whichever is later. Tender will be decrypted and opened online by the "Bid Openers" with their Digital Signature Certificates on the prescheduled date & time of Tender Opening.

18.1.2 All the documents uploaded by L-1 Bidder including EMD exemption documents (if any) and the Evaluation sheets generated by the system online shall be downloaded after opening of bid.

18.2 For Two Part System- Opening of Technical Bid-

18.2.1 The Technical bid (Cover-I) will be opened one day after the Bid submission end date or next working day whichever is later. Technical bid (Cover-I) will be decrypted and opened online by the "Bid Openers" with their Digital Signature Certificates on the prescheduled date & time of Tender Opening.

18.2.2 All the documents uploaded by Bidder(s) including EMD exemption documents (if any) and the Evaluation sheets generated by the system online shall be downloaded after opening of Technical bid (Cover-I). After decryption and opening of Technical bid (Cover-I) the "technical bid opening summary" will be uploaded on the same day.

18.2.3 The e-Procurement System will evaluate the Technical bids automatically on the basis of relevant data provided by Bidder through a form in an objective and structured manner while submitting bid. If the parameter given by Bidder in objective and structured manner does not confirm to required eligibility criteria as specified in the tender document then the bid will be automatically rejected by the system.

18.2.4 Acceptance of Bidder in a general form of online declaration will be recognized and accepted as the certification regarding authenticity of all the information and documents furnished by them online and acceptance



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of all terms and conditions of the bid document, since such acceptance by Bidder with Digital Signature Certificate is legally tenable.

19. EVALUATION OF TENDER:

19.1 After opening of Tender/ Technical bid (as the case may be), it will be evaluated by the constituted Tender Committee.

19.2 Evaluation of Tender-

I. For One Part System:

A. After opening of bid, the documents submitted by L-1 Bidder in cover I as enlisted in the NIT will be downloaded by the Evaluator and shall be put up to the Tender Committee. The Tender Committee will examine the uploaded documents against information/declarations furnished by the L-1 Bidder online. If it confirms to all of the information/ declarations furnished by the Bidder online and does not change the eligibility status of the Bidder then the Bidder will be considered eligible for award of Contract.

B. In case the Tender Committee finds that there is some deficiency in uploaded documents corresponding to the information furnished online or in case corresponding document have not been uploaded by Bidder(s) then the same will be specified online by Evaluator clearly indicating the omissions/shortcomings in the uploaded documents and indicating start date and end date allowing 7 days (7 x 24 hours) time for online re-submission by Bidder(s). The Bidder(s) will get this information on their personalized dashboard under "Upload confirmatory document" link. Additionally, information shall also be sent by system generated email and SMS, but it will be the Bidder's responsibility to check the updated status/information on their personalized dash board regularly after opening of bid. No separate communication will be required in this regard. Non-receipt of e-mail and SMS will not be accepted as a reason of non-submission of documents within prescribed time. The Bidder(s) will upload the scanned copy of all those specified documents in support of the information/ declarations furnished by them online within the specified period of 7 days. No further clarification shall be sought from Bidder.

The shortfall information/ documents should be sought only in case of historical documents which pre-existed at the time of the tender opening and which have not undergone change since then. These should be called only on basis of the recommendations of the TC. So far as the submission of documents is concerned with regard to qualification criteria, after submission of the tender, only related shortfall documents should be asked for and considered. For example, if the bidder has submitted a work order document related to a particular contract without its completion/ performance certificate, the certificate can be asked for and considered. However, no work order for new contract should be asked for so as to qualify the bidder.

C. The tender will be evaluated on the basis of documents uploaded by L-1 Bidder online. The L-1 Bidder is not required to submit hard copy of any



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document through offline mode. Any document submitted offline will not be given any cognizance in the evaluation of tender.

- D.** In case the L-1 Bidder submits requisite documents online as per NIT, then the Bidder will be considered eligible for award of Contract.
- E.** In case the L-1 Bidder fails to submit requisite documents online as per NIT or if any of the information/declaration furnished by L-1 Bidder online is found to be wrong by Tender Committee during evaluation of scanned documents uploaded by Bidder, which changes the eligibility status of the Bidder, then his bid shall be rejected and EMD of L-1 Bidder will be forfeited.
- F.** Deleted
- G.** In case the L1 Bidder is technically eligible but rejection is due to high rate quoted by him/her then the tender shall be cancelled and retendered.
- H.** In case the L1 Bidder is rejected due to noncompliance of confirmatory documents then the L-2 Bidder will become L-1 Bidder and confirmatory documents of this Bidder shall be evaluated by TC and the process shall be followed as mentioned in Clause No. A to G above.
- I.** The process as mentioned at Cl. H shall be repeated till the work is either awarded or all the eligible Bidders are exhausted.
- J.** In case none of the Bidder complies the technical requirement, then re-tender will be done (with the same or different quantity, as per the instant requirement).
- K.** Verification of document shall be dealt as per Guidelines for e-Procurement of Works and Services. (Not a part of Tender document)
- L.** It is responsibility of Bidders to upload legible/clearly readable scanned copy of all the required documents as mentioned above.

II. For Two Part System:

- A.** After opening of Technical bid, the documents submitted by Bidder(s) in Cover I as enlisted in the NIT will be downloaded by the Evaluator and shall be put up to the Tender Committee. The Tender Committee will examine the uploaded documents against information/declarations furnished by the Bidder(s) online. If it confirms to all of the information/declarations furnished by the Bidder online and does not change the eligibility status of the Bidder then the Bidder will be considered eligible for opening of price bid.
- B.** In case the Tender Committee finds that there is some deficiency in uploaded documents corresponding to the information furnished online or in case corresponding document have not been uploaded by Bidder(s) then the same will be specified online by Evaluator clearly indicating the omissions/shortcomings in the uploaded documents and indicating start



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date and end date allowing 7 days (7 x 24 hours) time for online re-submission by Bidder(s). The Bidder(s) will get this information on their personalized dashboard under "Upload confirmatory document" link. Additionally, information shall also be sent by system generated email and SMS, but it will be the Bidder's responsibility to check the updated status/information on their personalized dash board regularly after opening of bid. No separate communication will be required in this regard. Non-receipt of e-mail and SMS will not be accepted as a reason of non-submission of documents within prescribed time. The Bidder(s) will upload the scanned copy of all those specified documents in support of the information/ declarations furnished by them online within the specified period of 7 days. No further clarification shall be sought from Bidder.

The shortfall information/ documents should be sought only in case of historical documents which pre-existed at the time of the tender opening and which have not undergone change since then. These should be called only on basis of the recommendations of the TC. So far as the submission of documents is concerned with regard to qualification criteria, after submission of the tender, only related shortfall documents should be asked for and considered. For example, if the bidder has submitted a work order document related to a particular contract without its completion/ performance certificate, the certificate can be asked for and considered. However, no work order for new contract should be asked for so as to qualify the bidder.

- C. It is responsibility of Bidders to upload legible/clearly readable scanned copy of all the required documents as mentioned above.
- D. The tender will be evaluated on the basis of documents uploaded by Bidder(s) online. The Bidder(s) is/are not required to submit hard copy of any document through offline mode. Any document submitted offline will not be given any cognizance in the evaluation of tender.
- E. In case the Bidder(s) submit(s) requisite documents online as per NIT, then the Bidder(s) will be considered eligible for opening of Price Bid.
- F. Seeking clarification shall be restricted to confirmation of submitted document/online information only and it should be only for one time for a period of upto 7 days. The clarification shall be taken in online mode in the e-Procurement portal of CIL only.
- G. The verification of Document from source shall be done only in case of complaints received or on suspicion. This should be done either through speed post or through electronic communication. No anonymous/pseudonymous complaints shall be entertained.

Note: Not to be part of tender document

- H. In case Bidder(s) fails to confirm the online submitted information(s)/ declaration(s) by the submitted documents as (B) above, their/his bid shall



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be rejected; however, if the confirmatory documents do not change eligibility status of the Bidder in connection his submitted online information(s)/declaration(s), then his/their bid will be accepted for opening of Price Bid.

- I. The price Bid of eligible Bidder in Technical Bid shall be opened with the approval of Tender Approving Authority (TAA*) based on recommendation of Tender Committee.

(Note: 'I' above not to be part of tender document.)

*When TAA is CMD then with the approval of concerned Director and in case the TAA is CFDs then with the approval of CMD. In case TAA is below CMD level, then approval of respective TAA is required.

- J. After Technical evaluation of tender, "Technical Evaluation Summary" will be uploaded by the Evaluator and price bid shall be opened on preschedule date and time mentioned in the NIT online in the e-Procurement portal of CIL. However, in case there is any extension of date and time of price bid opening, it shall be notified online and price bid shall be opened online on e-Procurement portal of CIL at rescheduled date and time.

- K. In case none of the Bidder(s) complies the technical eligibility criteria as per NIT, then Bidder(s) will be rejected online and re-tender (if required) will be done (with the same or different quantity, as per the instant requirement).

III. The following clauses are applicable for both One Part and Two Part Systems:

A. Procurement from Micro and Small Enterprises (MSEs) shall be applicable for Service Tenders in accordance to the notification of Govt. of India and including its amendment(s) as notified by Gol from time to time-

- i) Subject to meeting terms and conditions stated in the tender document including but not limiting to prequalification criteria, 25% of the work will be awarded to MSE as defined in MSE Procurement Policy issued by Department of Micro, Small and Medium Enterprises (MSME) for the tendered work/item. Where the tendered work can be split, MSE quoting a price within a price band of L1 + 15% shall be awarded at least 25% of total tendered work provided they match L1 price. In case the tendered work cannot be split, MSE shall be awarded full work provided their quoted price is within a price band of L-1 + 15% and they match the L-1 price.
- ii) In case of more than one such MSEs are in the price band of L-1 + 15% and matches the L-1 price, the work may be shared proportionately if the job can be split.



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If the job cannot be split, then the opportunity to match the L-1 rate of the tender shall be given first to MSE who has quoted lowest rate among the MSEs and the total job shall be awarded to them after matching the L-1 price of the tender, in case the L-1 is other than MSE. If MSE is a L1 Bidder, full work will be awarded to such Bidder. If the MSE who have quoted lowest rate among the MSEs in the price band of L-1 + 15% do not agree to match the rate of L-1 of the tender, then the MSE with next higher quoted rate in the price band of L-1 + 15% shall be given chance to match the rate of L-1 for award of the complete job. This process to be repeated in till work is awarded to MSE or MSE Bidders are exhausted.

- iii) Out of the 25% target of annual procurement from micro and small enterprises 3(three) percent shall be earmarked for procurement from micro and small enterprises owned by women. In the event of failure of such MSEs to participate in the tender process or meet the tender requirements and L-1 price, 3(three) percent sub-target so earmarked shall be met from other MSEs.
- iv) Out of the 25% target of annual procurement from micro and small enterprises 4(four) percent shall be earmarked for procurement from micro and small enterprises owned by Scheduled Caste & Scheduled Tribe entrepreneurs. In the event of failure of such MSEs to participate in the tender process or meet the tender requirements and L-1 price, four percent sub-target so earmarked shall be met from other MSEs.
- v) To qualify for entitlement as SC/ST owned MSE, the SC/ST certificate issued by District Authority must be submitted by the Bidder in addition to certificate of registration with anyone of the agencies mentioned in paragraph (I) above. The Bidder shall be responsible to furnish necessary documentary evidence for enabling CIL to ascertain that the MSE is owned by SC/ST. MSE owned by SC/ST is defined as:
 - In case of proprietary MSE, proprietor(s) shall be SC /ST
 - In case of partnership MSE, the SC/ST partners shall be holding at least 51% shares in the enterprise.
 - In case of Private Limited Companies, at least 51% share shall be held by SC/ST promoters.
- vi) Classification of Micro and Small Enterprise are as under:
 - a. Micro Enterprise -Enterprise where the investment in Plant and Machinery or Equipment does not exceed One Crore Rupees and Turnover does not exceed Five Crore Rupees.
 - b. Small Enterprise- Enterprise where the investment in Plant and Machinery or Equipment does not exceed Ten Crore Rupees and Turnover does not exceed Fifty Crore Rupees.
- vii) Micro and Small Enterprises (MSEs) registered under Udyam Registration are eligible to avail the benefits under the policy.



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- viii) The MSEs are required to submit copy of documentary evidence, issued by their registering authority whether they are small enterprise or micro enterprise as per provisions of Public Procurement Policy for Micro and Small Enterprise (MSEs) Order, 2012 with latest guidelines/clarifications provided by MoMSME.

Note: Not to be a part of tender document

- a) MSEs shall be allowed to participate in Service tenders in accordance to the notification of Govt. of India and including its amendment(s) as notified by Gol from time to time.
- b) As per clarification received from Ministry of MSME vide F.No.21(12)/2016-MA dated 15th June 2018 Works contract are not covered under PP Policy:

Quote

Subject: Clarification regarding Public Procurement Policy-Applicability to Works Contract reg.

Kindly refer to your e-mail dated 13.06.2018 along with letter No. GAIL/ND/C&P/CO/MSME /2018-19/01/Rem on the subject cited above.

In this context, it is to inform that Public Procurement Policy for Micro and Small Enterprises (MSEs) Order, 2012 supersedes all previous order/circular/letter issues by this office relating procurement of goods and services and also to inform you that as per PP Policy-2012, Works contract are not covered under PP Policy.

Unquote

B. Procurement Preference to Make in India

Preference to Make in India (as applicable) vide Order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020, issued by Govt. of India as amended from time to time shall be applicable. In terms of the above said policy, purchase preference shall be given to Class-I local supplier. In terms with the above said policy, Class-I local suppliers and Class-II local suppliers shall be eligible to bid.

The definitions of Class-I Local Supplier, Class-II local supplier, Non-Local supplier, Local Content and Margin of Purchase Preference as per above mentioned Order are as follows: -

A. 'Class-I local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content equal to or more than 50%, as defined under said order.

B. 'Class-II local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content equal to or more than 20% but less than 50%, as defined under said order.



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C. 'Non-Local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content less than 20% as defined under said order

D. 'Local Content' means the amount of value added in India which shall be the total value of the item procured (excluding net domestic indirect taxes) minus the value of imported content in the item (including all customs duties) as a proportion of the total value, in percent.

E. 'Margin of Purchase Preference' means the maximum extent to which the price quoted by a Class-I local supplier may be above the L1 for the purpose of purchase preference. The margin of purchase preference is 20%.

Preference to Make in India (as applicable) vide Order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020, issued by Govt. of India as amended from time to time shall be applicable. (NOT APPLICABLE WHERE ESTIMATED COST PUT TO TENDER IS LESS THAN 5 LAKHS.)

In terms with the above said policy, Class-I local suppliers and Class-II local suppliers shall be eligible to bid. Non-local supplier is not eligible to bid. The purchase preference shall be given to Class-I local supplier only.

In terms of the above said policy, purchase preference shall be given to Class-I local suppliers in the following manner:

- I. **In the procurement of works which are divisible in nature, the following procedure shall be followed :-**
 - i) Among all qualified bids, the lowest bid will be termed as L-1. If L-1 is from a Class-I local supplier, the contract for full quantity will be awarded to L-1 at L-1 price by the Purchaser.
 - ii) If L-1 is not a Class-I local supplier, 50% of the order quantity shall be awarded to L-1. Thereafter, the lowest bidder among the Class-I local suppliers will be invited to match the L-1 price for the remaining 50% quantity subject to Class-I local supplier's quoted price falling within the margin of purchase preference, and the contract for that quantity shall be awarded to such local supplier subject to his matching the L-1 price. In case such lowest eligible Class-I supplier fails to match the L-1 price or accept less than the offer quantity, the next higher Class-I local supplier within the margin of purchase preference shall be invited to match the L-1 price for remaining quantity and so on, and contract shall be awarded accordingly. In case some quantity is still left uncovered on Class-I local supplier, then such balance quantity may also be ordered on L-1 bidder.



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II. In the procurement of works which are not divisible, and in procurement of services where the bid is evaluated on price alone, the following procedure shall be followed:-

- i) Among all qualified bids, the lowest bid will be termed as L-1. If L-1 is from a Class-I local supplier, the contract will be awarded to L-1.
- ii) If L-1 is not from a Class-I local supplier, the lowest bidder among the Class-I local suppliers, will be invited to match the L-1 price subject to Class-I local supplier's quoted price falling within the margin of purchase preference, and the contract shall be awarded to such Class-I local supplier subject to matching the L-1 price.
- iii) In case such lowest eligible Class-I local supplier fails to match the L-1 price, the Class-I local supplier with the next higher bid within the margin of purchase preference shall be invited to match the L-1 price and so on and contract shall be awarded accordingly. In case none of the Class-I local suppliers within the margin of purchase preference matches the L-1 price, then the contract may be awarded to the L-1 bidder.

Note: The confirmation from the bidder regarding matching of L1 price may be taken in confirmatory document link of e-Procurement portal by recycling 'Any other document' link.

III. Applicability in tenders where contract is to be awarded to multiple bidders

In tenders where contract is awarded to multiple bidders subject to matching of L1 rates or otherwise, the 'Class-I local supplier' shall get purchase preference over 'Class-II local supplier' as well as 'Non-local supplier', as per following procedure:

- a) In case there is sufficient local capacity and competition for the item to be procured, as notified by the nodal Ministry, only Class I local suppliers shall be eligible to bid. As such, the multiple suppliers, who would be awarded the contract, should be all and only 'Class I Local suppliers'.
- b) In other cases, 'Class II local suppliers' and 'Non local suppliers' may also participate in the bidding process along with 'Class I Local suppliers' as per provisions of the Order.
- c) If 'Class I Local suppliers' qualify for award of contract for at least 50 (fifty) percent of the tendered quantity in any tender, the contract may be awarded to all the qualified bidders as per award criteria stipulated in the bid documents. However, in case 'Class I Local suppliers' do not qualify for award of contract for at least 50 (fifty) percent of the tendered quantity, purchase preference should be given to the 'Class I local supplier' over 'Class II local suppliers'/ 'Non local suppliers' provided that their quoted rate falls within margin of purchase



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preference of the L1 bidder considered for award of contract so as to ensure that the 'Class I Local suppliers' taken in totality are considered for award of contract for at least 50 (fifty) percent of the tendered quantity.

- d) First purchase preference has to be given to the lowest quoting 'Class-I local supplier', whose quoted rates fall within margin of purchase preference, subject to its meeting the prescribed criteria for award of contract as also the constraint of maximum quantity that can be sourced from any single supplier. If the lowest quoting 'Class-I local supplier', does not qualify for purchase preference because of aforesaid constraints or does not accept the offered quantity, an opportunity may be given to next higher 'Class-I local supplier', falling within margin of purchase preference, and so on.
- e) To avoid any ambiguity during bid evaluation process, the procuring entities may stipulate its own tender specific criteria for award of contract amongst different bidders including the procedure for purchase preference to 'Class-I local supplier' within the broad policy guidelines stipulated in sub-paras above.

IV. Requirement for specification in advance: The minimum local content, the margin of purchase preference and the procedure for preference to Make in India shall be specified in the notice inviting tenders or other form of procurement solicitation and shall not be varied during a particular procurement transaction.

V. Verification of local content:

- a) If the estimated value of Procurement is less than Rs. 10 crores, all the Bidders at the time of bidding shall submit self-certification indicating the percentage of local content in the offered items. They shall also give details of the location(s) at which the local value addition is made, if applicable.
- b) In cases of procurement for a value in excess of Rs. 10 crores, the 'Class-I local supplier'/'Class-II local supplier' shall be required to provide a certificate with UDIN from the statutory auditor or cost auditor of the company (in the case of companies) or from a practicing cost accountant or practicing chartered accountant (in respect of suppliers other than companies) giving the percentage of local content.
- c) Decisions on complaints relating to implementation of this Order, 2020 (amended from time to time) shall be taken by TAA limited to the CMD of CIL/Subsidiaries to the procuring entity.
- d) CIL/Subsidiary may constitute committees with internal and external experts for independent verification of self-declarations and auditor's/accountant's certificates on random basis and in the case of complaints.



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- e) False declarations will attract debarring of the bidder or its successors for a period up to two years as per Guidelines on debarment of firms from bidding along with such other action as may be permissible under law.
- f) A supplier who has been debarred by any procuring entity for violation of the Order shall not be eligible for preference under the Order for procurement by any other procuring entity for the duration of the debarment. The debarment for such other procuring entities shall take effect prospectively from the date on which it comes to the notice of other procurement entities, in the manner prescribed below.
- g) The Department of Expenditure shall issue suitable instructions for the effective and smooth operation of this process, so that:
 - 1. The fact and duration of debarment for violation of the Order by any procuring entity are promptly brought to the notice of the Member-Convenor of the Standing Committee and the Department of Expenditure through the concerned Ministry /Department or in some other manner;
 - 2. on a periodical basis such cases are consolidated and a centralized list or decentralized lists of such suppliers with the period of debarment is maintained and displayed on website(s);
 - 3. in respect of procuring entities other than the one which has carried out the debarment, the debarment takes effect prospectively from the date of uploading on the website(s) in the such a manner that ongoing procurements are not disrupted.

Note (For departmental users & not to be part of Tender Document):

- In case of procurement of all goods, services or works in respect of which the Nodal Ministry of department has communicated that there is a sufficient local capacity and local competition, only Class-I local supplier as defined under the said order, shall be eligible to bid irrespective of purchase value.
- In procurement of all goods, services or works, not covered by sl. No.1 above and with estimated value of purchases less than Rs.200 crore in accordance to Rule 161 (iv) of GFR 2017, Global tender enquiries shall not be issued except with competent approval as designated by Department of Expenditure. Only Class-I local supplier and Class-II local supplier as defined under the order, shall be eligible to bid in procurements undertaken by procuring entities, except when global tender enquiries have been issued. In global tender enquiries, Non-local suppliers shall also be eligible to bid along with Class-I local suppliers and Class-II local suppliers.



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- Procurements where the estimated value is less than Rs. 5 lakhs, shall be exempted from the Order. However, it shall be ensured by procuring entities that procurement is not split for the purpose of avoiding the provisions of this Order.

VI. Reciprocity Clause

1. When a Nodal Ministry/Department identifies that Indian suppliers of an item are not allowed to participate and/ or compete in procurement by any foreign government, due to restrictive tender conditions which have direct or indirect effect of barring Indian companies such as registration in the procuring country, execution of projects of specific value in the procuring country etc., it shall provide such details to all its procuring entities including CMDs/CEOs of PSEs/PSUs, State Governments and other procurement agencies under their administrative control and GEM for appropriate reciprocal action.
2. Entities of countries which have been identified by the nodal ministry/departments not allowing Indian companies to participate in their Government procurement for any item related to that nodal Ministry shall not be allowed to participate in Government procurement in India (including CIL and its Subsidiaries) for all items related to that nodal Ministry/ Department, except for the list of items published by the Ministry/ Department permitting their participation.
3. The stipulation in (2) above shall be part of all tenders invited by the Central Government procuring entities stated in (1) above. All purchases on GeM shall also necessarily have the above provisions for items identified by nodal Ministry/ Department.
4. The term 'entity' of a country shall have the same meaning as under the FDI Policy of DPIIT as amended from time to time.

NOTE:

- i. Specifying foreign certifications/ unreasonable technical specifications/ brands/ models in the bid document is restrictive and discriminatory practice against local suppliers. If foreign certification is required to be stipulated because of non-availability of Indian Standards and/or for any other reason, the same shall be done only after written approval of CMD of CIL/Subsidiary.
- ii. CIL/Subsidiary whose procurement exceeds Rs. 1000 Crore per annum shall notify/ update their procurement projections every year, for the next 5 years on their respective website (**Note:** To be monitored by Project Monitoring Division).

C. Procurement from Startups shall be applicable for Works/Service Tenders in accordance to the notification of Govt. of India and including its amendment(s) as notified by Gol from time to time.

- i. Prior experience and prior turnover shall be relaxed for Startups Bidders



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as recognized by Department for Promotion of Industry and Internal Trade (DPIIT) for the category of Work/Services in which they are registered, subject to meeting of quality and technical specifications.

- ii. This shall be applicable in Works/Service tenders having innovation, development or improvement of products or processes of services. Such Works/Services need to be examined at Subsidiary level on case to case basis to decide for keeping the provision for Startups. The routine/pre-engineered works/Services shall be excluded from the Startups Clause.
 - iii. To waive off the requirement of experience and turnover criteria for Startups, the details of work Experience and Financial Turnover during bidding on the portal, a pre-defined input shall be prescribed in the NIT to be filled up by startups so that they comply the portal logic. A confirmatory document for being a Startups shall be prescribed in the NIT which shall be a certificate for being a Startups issued by DPIIT in the category of work and/or Service for which they are registered. This provision shall continue till e-Procurement portal is customized to take care of Bidder wise evaluation.
 - iv. The existing working capital eligibility criteria shall be kept enabled for all the participating Bidders including Startups.
- 19.3 The Tender Committee will recommend for award of work to the successful Bidder after evaluating their technical eligibility based on the system-generated evaluation sheets followed by evaluation of the scanned documents uploaded by Bidder in support of the information furnished by them online and after evaluation of the reasonableness of L-1 rates. The reasonableness of rates will be evaluated as per the provisions of Manual of CIL and other guidelines issued from time to time.

[Not to be part of tender document: All tenders are to be evaluated strictly on the basis of the terms and conditions incorporated in the tender document.

Nobody outside the TC should be allowed to determine this evaluation. Even if an external expert's advice and report is obtained, it is still the responsibility of the technical member(s) in particular and the TC in general to accept/ reject or modify the evaluation contained in such a report/ evaluation.

No criteria shall be used for evaluation of tenders that cannot be verified or not stated in the tender document, with the exception of provisions of laws in force. No hearsay information or hitherto undeclared condition should be brought in while evaluating the tenders. Similarly, no tender enquiry condition (especially the significant/ essential ones) should be overlooked/ relaxed while evaluating the tenders. The aim should be to ensure that no tenderer gets undue advantage at the cost of other tenderers and/ or at the



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cost of Procuring Entity. Information relating to evaluation of tenders and the Tender Committee's (TC's) deliberations should be confidential and not be shared with persons not officially connected with the process.]

The approval for award of work to L-1 Bidder will be accorded by the Competent Authority as per Delegation of Power based on the TC recommendation.

19.4 After competent approval and financial concurrence of TCR, the work order to the L-1 Bidder will be issued and the scanned copy of the LOA/Work Order will be uploaded on the e-Procurement portal and simultaneously the original copy may also be sent to the Bidder through registered/speed post.

19.5 (A) **Logical End of online created Tender:** Any tender hosted on the e-Procurement site must be logically concluded i.e. either Award of work is issued at AOC page on e-Procurement portal in online mode or the tender is cancelled/ retendered online through corrigendum.

(B) If L-1 Bidder backs out (i.e. Techno commercially established L-1 Bidder), the EMD will be forfeited and the Bidder will be debarred for minimum one (1) year from participating in tenders in CIL/Subsidiary.

Note (Not part of tender document):-

- iii. In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for forfeiture of EMD and debarment shall be CMD of CIL/Subsidiary Company.
- iv. In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for forfeiture of EMD and debarment shall be Director of CIL/Subsidiary Company.

However, debarment shall be done as per Guidelines on Debarment of firms from Bidding.

20. CLARIFICATION OF BIDS:

Seeking clarification shall be restricted to confirmation of submitted document/online information only and it should be only for one time for a period of upto 7 days. The clarification shall be taken in online mode in the e-Procurement portal of CIL only.

Note: Not to be part of tender document

The verification of Document from source shall be done only in case of complaints received or on suspicion. This should be done either through speed post or through electronic communication. No anonymous/pseudonymous complaints shall be entertained.

21. PROCESS TO BE CONFIDENTIAL

21.1 Information relating to the examination, clarification, evaluation and comparison of Bids and recommendations for the award of a contract shall not be disclosed to Bidders or any other persons not officially concerned with such, however, the Tender Status will be in public domain and anyone visiting the site can view it by identifying the tender.



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It will be the Bidder's responsibility to check the status of their Bid online regularly, after the opening of bid till award of contract. Additionally, information shall also be sent by system generated e-mail and SMS at nodal points (Date of bid opening, Requisition for Clarification on Confirmatory document from the Bidder(s), award of work etc.). No separate communication will be required in this regard. Non-receipt of e-mail and SMS will not be accepted as a reason of non-submission of Confirmatory documents within prescribed time. This will be specifically mentioned in the NIT.

21.2 Any effort by a Bidder to influence the Employer's processing of Bids or award decisions may result in the rejection of his Bid.

21.3 From the time of bid opening to the time of contract award, no bidder shall contact the Procuring Entity on any matter related to the bid, except on request and prior written permission.

22. EXAMINATION OF BIDS AND DETERMINATION OF RESPONSIVENESS

22.1A substantially responsive Bid is one which conforms to all the terms, conditions, and specifications of the Bidding documents without material deviation or reservation. A material deviation or reservation is one:

- a. which affects in any substantial way the scope, quality, or performance of the works;
- b. which limits in any substantial way, inconsistent with the Bidding documents, the Employer's rights or the Bidder's obligations under the Contract; or
- c. whose rectification would affect unfairly the competitive position of other Bidders presenting substantially responsive Bids.

22.2 If a Bid is not substantially responsive, it may be rejected by the Employer at its sole discretion.

23. EVALUATION AND COMPARISON OF BIDS

23.1 The Employer will evaluate and compare only the Bids determined to be substantially responsive in accordance with Clause No. 22.

23.2 The evaluation of Bid, by the Employer shall be done as per Clause No. 19.

23.3 The Employer reserves the right to accept or reject any Bid not conforming to the requirements of the Bidding documents.

23.4 No document presented by the Bidder, after closing date and time of bid, will be taken into account by the evaluation committee unless otherwise called for during scrutiny / technical scrutiny by the tender committee as clarification. This however, will have no bearing with the price quoted in the price bid. If a Bidder offers a rebate unilaterally after the end date and time of the bid submission, it will not be taken into account for evaluation purpose by the tender committee. But if that Bidder emerges as the lowest evaluated, the rebate offer will be taken into account for determination of the total offer.



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- 23.5 Bid evaluation shall be done after taking into consideration overall quoted price by the Bidder and effect of Goods and Services Tax (GST), GST Compensation Cess etc. as applicable. L1 will be decided on the basis of Cost to Company.
- 23.6 There should be no provision in the Bid Documents regarding submission of Additional Security Deposit / Bank Guarantee (BG) in case of Abnormally Low Bids. There shall be a provision in the Bid document regarding Abnormally Low Bid that the Procuring Entity may in such cases seek written clarifications from the Bidder, including detailed price analyses of its bid price in relation to scope, schedule, allocation of risks and responsibilities, and any other requirements of the bids document. If, after evaluating the price analyses, Procuring Entity determines that the Bidder has substantially failed to demonstrate its capability to deliver the contract at the offered price, the Procuring Entity may reject the bid/ proposal.

[Note (Not part of the tender document):

In compelling circumstances, the provision for awarding work in case of Abnormally Low Bid with Additional Performance Security may be incorporated in the tender document with the approval of the next higher authority to the authority competent to finalize the particular tender.

Such Additional Performance Security (APS) shall be applicable if the bid price is below 15% of the updated/justified cost Finalized by the Owner as on the last date of Bid submission. The amount of such APS shall be the difference between 85% of the updated/justified cost Finalized by the Owner as on the last date of Bid submission and quoted price.

Updated/justified cost shall be based on prevalent market rate of material components and Labour components ***as on the base date i.e. the 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to revision, if any) for working out such price variation***, analysed as per standard analysis of rate adopted in the preparation of SOR.

Approving Authority of updated/justified cost shall be the authority who has approved the estimate for the Tender/as per existing guidelines in this regard.

Additional Performance Security (APS) shall be furnished within 21 days of issuance of LOA by the successful Bidder.

Failure to submit such Additional Performance Security shall result into cancellation of the contract with forfeiture of earnest money.

Additionally, the Company shall debar such defaulting Contractor from participating in future tenders in concerned Subsidiary/CIL HQ for a period of minimum 1 (one) year from the date of issue of such letter. In case of JV/CONSORTIUM/Partnership firm, the debarment shall also be applicable to all individual partners of JV/CONSORTIUM/Partnership firm.



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- a) In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be CMD of CIL/Subsidiary Company.
- b) In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be Director of CIL/Subsidiary Company.

However, debarment shall be done as per Guidelines on Debarment of firms from Bidding.

24. AWARD CRITERIA

Subject to Clause 23, the Employer will award the Contract to the Bidder whose Bid has been determined to be substantially responsive to the Bidding documents and who has offered the lowest evaluated acceptable Bid Price, provided that such Bidder has been determined to be:

- a. eligible in accordance with the provisions of Clause 2; and
- b. qualified in accordance with the provisions of Clause 3.

25. EMPLOYER'S RIGHT TO ACCEPT ANY BID, NEGOTIATE AND TO REJECT ANY OR ALL BIDS

25.1 Notwithstanding Clause 24, the Employer reserves the right to accept, negotiate or reject any Bid, and to cancel the bidding process and reject all Bids, at any time prior to the award of Contract, without thereby incurring any liability to the affected Bidder or Bidders or any obligation to inform the affected Bidder or Bidders of the grounds for the Employer's action.

25.2 (A) Negotiations

- i) Normally, there should be no negotiation. Negotiations should be a rare exception rather than the rule and may be resorted to only in exceptional circumstances. If it is decided to hold negotiations for reduction of prices, they should be held only with the lowest acceptable bidder (L1), who is techno-commercially responsive and on whom the contract would have been placed but for the decision to negotiate. In no case, including where a cartel/ pool rates are suspected, should negotiations be extended to those who had either not tendered originally or whose tender was rejected because of unresponsiveness of bid, unsatisfactory credentials, inadequacy of capacity or unworkable rates. The circumstances where negotiations may be considered could be:
 - a) Where the procurement is done on nomination basis;
 - b) Procurement is from single or limited sources;
 - c) Procurements where there is suspicion of cartel formation which should be recorded; and
 - d) Where the requirements are urgent and the delay in re-tendering for the entire requirement due to the unreasonableness of the quoted rates would jeopardise essential operations, maintenance and safety, negotiations with L1 bidder(s) may be done for bare minimum quantum of requirements. The balance bulk requirement should, however, be procured through a re-tender, following the normal tendering process.
- ii) The decision whether to invite fresh tenders or to negotiate and with whom, should be made by the tender accepting authority limited to CMD of CIL/



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Subsidiary based on the recommendations of the TC. Convincing reasons must be recorded by the authority recommending negotiations. The CA should exercise due diligence while accepting a tender or ordering negotiations or calling for a re-tender and a definite timeframe should be indicated.

- iii) Normally all counter offers are considered negotiations by other means and the principles of negotiations should apply to such counter offers. For example, a counter offer to L1, in order to arrive at an acceptable rate, shall amount to a negotiation. However, any counter offer to L2, L3, and so on (at the rates accepted by L1) in case of splitting of quantities shall not be deemed to be a negotiation.
- iv) After the CA or TC has decided to call a specific bidder for negotiation, the following procedure should be adopted:
 - a) Negotiations must be carried out by the CA or TC only;
 - b) It must be understood that, if the period of validity of the original offer expires before the close of negotiations, the original offer will not be available for acceptance. The period of validity of the original offer must, therefore, be extended, wherever necessary, before negotiations;
 - c) The tenderer to be called in for negotiations should be addressed as per the format of letter laid down in Annexure 14, so that the rates originally quoted by him shall remain open for acceptance in the event of failure of the contemplated negotiation;
 - d) A negotiations meeting should be started only after obtaining a signed declaration from the negotiating contractor as per Annexure 14; and Revised bids should be obtained in writing from the selected tenderers at the end of the negotiations in the format of letter laid down in Annexure 15. The revised bids so obtained should be read out to the tenderers or their representatives present, immediately after completing the negotiations. If necessary, the negotiating party may be given some time to submit its revised offer. In case, however, the selected bidder prefers to send a revised bid instead of being present at the negotiation, the offer should be taken into account. In case a bidder does not submit the revised bid, its original bid shall be considered.

(B) In case, negotiation with L-1 does not yield a reasonable rate, re-tendering should be done straightway.

However, in case there is an emergency and the time required for re-tendering cannot be allowed, the case of awarding work to the L-1 Bidder at negotiated rate may be considered by an authority one step higher than the otherwise Competent Authority after recording the reasons.

Where CFDs is the approving authority, approval shall be from CFDs only. However, TAA shall be in accordance with current prevalent DoP of CIL/Subsidiary.

- i) If there are more than one lowest Bidder & splitting up of the work is allowed then work can be split to all bidders at L-1 Price.
- ii) If there are more than one lowest Bidder & splitting up of the work is not considered necessary, L-1 may be decided as under:



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All L-1 Bidders may be advised to submit the reduced price online & final L-1 may be decided on the basis of revised (reduced) Price.

OR

Through "Reverse Auction" amongst the L-1 Bidders online, if "Reverse Auction" is available in online mode.

The above Principle may be followed for offline tenders also.

All factual details including complaints and negotiations, if any, to be brought out and reasons for recommendation of award to be recorded in TCR in detail.

The tender committee submits final recommendations (covering Part-I & Part-II) in detail along with minutes of the negotiation, if any and decision of the tender committee at each stage. The tender committee recommendations with the supporting documents are sent for approval of the competent authority through associate finance.

26. NOTIFICATION OF AWARD AND SIGNING OF AGREEMENT

26.1 The Bidder, whose Bid has been accepted, will be notified /communicated by the Employer electronically online on the e-procurement portal of CIL prior to expiration of the Bid validity period. This letter (hereinafter and in the Conditions of Contract called the "Letter of Acceptance") will state the sum that the Employer will pay the Contractor in consideration of the execution and completion of the Works by the Contractor as prescribed by the Contract (hereinafter and in the Contract called "the Contract Price").

The offline communication of LOA shall not be mandatory.

26.2 The notification of LOA will constitute the formation of the Contract.

26.3 The work order shall be issued by Area GM for the contracts awarded from Company HQ, after submission of Performance Security and Additional Performance Security (if any) by the Contractor as per schedule with following details:

- a. Time schedule for Execution of Formal written Agreement.
- b. Any other salient detail as per standard format (to be decided at Subsidiary level).

Note: For the works awarded with the approval of Area GM or by an Authority of lower level, the practice of issuance of Work Order, signing of Agreement etc. may be followed as decided at Subsidiary Level.

The Agreement will incorporate all agreements between the Employer and the successful Bidder and shall be executed within 14 days of confirmation of Performance Security and Additional Performance Security (if any) submitted by the Contractor as per contract conditions.

In case of failure to enter in to agreement within the specified period, the contract will be terminated in accordance to Clause 9 of Conditions of Contract (GTC).

No payment for the work shall be made before execution of this agreement.



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- 26.4 In the bidding process, the cause of rejection of bid of any Bidder shall be intimated to non-qualified Bidder online and the Earnest Money shall be refunded to unsuccessful Bidders as per provision of Clause No.14.3.
- 26.5 The Contractor shall enter into and execute contract agreement in the prescribed form on non-judicial stamp paper in accordance with the relevant law of the State/Union of India. The cost of the stamp papers for the contract agreement shall be borne by the Contractor. Two sets of contract document/agreements shall be prepared and signed by both the parties. One of the sets shall be stamped "Original" and the other "Duplicate". The duplicate copy and one additional copy will be supplied to the Contractor free of cost and the original is to be retained by the Company. For any additional copy, additional cost to be charged.

All additional copies should be certified by the Engineer-In-Charge.

27. PERFORMANCE SECURITY/SECURITY DEPOSIT

Security Deposit shall consist of two parts:

- a) Performance Security to be submitted at award of work and
- b) Retention Money to be recovered from running bills.

The Security Deposit shall bear no interest.

For details refer Clause No. 4 of Conditions of Contract (General terms and Conditions)

28. Employment of Labour:

- 28.1 Contractors are to employ, to the extent possible (as per policy decision of the Company valid from time to time), local project affected people and pay wages not less than the wages fixed (notified and prevalent during execution of the work for mining activity) by the Company and guidelines incorporated at Clause 12 of GTC. CIL/ Subsidiary companies shall specify such rates in their bid.

Payment of Provident Fund for the workmen employed by him for the work as per the Law prevailing under provision of CMPF/EPF and allied scheme valid from time to time shall be responsibility of the Contractor which shall be in accordance with the following guidelines:

1. The Contractor must be mandatorily registered as employer under the CMPF Act and allied scheme and shall submit details of their workers with the CMPF number, wherever required. The contractor shall submit CMPF registration certificate before signing of agreement.
2. If any employee of a Contractor is not a member of any Provident Fund, he shall be required to become a member of CMPF scheme immediately, for availing benefits therefrom.
3. Where the employees of a Contractor are members of EPF scheme, the Contractor shall provide appropriate facilitation to those employees who voluntarily opt for conversion from EPF Schemes to CMPF Schemes. In addition to the above, the Contractor shall provide a copy of the updated passbook having entry made in the CMPF/EPF or Allied Scheme(s) of



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Provident fund as the case may be, to the Competent Authority annually or as and when asked. Bidder shall also submit copies of statutory returns.

Note:

However, if the basic rate of wages of labour as fixed by CIL (i.e. with respect to HPC wages) is revised during the contract period then the incremental difference shall be reimbursed on actual basis through a suitable mechanism as decided by CIL/Subsidiary.

28.2 The Bidder shall also comply with statutory requirements of various acts including CL(R&A) Act.

28.3 The Contractor's workmen shall be paid through Bank.

29. Legal Jurisdiction

Matter relating to any dispute or difference arising out of this tender and subsequent contract awarded based on the bid shall be subject to the jurisdiction ofcourt only.

30. Downloading bid document

- i) The Bidders will download the Bid documents from the website. The Company shall not be responsible for any delay/ difficulties/ inaccessibility of the downloading facility for any reason whatsoever. The downloading facility shall be available as soon as the bid is notified and up to the period of submission.
- ii) The Bidders will be required to submit an undertaking that they will accept the Bid documents as available in the website and their Bid shall be rejected if any tampering in the Bid documents is found to be done at any time after opening of Bid and during pendency of the contract.
- iii) The bid document as available online on the e-procurement of CIL shall always prevail and will be binding on the Bidders. Any claim on account of any deviation with respect to this online Bid document from the Bidder side shall not be entertained.

31. e-Payment

The Bidders have to furnish the details of their bank A/c Nos. Name and Address of the Bank and Branch Code along with the Bid. Successful Bidders/ Bidders are required to submit an Authorization form duly signed for e-payment to them. Enclosed Annexure be filled in and submitted along with the Bid.

32. Integrity Pact (As Applicable)

Bidders are required to accept the pre-contract integrity pact as available in the Bid document online at e-procurement portal of CIL. This will be signed by the authorized signatory of the Bidder (s) with name, designation and seal of the Company at time of execution of formal agreement.



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32.1 Code of Integrity for Public Procurement (CIPP)

Bidders are required to accept the CIPP as available in the Bid document online at e-procurement portal of CIL. This will be signed by the authorized signatory of the Bidder (s) with name, designation and seal of the Company at time of execution of formal agreement. In case of Partnership Firms/JV/CONSORTIUM all partners shall sign at the time of agreement.

33. Change in the Constitution of Contracting Agency.

Prior approval in writing of the Company shall be obtained, before any change is made in the constitution of the contracting agency, otherwise it will be treated as a breach of contract.

34. Miscellaneous.

34.1 The bidders should fill the bid document properly and carefully. They should avoid quoting absurd rates.

34.2 The site for work may be made available in parts.

34.3 Throughout the bidding documents, the terms 'bid' and "tender" and their derivatives are synonymous.

34.4 Provisions related to instructions to bidder shall be a part of agreement.

Note: For e-tendering, refer Instruction to Bidders under Services Manual for e-tendering.

35. Restriction on Procurement from a bidder of a country which share a land border with India and on sub-contracting to Contractors from such countries:

- I. Any bidder from a country which shares a land border with India will be eligible to bid in this tender only if the bidder is registered with the Competent Authority.
- II. "Bidder" (including the term 'tenderer', 'consultant' or 'service provider' in certain contexts) means any person or firm or Company, including any member of a consortium or Joint Venture/Consortium (that is an association of several persons, or firms or companies), every artificial juridical person not falling in any of the descriptions of bidders stated hereinbefore, including any agency branch or office controlled by such person, participating in a procurement process.
- III. "Bidder from a country which shares a land border with India" for the purpose of this Order means. -
 - a. An entity incorporated, established or registered in such a country; or
 - b. A Subsidiary of an entity incorporated, established or registered in such a country; or
 - c. An entry substantially controlled through entities incorporated, established or registered in such a country; or



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- d. An entity whose beneficial owner is situated in such a country; or
 - e. An Indian (or other) agent of such an entity; or
 - f. A natural person who is a citizen of such a country; or
 - g. A consortium or Joint Venture/Consortium where any member of the consortium or Joint Venture/Consortium falls under any of the above
- IV. The beneficial owner for the purpose of (III) above will be as under
- 1. In case of a Company or Limited Liability Partnership, the beneficial owner is the natural persons, who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means
Explanation--
 - a. "Controlling ownership interest" means ownership of or entitlement to more than twenty-five percent. of shares or capital or profits of the Company
 - b. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements;
 - 2. In case of a partnership firm, the beneficial owner is the natural person(s) who, whether acting alone or together, or through one or more juridical person, has ownership of entitlement to more than fifteen percent of capital or profits of the partnership;
 - 3. In case of an unincorporated association or body of individuals, the beneficial owner is the natural persons, who, whether acting alone or together, or through one or more juridical person has ownership of or entitlement to more than fifteen percent of the property or capital or profits of such association or body of individuals,
 - 4. Where no natural person is identified under (1) or (d) or (3) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
 - 5. In case of a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with fifteen percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.
- V. An Agent is a person employed to do any act for another, or to represent another in dealings with third person.



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DEFINITIONS:

- i. The word "Company" or "Employer" or "Owner" wherever occurs in the conditions, means the Limited, represented at the headquarters of the Company by the or his authorized representative or any other officer specially deputed for the purpose.
- ii. The word "Principal Employer" wherever occurs, means the authorized representative or any other officer specially deputed by the Company for the purpose.
- iii. The word "Contractor"/"Contractors" wherever occurs means the successful Bidder/Bidders who has/have deposited the necessary Earnest Money and has/have been given written intimation about the acceptance of tender and shall include legal representative of such individual or persons composing a firm or a Company or the successors and permitted assignees of such individual, firm or Company, as the case may be.
- iv. "The Site" shall mean the site of the contract work including land and any building and erections thereon and any other land allotted by the Company for Contractor's use.
- v. 'Tender Accepting Authority (TAA)/ Awarding Authority' shall mean the management of the Company and includes an authorized representative of the Company or any other person or body of persons empowered in this behalf by the Company to approve the Tender.

Tender Accepting Authority (TAA)/Awarding Authority at any time after the award of tender till the finalization of contract shall be construed as the authority as per the prevalent DoP of CIL/Subsidiary.

Note: Interpretation of Tender Accepting Authority (TAA)/ Awarding Authority as above is applicable for the existing and future contracts.

- vi. A 'Day' shall mean a day of 24 hours from midnight to midnight.
- vii. Engineer-In-Charge/Designated Officer-in-charge who is of an appropriate seniority will be responsible for supervising and administering the contract, certifying payment due to the Contractor, valuing variations to the contract, awarding extension of time and valuing compensation events. Engineer-In-Charge/Designated Officer-in-charge may further appoint his representatives i.e. another person/ Project Manager or any other competent person and notify to the Contractor who is directly responsible for supervising the work being executed at the site, on his behalf under the Delegation of Powers of the Company. However, overall responsibility, as far as the contract is concerned will be that of the Engineer-In-Charge/Designated Officer-in-charge.
- viii. The 'Contract' shall mean the Notice Inviting Tender, the tender as accepted by the Company and the formal agreement executed between the



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Company and the Contractor together with the documents referred to therein including General Terms and Conditions, Special Conditions, if any, schedule of quantities with rates and amounts, Schedule of work. Until the formal agreement is signed between the Owner and the Contractor, LOA/Work Order together with Contract Document, shall constitute the Contract.

- ix. The 'Works' shall mean the works required to be executed in accordance with the contract or parts thereof as the case may be and shall include all extra or additional or any work of emergent nature, which in the opinion of the Engineer-In-Charge, become necessary during the progress of the works to obviate any risk or accident or failure or become necessary for security.
- x. 'Schedule of Rates' referred to in these conditions shall mean the standard schedule of rates prescribed by the Company and the amendments issued from time to time.

Note: - Functional Directors of Subsidiaries to approve the Schedule of Rates for Coal Transportation, OB Removal, OB removal & Coal evacuation/transportation (composite) etc.

- xi. 'Contract price' shall mean
- a) in the case of lump sum contracts the total sum for which tender is accepted by the Company.
 - b) in the case of other types of contracts, the total sum arrived at based on the individual rates quoted by the tenderer for the various items shown in the 'Bill of quantities' of the tender documents as accepted by the Company with or without any alteration as the case may be.
- xii. 'Written notice' shall mean a notice or communication in writing and shall be deemed to have been duly served if delivered in person to the individual or to a member of the firm or to an office of the Corporation/Company for whom it is intended, or if delivered at or sent by registered mail to the last business address known to him who gives the notice.

2. CONTRACT DOCUMENTS:

The following documents shall constitute the contract documents:

- (i) Articles of Agreement,
- (ii) Notice Inviting Tender and Instruction to Bidders,
- (iii) Conditions of Contract, including General Terms and Conditions, Additional Terms and Conditions, Special Conditions, if any etc. forming part of the Agreement,
- (iv) Letter of Acceptance of Bid indicating deviations, if any, from the Conditions of Contract incorporated in the Bid/Tender document issued to the Bidder,
- (v) Scope of works/Bills of Quantities,
- (vi) Finalized work programme,



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- (vii) Integrity Pact as applicable as decided by different Subsidiary Companies
- (viii) Guidelines on Debarment of firms from Bidding,
- (ix) Code of Integrity for Public Procurement.
- (x) Any other document, if required.

- 2.1 After acceptance of Bid and on execution of Contract/issue of Work Order to proceed with the work, as the case may be, the Contractor shall be furnished, free of charge, two copies of contract documents. (certified true copies), excepting those drawings to be supplied during the progress of work. The Contractor shall keep copy of these documents on the site/place of work in proper manner so that these are available for inspection at all reasonable times by the Engineer-In-Charge, his representatives or any other officials authorized by the Company for the purpose.
- 2.2 None of these documents shall be used by the Contractor for any purpose other than this contract and the Contractor shall ensure that all persons employed for this contract strictly adhere to this and maintain secrecy, as required of such documents.

3. DISCREPANCIES AND ADJUSTMENTS THEREOF:

The documents forming part of the contract are to be treated as mutually explanatory.

3.1 In the event of varying or conflicting provisions made in any of the document/documents forming part of the contract, the Tender Accepting Authority's decision/clarification shall hold good with regard to the intention of the document or contract, as the case may be.

3.2 Any error in description, quantity or rate in schedule or quantities or any omission therefrom, shall not vitiate the contract or release the Contractor from discharging his obligations under the contract including execution of work according to the specifications forming part of the particular contract document.

3.3 Any difference detected in the tender/tenders submitted, resulting from:

- a. discrepancy between description in words and figures the rate which corresponds to the words quoted by the Contractor shall be taken as correct.
- b. discrepancy in the amount quoted by the Contractor due to calculation mistake of the unit rate and quantity, the unit rate shall be regarded as firm and amount corrected.
- c. discrepancy in totaling or carry forwards in the amount quoted by the Contractor shall be corrected.



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The tendered sum so corrected and altered shall be substituted for the sum originally tendered and considered for acceptance instead of the original sum quoted by the tenderer along with other tender/tenders. Rounding off to the nearest rupee should be done in the final summary of the amount instead of in totals of various sections of schedule of quantities.

4. SECURITY DEPOSIT:

4.1 Security Deposit shall consist of two parts:

- a) Performance Security to be submitted at award of work and
- b) Retention Money to be recovered from running bills.

The Security Deposit shall bear no interest.

4.2 Performance Security (first part of Security Deposit) should be 5% of annualized value of contract amount or contract amount, whichever is less and should be submitted within 21 days of issue of LOA, by the successful Bidder in any of the form given below:

- A Bank Guarantee (BG) in the form given in the bid document from any Scheduled Bank. The BG issued by outstation bank shall be operative at its local branch..... or branch at.....
- Govt. Securities, FDR (Scheduled Bank) or any other form of deposit Stipulated by the owner.
- Demand Draft drawn in favour of on any Scheduled Bank payable at its Branch at.....

However, Company may approve submission of Performance Security beyond 21 days by another 14 days with proper justification on a case to case basis.

Not to be part of Tender Document:

The approving authority for the above shall be CFD of the concerned Subsidiary. Further, CFDs, CIL is authorized to accept Performance Security for the work awarded beyond the time limit of 14 days already authorized to Subsidiary CFDs with proper justification on case to case basis.

The Earnest Money/Bid Security deposited is to be returned to the Contractor after submission of Performance Security. The Earnest Money/Bid Security deposited may be adjusted against the Security Deposit (Performance Security) at Bidder's option.

Note: In line with amendment vide letter CIL/GM(CMC)/2020/1152 dated 04.12.2020 issued by GM(CMC), CIL regarding Guidelines for Bid Security/ Earnest Money, Performance Security and Additional Performance Security, the reduction of Performance Security from existing provision of 5% to 3% of the



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value of contract (contract value or annualized contract value as the case may be) shall be applicable for all existing contracts and all tenders / contracts issued / concluded till 31.03.2023 as applicable.

4.3 If Performance Security is provided by the successful Bidders in the form of Bank Guarantee it shall be issued either –

- (a) at Bidder's option by a Scheduled Bank
or
- (b) by a Foreign Bank located in India and acceptable to the Employer.
- (c) the validity of the Bank Guarantee shall be for a period of "one year" or "ninety days, beyond the period of contract/extended period of contract (if any)", whichever is more.

BG of scheduled commercial bank located in India and acceptable to the company should only be accepted. Thus, any BG issued by foreign bank from outside India shall not be accepted.

Bank Guarantee (BG) is to be submitted in the format prescribed by the Company. Bank Guarantee shall be irrevocable and it shall be issued by any Indian Nationalized Bank/Scheduled Bank on Structured Financial Messaging System (SFMS) platform which is payable / enforceable at

The paper BG would be delivered by Issuing Bank to the Beneficiary under Speed Post/Registered Post (AD). Original Bank Guarantee shall be accepted from Issuing Bank only. However, the paper BG would be operative only on receipt of a separate advice through SFMS and confirmed by the Advising Bank (i.e. Beneficiary Bank). The confirmation of issuance of BG through SFMS from Advising Bank shall be obtained through electronically as well as print out of the said message from Advising Bank with seal and signature.

The details of Beneficiary for issue of BG under SFMS platform is furnished below:

Name of Beneficiary & its details	Name	
	Area	
	Bank Account No.	
	Department	
Beneficiary Bank & Address		
IFCS Code		

The above particulars are to be incorporated by the Issuing Bank properly while issuing BG under SFMS mode to avoid any problem in future.



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Original Bank Guarantee (issued by the Issuing Bank) shall be sent by the Issuing Bank to concerned Department by Registered Post (AD).

In case the successful Bidder fails to submit the Performance Security and Additional Performance Security, if any, within the stipulated time then the award of work may be cancelled with forfeiture of the Bid Security/Earnest Money.

Additionally, the Company shall debar such defaulting Contractor from participating in future tenders in concerned Subsidiary/CIL HQ for a period of minimum one year from the date of issue of such letter.

In case of JV/CONSORTIUM/Partnership firm, the debarment shall also be applicable to all individual partners of JV/CONSORTIUM/Partnership firm.

“Note (Not part of tender document):

- a) In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be CMD of CIL/Subsidiary Company.
- b) In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be Director of CIL/Subsidiary Company.

However, debarment shall be done as per Guidelines for Debarment of firms from Bidding.

Safe Custody and Monitoring of Securities-

The BG Details after confirmation and acceptance shall be entered in SAP by Associate Finance and its validity expiry shall be monitored through SAP. The BG shall be sent by Associate Finance to Corporate Finance CIL/ Subsidiary for safe custody. Extension of bank guarantees and other instruments, where warranted, should be sought immediately and implemented within their validity period.

For release of BGs, the proposal shall be forwarded by EIC with their recommendations in accordance with the contract conditions, for approval by the Competent Authority with the concurrence of the Finance Division.

4.4 All Running on Account Bills shall be paid at 97%. The balance 3% shall be treated as Retention Money and will be second part of security deposit.

For contracts of more than one year, Retention Money may be refunded to the Contractor annually on submission of Bank Guarantee of equivalent amount subject to satisfactory performance of the Contractor during the year.

The validity of the Bank Guarantee shall be for 270 days beyond the period of contract for extended period of contract or one year whichever is more.



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4.5 Refund of Security Deposit:

The refund of Security Deposit shall be subject to Company's right to deduct/appropriate its dues against the Contractor under this contract or under any other contract. On completion of the work and certified as such by the Engineer-In-Charge, the Security Deposit remaining with the Company shall be refunded.

Performance Security (1st part of security deposit) shall be refunded within 60 days of the completion of the work. (The date of completion of the work will be certified by the Engineer-In-Charge)

Retention Money (2nd part of security deposit) shall be refunded within ~~after~~ 150 days of the completion of the work. (The date of completion of the work will be certified by the Engineer-In-Charge).

4.6 Additional Performance Security (APS):

There should be no provision in the Bid Documents regarding submission of Additional Security Deposit / Bank Guarantee (BG) in case of Abnormally Low Bids. There shall be a provision in the Bid document regarding Abnormally Low Bid that the Procuring Entity may in such cases seek written clarifications from the Bidder, including detailed price analyses of its bid price in relation to scope, schedule, allocation of risks and responsibilities, and any other requirements of the bids document. If, after evaluating the price analyses, Procuring Entity determines that the Bidder has substantially failed to demonstrate its capability to deliver the contract at the offered price, the Procuring Entity may reject the bid/ proposal.

As a safeguard, it should be closely monitored that final payments in such cases do not abnormally increase due to extra items. Further, there is no abnormal increase in quantities of the item for which contractors have initially quoted very high rates.

[Note (Not part of the tender document):

In compelling circumstances, the provision for awarding work in case of Abnormally Low Bid with Additional Performance Security may be incorporated in the tender document with the approval of the next higher authority to the authority competent to finalize the particular tender.

Such Additional Performance Security (APS) shall be applicable if the bid price is below 15% of the updated/justified cost Finalized by the Owner as on the last date of Bid submission. The amount of such APS shall be the difference between 85% of the updated/justified cost Finalized by the Owner as on the last date of Bid submission and quoted price.

Updated/justified cost shall be based on prevalent market rate of material components and Labour components, analysed as per standard analysis of rate adopted in the preparation of SOR.



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Approving Authority of updated/justified cost shall be the authority who has approved the estimate for the Tender/as per existing guidelines in this regard.

Additional Performance Security (APS) shall be furnished within 21 days of issuance of LOA by the successful Bidder.

Failure to submit such Additional Performance Security shall result into cancellation of the contract with forfeiture of earnest money.

Additionally, the Company shall debar such defaulting Contractor from participating in future tenders in concerned Subsidiary/CIL HQ for a period of minimum 1 (one) year from the date of issue of such letter. In case of JV/CONSORTIUM/Partnership firm, the debarment shall also be applicable to all individual partners of JV/CONSORTIUM/Partnership firm.

Note (Not part of tender document):

- a) In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be CMD of CIL/Subsidiary Company.
- b) In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be Director of CIL/Subsidiary Company.

However, debarment shall be done as per Guidelines on Debarment of firms from Bidding.]

In case the work is awarded with Additional Performance Security, it may be furnished in any of the forms as applicable for Performance Security.

The validity of the Bank Guarantee if APS submitted in the form of BG shall be for a period of one year or ninety days beyond the period of contract /extended contract period (if any), whichever is more.

This Additional Performance Security will not carry any interest and shall be released in the following manner:

- I. 30% of Additional Performance Security will be released after 60% of the total work is completed.
- II. 50% of Additional Performance Security will be released after 80% of the total work is completed.
- III. 100% of Additional Performance Security will be released after total work is completed.

5. DEVIATIONS/ VARIATIONS IN QUANTITIES:

Extent and Pricing: The quantities given in the 'Schedule of Quantities' are provisional and are meant to indicate the extent of the work and to provide a



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uniform basis for tendering and any variation either by addition or omission shall not vitiate the contract.

- 5.1 The Company through its Engineer-In-Charge or his representative shall, without radically changing the original scope and nature of the contracted work, have power to make any alterations in or additions to or substitution of the original and instructions that may appear to be necessary or advisable during the progress of the work. The Contractor shall be bound to carry out the works in accordance with the instructions given to him in writing by Engineer-In-Charge or his representative on behalf of the Company.

Note: Change of site within the mines of same Area because of geological disturbances, non-shifting of houses, non-availability of FC in time, some EC restrictions etc. shall not be considered as radical change in the original scope and nature of the contracted work, for the purpose of clause 5.1 of CMM and shall be approved by CFD of Subsidiary Company. Such change of site shall not require consent of the Contractor. CFD of the Subsidiary Company may approve change of site in other situations also, depending upon the prevailing local conditions.

However, if change of site relates to mine outside the Area but in the same Coalfield due to situations as enumerated above, may be decided by the CFD of the Subsidiary, with the consent of the Contractor.

Such altered or additional work, which shall form part of the original contract, shall be carried out by the Contractor on the same conditions in all respects on which they agree to do the main works and at the same rate/rates as are specified in the contract.

In case of difficulty in handing over the site indicated in tender document or in continuing the work as per the agreed time and progress chart in allocated site, the Company shall have the right to allocate an alternative and/or supplementary site similar to the original site in terms of geological formations and the same range of leads in the same mine to achieve the quantity limited to mutually agreed time and progress chart/NIT. No sooner the adequate hindrance free space at original site is available, the work should be restored in the original site. In such cases no extension of contract with additional quantity shall be done.

“Note (Not part of tender document):

The shifting of place of work should not allow / accrue any extra benefit to the Contractor.”

- 5.2 If the additional or altered work includes any class of work for which no rate/rates is/are specified in the contract, rates for such items shall be determined by the Engineer-In-Charge as follows:
- a. the rate shall be derived from the rate/rates for similar or near similar class of work as is/are specified in the contract/tender, failing which



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- b. the rates shall be derived from the Company's prescribed Schedule of Rates based on which the estimate for tendering has been prepared plus or minus the percentage by which the tendered amount for the whole work quoted by the Contractor is above or below the estimated amount as per the tender documents, failing which
- c. the rate shall be derived from Contractor's rate claimed for such class of work supported by analysis of the rate/rates claimed by the Contractor. The rate to be determined by the Engineer-In-Charge as may be considered reasonable taking into account percentage of profit and overhead not exceeding ten percent or on the basis of market rates, if any, prevailing at the time when work was done.

In the case of composite tenders, where two or more schedule of quantities for similar item description may form part of the contract, the applicable rate shall be taken from the schedule of quantities of that particular part in which the deviation is involved, failing that at the lowest applicable rate for the similar item of work in the other schedule of quantities.

However, the Engineer-In-Charge shall be at liberty to cancel the instruction by notice in writing and to arrange to carry out the work in such manner as he /she considers advisable under the circumstances. The Contractor shall under no circumstances suspend the work on the plea of non-settlement of rates.

- 5.3 Alterations in the quantities shall not be considered as a change in the conditions of the contract nor invalidate any of the provision thereof provided that a Revised Work Order for the item/items involved is issued. Such alterations with consent of the Contractor shall need appropriate approval, as below:

- a) Additional quantity upto 10% of original awarded value may be awarded in exceptional circumstances with the approval of Tender Approving Authority.

Note (Not part of tender document): -

In the above case, approval of Tender Accepting Authority (TAA) shall be taken. In case CFDs of CIL/Subsidiary or CMD is TAA, then the approval of CMD of CIL/Subsidiary is to be obtained and if the TAA is below CMD level then approval of TAA shall be obtained.

- b) However, in exceptional circumstances such as non-finalization of subsequent contract, court case etc, additional quantity beyond 10% and upto 30% of original awarded value may be awarded with the approval of Competent Authority.

Note (Not part of tender document): -

This shall require the approval of authority one step higher than the



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Tender Accepting Authority. In case CFDs is Tender Accepting Authority, then the approval of CFDs of CIL/Subsidiary is to be obtained.

However, TAA shall be in accordance with current prevalent DoP of CIL/Subsidiary.

- 5.4 The time for completion of the originally contracted work shall be extended by the Company in the proportion that the additional work (in value) bears to the original contracted work (in value) as may be assessed and certified by the Engineer-In-Charge.

The validity of the Bank Guarantee, if submitted by the Contractor, in lieu of Performance Security / Security Deposit shall be extended in pursuant to Clause No.4.3 taking into consideration the period of extension.

- 5.5 The Company through its Engineer-In-Charge or his representative, on behalf of the Company, shall have power to omit any part of the work for any reason and the Contractor shall be bound to carry out the work in accordance with the instruction given by the Engineer-In-Charge. No claim for extra charges/damages shall be made by the Contractor on these grounds.
- 5.6 In the event of any deviation being ordered which in the opinion of the Contractor changes radically the original scope and nature of the contract, the Contractor shall under no circumstances suspend the work, either original or altered or substituted, and the dispute/disagreement as to the nature of deviation or the rate/rates to be paid thereof shall be resolved separately with the Company.
- 5.7 The re-appropriation/re-allocation of the quantities.
- a) Quantity upto 10% of original awarded value may be awarded in exceptional circumstances with the approval of Tender Approving Authority.

‘Note (Not part of tender document): -

In the above case, approval of Tender Accepting Authority (TAA) shall be taken. In case CFDs of CIL/Subsidiary or CMD is TAA, then the approval of CMD of CIL/Subsidiary is to be obtained and if the TAA is below CMD level then approval of TAA shall be obtained.

However, TAA shall be in accordance with current prevalent DoP of CIL/Subsidiary.”

- b) However, in exceptional circumstances such as non-finalisation of subsequent contract, court case etc, quantity beyond 10% and upto 30% of original awarded value may be done with the approval as per DoP.



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Note (Not part of tender document): -

This shall require the approval of authority one step higher than the Tender Accepting Authority. In case CFDs is Tender Accepting Authority, then the approval of CFDs of CIL/Subsidiary is to be obtained.

However, TAA shall be in accordance with current prevalent DoP of CIL/Subsidiary.

5.8 In all cases where the Service Provider has been levied a cumulative penalty of 5 percent of the total contract value, extension beyond the initial Contract period shall not be considered. However, this shall not be applicable for extension granted on account of hindrances.

6. TIME FOR COMPLETION OF CONTRACT- EXTENSION THEREOF, DEFAULTS & COMPENSATION FOR DELAY:

Immediately after the Bid of the contract is concluded, the Engineer-In-Charge and the Contractor shall agree upon time and progress chart prepared on the basis of a transportation schedule to be submitted by the Contractor showing the order in which the work is proposed to be carried out within the time specified in the contract documents. For the purpose of this time and progress chart, the work shall be deemed to have commenced on the expiry of 10 (ten) days from the issue of Letter of Acceptance (LOA)/Work Order or handing over the site of work whichever is later.

However, the date of commencement may be decided with mutual consent with the Contractor on any date after issuance of Letter of Acceptance/ Work Order or handing over the site of work prior to the date as prescribed above.

However, payment for the work done would be made only after execution of the agreement.

- 6.1 If the Contractor, without reasonable cause or valid reason, commits default in commencing the execution of the work within the aforesaid date, the Company shall, without prejudice to any other right or remedy, be at liberty, by giving 15 days' notice in writing to the Contractor to commence the work, failing which to forfeit Bid Security / Performance Security Deposit and Additional Performance Security Deposit, if any deposited by him.

Additionally, the Company shall debar such defaulting Contractor from participating in future tenders in concerned Subsidiary/CIL HQ for a period of minimum 1 (one) year from the date of issue of such letter. In case of JV/CONSORTIUM/Partnership firm, the debarment shall also be applicable to all individual partners of JV/CONSORTIUM/Partnership firm.



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“Note (Not part of tender document): -

- a) In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD/PS and debarment shall be CMD of CIL/Subsidiary Company.
- b) In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD/PS and debarment shall be Director of CIL/Subsidiary Company.

However, debarment shall be done as per Guidelines on Debarment of firms from Bidding.

- 6.2 In the event of the Contractor's failure to comply with the required progress in terms of the agreed time and progress chart, he shall without prejudice to any other right or remedy available under the law to the Company on account of such breach, shall become liable to pay for penalty as under:

If the average daily progress of work during the calendar months is less than the stipulated rate indicated in the detailed tender notice/ agreed work schedule, penalty as detailed below will be levied.

- i) If the average daily progress of work executed during the calendar month is 80% and more but less than 100% of the stipulated rate indicated in the detailed tender notice/ agreed work schedule (quantity-wise), penalty equal to 10% of the contract value of the short fall quantity in work shall be deducted at the end of the respective Financial Quarter if the shortfall quantity either in full or part has not been made up within that Financial Quarter.
- ii) If the average daily progress of work executed during the calendar month is less than 80% but more than or equal to 70% of the stipulated rate indicated in the detailed tender notice/ agreed work schedule (quantity-wise), penalty equal to 20% of the contract value of the short fall quantity lying in the given range of work shall be deducted at the end of the respective Financial Quarter if the shortfall quantity either in full or part has not been made up within that Financial Quarter.
- iii) If the average daily progress of work executed during the calendar month is less than 70% of the stipulated rate indicated in the detailed tender notice/ agreed work schedule (quantity-wise), penalty equal to 20% of contract value of the short fall quantity of that month beyond 30% of the stipulated rate indicated in the detailed tender notice/ agreed work schedule (quantity-wise) shall be deducted in the bill of that calendar month itself and shall not be refunded.
- iv) The aggregate of the penalties so levied shall not exceed 10% of the total Contract Value for the entire contracted work.



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The Contractor shall be allowed to make up the shortfall as per a (i) & (ii) above within the respective Financial Quarter only.

The penalties so deducted shall not be refunded.

6.3 Deleted

6.4 Extension of date of completion - on happening of any events causing delay as stated here under, the Contractor shall intimate Engineer-In-Charge in writing immediately:

- a) abnormally bad weather
- b) serious loss or damage by fire
- c) civil commotion, strikes or lockouts affecting any of the trades employed on the work
- d) delay on the part of the Contractors or tradesmen engaged by the Company not forming part of the contract, holding up further progress of the work
- e) any other causes which, at the sole discretion of the Company is beyond the control of the Contractor.

"Hindrance Register" should be maintained for recording the hindrances.

The Contractor shall however use his best efforts to prevent or make good the delay by putting his endeavors constantly as may be reasonably required of him to the satisfaction of the Engineer-In-Charge.

Time extension on account of hindrance shall be granted by Area General Manager based on the recommendation of the Engineer-in-Charge of the work during the course of execution of work reserving the right to impose/waive the clause relating to compensation for delay. The extension will have to be by party's agreement, expressed or implied.

Bank Guarantees, against security, shall be suitably extended, to take care of any extension granted.

6.5 Deleted

6.6 When the period fixed for the completion of the contract is about to expire, the question of extension of the contract may be considered at the instance of the Contractor or the Company or both. The extension will have to be by party's agreement, expressed or implied.

The extension of time other than on account of hindrance shall be granted with the approval of Accepting Authority of the tender limited to DoP.

6.7 Force Majeure (FM) Clause

Conditions beyond control of either parties like war, hostility, acts of public enemy, civil commotion, sabotage, serious loss or damage by fire,



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explosions, epidemics, strikes, lockouts or acts of God come under the legal concept of Force Majeure (FM).

- (a) The successful Bidder/ Contractor will advise, in the event of his having resort to this Clause by a registered letter duly certified by the local chamber of commerce or statutory authorities, the beginning and end of the cause of delay, within fifteen days of the occurrence and cessation of such Force Majeure condition. In the event of delay lasting over one month, if arising out of Force Majeure, the contract may be terminated at the discretion of the Company.
- (b) For delays arising out of Force Majeure, the Bidder/ Contractor will not claim extension in completion date for a period exceeding the period of delay attributable to the causes of Force Majeure and neither Company nor the Bidder shall be liable to pay extra costs (like increase in rates, remobilization advance, idle charges for labour and machinery etc.) provided it is mutually established that the Force Majeure conditions did actually exist.
- 6.8 Whenever time extension is given to the contractor for reasons for delay solely attributed to the company, Price variation may have to be allowed depending on the conditions of the contract. In order to determine the above, a hindrance register will be maintained which will be jointly signed by both the parties at the time of periodical review meetings to be held at least once in a month by the Engineer-in-Charge / Designated Officer-in-charge whose decision in this cases will be final. The delays will be determined solely on the basis of this register, and that any refusal on the part of the contractor to sign the register would mean that the delay, if it occurs will be solely attributed to him.
- Hindrance register is signed by both the parties. The contractor should also be given permission to write his observations / disagreement in the register.
- In case the contractor has a different opinion for hindrance and a dispute arises, then the matter would be referred to the higher authority whose decision will be final and binding on the contractor and the decision to be communicated within 15 days.
- 6.9 Without prejudice to any express provision in the Contract, the extension of time shall not exceed the period of hindrance (the period for which the work has been hindered beyond control of Contractor) at same terms and conditions.
- 6.10 Concurrent delays** - when two or more events responsible for delay overlap each other. The delays may be attributable to the Procuring Entity or the contractor or none, and fall in above categories. The eligibility for extension of time (EOT) should be determined by plotting each contributing concurrent delay on the critical path. The Procuring Entity



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should see that the concurrent delays do not result in unnecessary extra extension of time.

7. QUALITY ASSURANCE:

The Contractor shall carry out and complete the work in every respect in accordance with the contract and shall ensure that the work conforms strictly to the instructions of the Engineer-In-Charge. The Engineer-In-Charge may issue from time to time further detail instructions/directions in writing to the Contractor. All such instructions/directions shall be consistent with the contract documents and should be reasonably inferable therefrom, along with clarifications/explanations thereof, if necessary. Extracted Coal shall be free from any extraneous material like Shale, Rock etc.

8. MEASUREMENT AND PAYMENT:

Except where any general or detailed description of the work in quantities provides otherwise, measurements of work done shall be taken in accordance with "CODE FOR UNIFORM SYSTEM OF MAINTENANCE, CONTROL, VERIFICATION OF COAL STOCK AND MEASUREMENT, VERIFICATION OF OVER BURDEN REMOVAL IN ALL MINES OF COAL INDIA LIMITED" and the relevant standard method of measurement as applicable to the schedule of quantities/schedule of work /specification to the contract. In the case of items not covered by any of the aforesaid contract documents, measurement shall be taken in accordance with the relevant standard method of measurement issued by the Indian Standard Institution.

8.1 All items of work carried out by the Contractor in accordance with the provisions of the contract having a financial value shall be entered in the Measurement Book/Log Book, etc. as prescribed by the Company so that a complete record is obtained of all work performed under the contract and the value of the work carried out can be ascertained and determined therefrom.

8.2 Measurements shall be taken jointly by the Engineer-In-Charge or his authorized representative and by the Contractor or his authorized representative.

8.3 Before taking measurements of any work, the Engineer-In-Charge or the person deputed by him for the purpose shall intimate the Contractor to attend or to send his representative to attend the measurement. Every measurement thus taken shall be signed and dated by both the parties on the site on completion of the measurement. If the Contractor objects to any of the measurements, a note to that effect shall be made in the Measurement Book /Log Book and signed and dated by both the parties.

8.4 In the event of failure on the part of Contractor to attend or to send his authorized representative to attend the measurement after receiving the intimation, or to countersign or to record objection within a week from the date of the measurement, the measurement taken by the Engineer-In-



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Charge or by his authorized representative shall be taken to be the correct measurement of the work done.

- 8.5 Payment on Account - The Contractor shall submit interim bill/bills for the work carried out/materials provided in accordance with the contract. The Engineer-In-Charge shall then arrange for verification of the bill/bills with reference to the measurements taken or to be taken or any other records relevant for the purpose.
- 8.6 Payment on account shall be made on the Engineer-In-Charge certifying the sum to which the Contractor is considered entitled by way of interim payment for the work executed as covered by the bill/bills after deducting the amount already paid, the Security Deposit and such other amounts as may be deductible or recoverable in terms of the contract.
- 8.7 Deleted
- 8.8 Deleted
- 8.9 Any certificate given by the Engineer-in-charge for the purpose of payment of interim bill/bills shall not of itself be conclusive evidence that any work/materials to which it relates is/are in accordance with the contract and may be modified or corrected by the Engineer-in-charge by any subsequent certificate or by the final certificate.
- 8.10 The Company reserves the right to recover/enforce recovery of any overpayments detected after payment as a result of post-payment audit or technical examination or by any other means, notwithstanding the fact that the amount of disputed claims, if any, of the Contractor exceeds the amount of such overpayment and irrespective of the facts whether such disputed claims of the Contractor are the subject matter of arbitration or not. The amount of such overpayments may be recovered from the subsequent bills under the contract, failing that from Contractor's claim under any other contract with the Company or from the Contractor's Security Deposit or the Contractor shall pay the amount of overpayment on demand.
- 8.11 Amount payable/ repayable for any subsequent change in the Goods and Services Tax (GST) will be made to/ from the Contractors after departmental verification of such changes of tax law issued by Statutory authority.
- 8.12 A Standard Flow chart for payment is as below. Relevant provisions suitable for Transportation Contract is to be applied under this Chapter 6.



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Description	Activities	Timelines	Responsibility	Escalation Matrix
Joint measurement by EIC or his authorised representative and by the contractor or his authorised representative duly recorded in MB/Log book.	<p>1. Interim monthly/fortnightly measurement shall be done by Unit/Mine Surveyor along with representative of Contractor as per the rules of the company and duly recorded in MB/Log book.</p> <p>2. In addition to monthly measurement of OB by Unit/Mine Surveyor, quarterly measurement shall be carried out by team constituted at Area level.</p> <p>3. Half yearly and yearly measurement to be conducted as per provision of Yellow Book (Revision-2020)</p> <p>4. Submission of bill by Contractor as per accepted measurement of MB/Logbook. The initial and final joint survey measurement of the works, coal and overburden shall be carried out by Area Authority in association with Head Quarter Survey Team, CMPDIL/ other Subsidiary team and representative of Contractor.</p>	03-05 days	Unit/Mine Survey department of concerned project in coordination with HOD of concerned department of respective area / Subsidiary HQ	<p>Level-1: If activity not completed within TL: Area GM</p> <p>Level-2: If activity not completed within 10 days of TL: D(T), Subsidiary/CIL</p>



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Forwarding of bills through dak to Finance department (Paying authority as per work order) for processing and payment.	<p>Certified bills from concerned section duly verified in regards to</p> <p>1) quality, quantity, amount, penalty and deductions as per agreement/work order etc</p> <p>2) All necessary approvals, copy of LOA, work order, Agreements etc have been enclosed</p> <p>3) Preparing and signing of Measurement Book by competent authority have been complied as per rules.</p> <p>4) Necessary reconciliations with the quantity of coal despatched as per weighment of railway/truck receipts.</p> <p>5) All necessary certificates as per work order/relevant rules are enclosed.</p> <p>6) Acceptance of BG/Receive of Security deposit (If applicable)</p> <p>7) Deduction of retention money from the bill.</p> <p>8) other documents as per order</p>	Within 02 days from the date of Invoice/Bill.	Survey & Finance department of concerned project in coordination with HOD of concerned department of respective project/Mine.	<p>Level-1: If activity not completed within TL: Area GM</p> <p>Level-2: If activity not completed within 10 days of TL: D(T), Subsidiary/CIL</p>
Passing of bills by Expenditure section	<p>Certified bills received for passing for payments to be checked in regards to: -</p> <p>1) condition of work order, Measurement</p>	Within 03 working days from receipt of bill in Finance department	Expenditure/ Bill passing Section of Finance of concerned Area/HQ in coordination with AFM.	<p>Level-1: If activity not completed within TL: Area GM / GM(F) I/C</p>



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	Book and necessary Certificates. 2) Security deposit/ performance guarantee has been deposited as per rules. 3) Retention money (as applicable) is deducted. 4) Penalty clause have been verified and action taken 5) Tax compliances and returns have been complied. 6) Other necessary compliances			Level-2: If activity not completed within 10 days of TL – D(F), Subsidiary/CIL
Payment by Fund/Cash Section	Bills Received by DAK duly passed for payment to be checked in regards to: - 1) Availability of Funds and action taken. 2) Payment in contractor bank account through RTGS/NEFT	Within 02 days from the receipt of bill by Fund Department	Fund Section of Finance of concerned Area/HQ in coordination with AFM of concerned Area.	Level-1: If activity not completed within TL: Area GM / GM(F) I/C Level-2: If activity not completed within 10 days of TL – D(F), Subsidiary/CIL

8.13 Incentives/ Bonus

In the event of the Contractor exceeds the required progress in terms of the approved time and progress chart, they shall be entitled for a bonus.

If the progress of work during the Financial year is more than the stipulated rate indicated in the approved work schedule (without considering hindrances), the contractor shall be entitled for bonus as under:

If the yearly progress of work executed during the Financial year is 110% or more of the stipulated quantity (in case of composite contracts, the contractor shall achieve 110% or more of the stipulated quantity of coal and OB separately) indicated in the approved work schedule (quantity-wise)(without considering hindrances), the contractor shall be entitled to a bonus equal to **1%** (one percent) of the average monthly contract value (excluding GST) for every month in which the contractor has achieved 110% or more of approved work schedule.



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The average monthly contract value (excluding GST) for this clause = (awarded contract value in Rs. (excluding GST) X 30 days)/ awarded contract period in days.

For coal transportation contracts, the approved work schedule shall mean the TPD indicated in the NIT.

However, the payment of bonus shall be subject to the following conditions:

- a) The yearly executed quantity considered for bonus calculation shall be finalised after reconciliation with the results of third-party measurement or check measurement.
- b) Total Bonus so calculated in a contract in its lifetime shall be a maximum of 5 (five) percent of the total contract value.
- c) No bonus shall be calculated in case the Contractor fails to achieve 110% or more of the stipulated quantity (in case of composite contracts, the contractor shall achieve 110% or more of the stipulated quantity of coal and OB separately) indicated in the approved work schedule (quantity-wise), during the month, irrespective of reasons.
- d) Payment of Bonus is also subject to the condition that (i) no penalty has been imposed on the contractor within the Financial year for any shortfall quantity or any other reason and (ii) there are no dues payable by the Contractor to the Company or any Govt. agency (iii) no court/arbitration/conciliation cases exist between the contractor and the Company.

Clause No: 8.13 shall be applicable for tenders whose approved Estimated Cost Value put to tender is not less than Rs.100 crore (including GST).

9. TERMINATION, SUSPENSION, CANCELLATION & FORECLOSURE OF CONTRACT:

The Company shall, in addition to other remedial steps to be taken as provided in the Conditions of Contract, be entitled to cancel/terminate the contract in full or in part, if the Contractor

- a. makes default in proceeding with the works with due diligence and continues to do so even after a notice in writing from the Engineer-In-Charge, then on the expiry of the period as specified in the notice.

Or

- b. fails to achieve a monthly agreed quantity of 70% (Seventy percent) for a period of 6(six) consecutive month or for cumulative period of six months within any continuous period of 18(eighteen) months, save and except to the extent of non-availability caused by i) a Force Majeure event or ii) an act of omission of Company, not occurring due to any default of the Contractor.

Note: - In such cases the Contractor may be Banned for minimum one year from participating in future bidding allowing the present business to continue without going into termination of the contract.



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(Not to be part of Tender Document-Procedure for debarment of business shall be followed as per Guidelines on Debarment of firms from Bidding).

Or

- c. commits default/breach in complying with any of the terms and conditions of the contract and does not remedy it or fails to take effective steps for the remedy to the satisfaction of the Engineer-In-Charge, then on the expiry of the period as may be specified by the Engineer-In-Charge in a notice in writing.

Or

- d. fails to complete the work or items of work with individual dates of completion, on or before the date/dates of completion or as extended by the Company, then on the expiry of the period as may be specified by the Engineer-In-Charge in a notice in writing.

Or

- e. shall offer or give or agree to give any person in the service of the Company or to any other person on his behalf any gift or consideration of any kind as an inducement or reward for act/acts of favour in relation to the obtaining or execution of this or any other contract for the Company.

Or

- f. obtains a contract with the Company as a result of ring tendering or other non-bonafide method of competitive Bidding.

Or

- g. transfers, sublets, assigns the entire work or any portion thereof without the prior approval in writing from the Engineer-In-Charge.

The Engineer-In-Charge may give a written notice; cancel/terminate the whole contract or portion of it in default.

(Note: Failure of existing contract in full shall be where shortfall is 50% or more in 6 (six) consecutive months or in part where shortfall of Contractor is at 30% or more in 6 (six) consecutive months.)

However, when Subsidiary decides to cancel the contract in parts as per (a) & (b) above, the quantity considered for partial cancellation shall be dealt as per Clause 10.1 of Conditions of Contract and the balance quantity shall be executed by the Contractor as per terms and conditions for the remaining quantity both in terms of daily agreed progress of work as well as total remaining quantity.

Further, when Subsidiary decides to cancel the contract in full as per (a) & (b) above, it shall be dealt as per guidelines for Special Purpose Limited Tender (SPLT) till new tender is awarded.

The approving authority shall be TAA or CMD, if originally awarded by CFDs/CMD or Board.



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NOTE: (not to be Part of Tender Document)

“In some cases, termination is the optimal choice; in others, it is detrimental to the overall intent of the assignment. This implies a missed opportunity and a waste of the funds already expended on the assignment. For these reasons, termination should be avoided if possible.”

9.1 The contract shall stand terminated under the following circumstances:

- a. If the Contractor being an individual in the case of proprietary concern or in the case of a partnership firm any of its partners is declared insolvent under the provisions of insolvency act for the time being in force, or makes any conveyance or assignment of his effects or composition or arrangement for the benefit of his creditors amounting to proceedings for liquidation or composition under any insolvency act.
- b. In the case of the Contractor being a Company, its affairs are under liquidation either by a resolution passed by the Company or by an order of Court, not being a voluntary liquidation proceedings for the purpose of amalgamation or reorganization, or a receiver or manager is appointed by the Court on the application by the debenture holders of the Company, if any.
- c. If the Contractor shall suffer an execution being levied on his/their goods, estates and allow it to be continued for a period of 21 days.
- d. On the death of the Contractor being a proprietary concern or of any of the partners in the case of a partnership concern and the Company is not satisfied that the legal representative of the deceased proprietor or the other surviving partners of the partnership concern are capable of carrying out and completing the contract. The decision of the Company in this respect shall be final and binding which is to be intimated in writing to legal representative or to the partnership concern.

9.2 On cancellation of the contract or on termination of the contract, the Engineer-In-Charge shall have powers:

- a. To take possession of the site and carry out balance work through any other agency.
- b. To give the Contractor or his representative of the work 7 (seven) days' notice in writing for taking final measurement for the works executed till the date of cancellation or termination of the contract. The Engineer-in-Charge shall fix the time for taking such final measurement and intimate the Contractor in writing. The final measurement shall be carried out at the said appointed time notwithstanding whether the Contractor is present or not. Any claim as regards measurement which the Contractor is to make shall be made in writing within 7 (seven) days of taking final measurement by Engineer-In-charge as aforesaid and if no such claim



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is received, the Contractor shall be deemed to have waived all claims regarding above measurements and any claim made thereafter shall not be entertained.

- c. After giving notice to the Contractor to measure up the work of the Contractor and to take such whole or the balance or part thereof, as shall be unexecuted out of his hands and to give it to another Contractor or take up departmentally, to complete the work. The Contractor whose contract is terminated shall not be allowed to participate in future bidding for period of minimum one year.

In such an event, the Contractor shall be liable for loss/damage suffered by the employer because of action under this Clause and to compensate for this loss or damage, the employer shall be entitled to recover higher of the following:

- i) Forfeiture of Security Deposit comprising of Performance Security and Retention Money and Additional Performance Security, if any, at disposal of the employer.

Or

- ii) 20% of value of incomplete work. The value of the incomplete work shall be calculated for the items and quantities remaining incomplete (as per provision of agreement) at the agreement rates including price variation as applicable on the date, when notice in writing for termination of work was issued to the Contractor.

It is being clarified that the above liability is over and above the penalties payable by the Contractor on account of shortfall in quantities as per provision of Clause 6.

The amount to be recovered from the Contractor as determined above, shall, without prejudice to any other right or remedy available to the employer as per law or as per agreement, will be recovered from any money due to the Contractor on any account or under any other contract and in the event of any shortfall, the Contractor shall be liable to pay the same within 30 days. In case of failure to pay the same the amount shall be debt payable.

In the event of above course being adopted by the Engineer-In-Charge, the Contractor shall have no claim to compensation for any loss sustained by him by reasons of his having purchased materials, equipment or entered into agreement or made advances on any account or with a view to the execution of work or performance of the contract. And in case action is taken under any of provision aforesaid, the Contractor shall not be entitled to recover or to be paid any sum for any work thereof or actually performed under this contract unless and until the Engineer-In-Charge has certified in writing the performance of such work and value payable in respect thereof and he shall only be entitled to be paid the value so certified.



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The need for determination of the amount of recovery of any extra cost/expenditure or of any loss/damage suffered by the Company shall not however arise in the case of termination of the contract for death/demise of the Contractor as stated in 9.1(d).

Note (Not part of tender document):

- a) In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be CMD of CIL/Subsidiary Company.
- b) In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for forfeit of EMD and debarment shall be Director of CIL/Subsidiary Company.

However, debarment shall be done as per Guidelines on Debarment of firms from Bidding.

9.3 Suspension of work - The Company shall have power to suspend the progress of the work any part thereof and the Engineer-In-Charge may direct the Contractor in writing to suspend the work, for such period and in such manner as may be specified therein, on account of any default on the part of the Contractor, or for proper execution of the work for reasons other than any default on the part of the Contractor, or on ground of safety of the work or part thereof. In the event of suspension for reason other than any default on the part of the Contractor, extension of time shall be allowed by the Company equal to the period of such suspension.

9.4 Foreclosure of contract in full or in part - If at any time after acceptance of the tender, the Company may decide to abandon or reduce the scope of the work in following circumstances:

- a) A drop-in requirement consequent upon change in geo-mining conditions not allowing Company/ Management to proceed further being detrimental to the interest of Company.
- b) Continuation of work may endanger safety and security of men and property of the Company.
- c) Causative events like land acquisition problems/problem of shifting of villagers etc.
- d) In absence of Environmental & Forest Clearance of any part of the working site (EMP & Forest clearance shall be the responsibility of the Company).

Note (Not part of tender document):-

The foreclosure shall require the approval of authority one step higher than the Tender Accepting Authority. In case CFDs of CIL/Subsidiary is the



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Tender Approving Authority, the foreclosure shall be done with approval of CFDs of CIL/Subsidiary.

However, TAA shall be in accordance with current prevalent DoP of CIL/Subsidiary.

In this case the, Engineer-In-Charge, shall give notice in writing to that effect to the Contractor. In the event of abandonment/reduction in the scope of work, the Company shall be liable to pay the Contractor at the contract rates full amount for works executed and measured at site upto the date of such abandonment/reduction in the work.

The Contractor shall, if required by the Engineer-In-Charge, furnish to him books of accounts, papers, relevant documents as may be necessary to enable the Engineer-In-Charge to assess the amount payable. The Contractor shall not have any claim for compensation whatsoever either for abandonment or for reduction in the scope of work, other than those as specified above.

However, the penalty on account of shortfall quantity as per Clause 6.2 will be levied on the Contractor.

10. ACTIONS AFTER PARTIAL CANCELLATION/TERMINATION OF CONTRACT:

If the progress of the work or of any portion of the work is unsatisfactory as per Clause 9(a) & 9(b) of Condition of Contract, the Engineer-In-Charge, after giving the Contractor 15 days' notice in writing, without fully cancelling/terminating the contract, shall be entitled to employ another Agency for executing the job or to carry out the work departmentally or contractually through tendering / limited tendering process (SPLT- Special Purpose Limited Tender), either wholly or partly.

In such an event, the Contractor shall be liable for loss/damage suffered by the employer because of action under this Clause and to compensate for this loss or damage, the employer shall be entitled to recover higher of the following:

- i) Forfeiture of Security Deposit comprising of Performance Guarantee and Retention Money and Additional Performance Security, if any, at disposal of the employer.
- Or
- ii) 20% of value of cancelled work. The value of the cancelled work shall be calculated for the items and quantities cancelled (as per provision of Agreement) at the Agreement rates, when notice in writing for termination of work was issued to the Contractor.

The certificate to be issued by the Engineer-In-Charge for the cost of the work cancelled/terminated shall be final. However, when this Clause is invoked, penalty as per Clause 6.2 of Conditions of Contract (i.e.



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shortfall in quantity) will not be applicable on cancelled/terminated quantity.

The Contractor, from whom part work is being taken out, shall not be allowed to participate in the tendering process if any.

In the event of above course being adopted by the Engineer-In-Charge, the Contractor shall have no claim to compensation for any loss sustained by him by reasons of his having purchased materials, equipment or entered into agreement or made advances on any account or with a view to the execution of work or performance of the contract.

All the other terms and Conditions of Contract shall remain unaltered.

11. COMPLETION CERTIFICATE/ DEFECT LIABILITY CERTIFICATE:

On completion of the work and notifying the same by the Contractor to the Engineer-In-Charge, Completion Certificate shall be issued by the Engineer-In-Charge only in the event the work is completed satisfactorily in every respect. Payment of final bill shall be made on completion of the contract and refund of Security Deposit shall, however, be made as per relevant Clause of the contract.

12. RESPONSIBILITIES OF THE CONTRACTOR

- i. The Company reserves the right to let other Contractors in connection with the Project and the Contractor/Contractors shall co-operate in the works for the introduction and stores and materials and execution of his/their works.
- ii. The Contractor/Contractors shall employ only competent, skilful and orderly men to do the work. The Engineer-In-Charge shall have the right to ask the Contractor/Contractors to remove from the work site any men of the Contractor/Contractors who in his opinion is undesirable and the Contractor/Contractors will have to remove him within three hours of such orders.
- iii. Precautions shall be exercised at all times for the protection of persons (including employees) and property. The safety required or recommendation by all applicable laws, codes, statutes and regulations will be observed. In case of accidents, he/they shall be responsible for compliance with all the requirements imposed by the Workmen's Compensation Act or any other similar laws in force, and shall indemnify the Company against any claim on this account.

The Contractor/Contractors shall at all times exercise reasonable precautions for the safety of employees in the performance of his/their contract and shall comply with all applicable provisions of the safety laws drawn up by the State or Central Government or Municipalities and other authorities in India. The Contractor/Contractors shall comply with the



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provision of the safety hand book as approved and amended from time to time by the Government of India.

- iv. The Contractor/Contractors shall familiarize themselves with and be governed by all laws and rules of India and Local statutes and orders and regulations applicable to his/their work.
- v. Building for the sanitary necessities of all persons employed on the work shall be constructed and maintained in the number, manner and place approved or ordered by the Engineer-In-Charge. The Contractor shall vigorously prohibit committing of nuisance at any other place. Cost of all works under these items shall be covered by the Contractor's/Contractors' quoted rates.
- vi. The Contractor/Contractors shall furnish to the Engineer-In-Charge or his authorized representative with work reports from time to time regarding the Contractor/Contractors organization and the progress made by him/them in the execution of the work as per the contract agreement.
- vii. All duties, taxes (excluding Goods and Services Tax (GST) and GST Compensation Cess (if applicable) only) and other levies payable by the Bidder/Contractor under the Contract, or for any other cause as applicable on the last date of submission of Bid, shall be included in the rates, prices and the total Bid Price submitted by the Bidder. Applicable GST, either payable by Bidder or by Company under reverse charge mechanism shall be computed by system in BOQ sheet as per predefined logic.

All investments, operating expenses, incidentals, overheads etc. as may be attendant upon execution and completion of works shall also be included in the rates, prices and total bid price submitted by the Bidder.

However, such duties, taxes, levies etc. which is notified after the last date of submission of Bid and/or any increase over the rate existing on the last date of submission of Bid shall be reimbursed by the Company on production of documentary evidence in support of payment actually made to the concerned authorities.

Similarly, if there is any decrease in such duties, taxes and levies the same shall become recoverable from the Contractor. The details of such duties, taxes and other levies along with rates shall be declared by the Bidder.

The item wise rate quoted by Bidder shall be inclusive of all taxes, duties & levies but excluding GST & GST Compensation Cess if applicable. The payment of GST and GST Compensation Cess by service availer (i.e. CIL/Subsidiary) to Bidder/Contractor (if GST payable by Bidder/Contractor) would be made only on the latter submitting a Bill/Invoice in accordance with the provision of relevant GST Act and the rules made thereunder and after online filing of valid return on GST portal. Payment of GST & GST Compensation Cess is responsibility of Bidder/Contractor.



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However, in case Contractor is GST unregistered Bidder/dealer or GST registered under composition scheme in compliance with GST rules, the Bidder/dealer shall not charge any GST and/or GST Compensation Cess on Bill/Invoice. In case of unregistered dealer/Bidder, GST, if applicable will be deposited by CIL/Subsidiary directly to concerned authorities in terms with GST provisions.

Input tax credit is to be availed by CIL/Subsidiary as per rule.

If CIL/Subsidiary fails to claim Input Tax Credit (ITC) on eligible Inputs, input services and Capital Goods or the ITC claimed is disallowed due to failure on the part of supplier/vendor of goods and services in incorporating the tax invoice issued to CIL/Subsidiary in its relevant returns under GST, payment of CGST & SGST or IGST, GST (Compensation to State) Cess shown in tax invoice to the tax authorities, issue of proper tax invoice or any other reason whatsoever, the applicable taxes & cess paid based on such Tax invoice shall be recovered from the current bills or any other dues of the supplier/vendor along with interest, if any.

Note: During the execution of the contract if the GST status of the Bidder changes, then the payment of GST, if any, to the Contractor will be made as per the GST status declared by the Bidder during tender stage based on which cost to Company has been ascertained or at actuals, whichever is lower.

- viii. The Company reserves the right to deduct/ withhold any amount towards taxes, levies, etc. and to deal with such amount in terms of the provisions of the Statute or in terms of the direction of any statutory authority and the Company shall only provide with certificate towards such deduction and shall not be responsible for any reason whatsoever.
- ix. The Contractor/Contractors shall make his/their own arrangement for all equipment, dumpers, materials, consumables (excluding explosives to be issued free of cost), tools, staff and labour required for the contract, which shall include cost of lead, lift, loading, unloading, railway freight, recruiting expenses and any other charges for the completion of the work to the entire satisfaction of the Company.

The Contractor shall at his own cost arrange maintenance/repair of all equipment required/deployed for the work. The Company shall have no liability whatsoever on this account.

In emergent situation, to avoid disruption of work, and provided the Contractor makes an application in this regard, POL, if available with the Company, may at the sole discretion of the Company be issued to him with the approval of Area General Manager, but value of the same as per the prevailing rate shall be charged from him or recovered from his bills.



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- x. No sub-letting of the work as a whole by the Contractor is permissible. Prior permission is required to be taken from the Principal Employer for engagement of Sub-Contractors in hiring equipment contract for part work / piece rated work.

The works contract may provide for the contractor to get specified works executed from subcontractors included in the pre-qualification application or later agreed to by the Procuring Entity, with a caveat that the responsibility for all sub-contact work rests with the prime contractor. Sub-contracting may be for specialized items of work. Procurement of material, hiring of equipment or engagement of labour will not mean sub-contracting. The total value of subcontracted work should not exceed the percentage of the contract price specified in the contract (say 25%). Sub-contracting by the contractor without the approval of the Procuring Entity shall be a breach of contract, unless explicitly permitted in the contract.

- xi. Provision related to Employment of Labour, payment of wages and Provident Fund deduction:

The execution of work shall be done by deploying machines / equipment through only regular employees of the Contractor. The Contractor shall also comply with statutory requirements under CL(R&A) Act and also obtain labour license.

The Contractor shall not engage any person of less than 18-year age or females during night hours as required by relevant law.

The Contractor/Contractors shall not pay less than the wages fixed (notified and prevalent during submission of the bid for mining activities as per policy decision of the Company/CIL valid from time to time) in respect of his employees of different categories.

Note:

However, if the basic rate of wages of labour as fixed by CIL is revised during the contract period then the incremental difference shall be reimbursed on actual basis through a suitable mechanism as decided by CIL/Subsidiary.

The payment of wages to the workers should be made through Bank.

The Contractor/ Contractors shall make necessary payment of the Provident Fund for the workmen employed by him for the work as per the laws prevailing under provisions of CMPF and Miscellaneous Provisions Act 1948 or Employees Provident Fund and Miscellaneous Provisions Act 1952 as the case may be. The Contractor shall regularly deposit the contribution in accordance with such scheme. The Company shall have no liability whatsoever in this regard.



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The Contractor shall arrange treatment facilities to Contractor's workers. However, treatment facilities, as available at Company's hospital and dispensary shall be provided to Contractors' workers, in case Contractor wants to avail it. The treatment facility at Company's Hospitals / Dispensary shall be free of cost, but to the extent of available medicines, pathological examination and other surgical treatment. Such facilities shall not be applicable to worker's spouse or dependent.

The Contractor should issue Identity Card to the workmen deployed by them with photograph duly attested by him which the employee shall always carry with him while on work and produce for Inspection whenever required.

The Contractor shall familiarize himself and fully comply with the provisions of all the Acts/Rules/Regulations/Bye-laws and orders of the Local authority / Municipality / State Govt./ Central Govt. applicable to the worker. Mines Act, Payment of Wages Act, Motor Vehicle Act, Workmen's Compensation Act, Labour Laws, Insurance etc. and shall be fully responsible and liable for the due observance of the same. The Company shall have no responsibility / liability whatsoever on these accounts. The Contractor shall fully indemnify the Company against any claim/dispute/reference Award, etc. arising out of the same.

The Contractor shall make timely payment of all salary /wages/ dues to his employees and shall also provide all benefits to his employees as per various Acts/ Rules, Regulation, Orders applicable to the work e.g bonus under Coal Mines Bonus Scheme and Payment of Bonus Act, Sunday Wages, Overtime, Holiday Wages, Leave Wages, Sick Leave etc.

The Contractor shall prepare the wages sheet for his employees in duplicate, a copy of which shall be regularly submitted to the Engineer-in-charge of the project.

The responsibility of the Contractor in respect of all payments to his employees will be complete and absolute. The Company shall have no liability whatsoever in this regard and shall be fully indemnified by the Contractor against any claim arising out of any non-payment / short payment/dispute/ award.

In case any accident occurs or any injury is caused to any employee of the Company by the vehicles/equipment of the Contractors or by any act of omission/ commission on the part of the Contractor's representative/ employees, the compensation for the same, as provided in law or as assessed by the Company shall be recovered from the Contractor along with the costs and expenses incurred by the Company on the same.

- xii. The Contractor will have to make necessary security arrangement to prevent theft of coal from the project premises, during transportation and also at coal dumps.



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- xiii. All accounts shall be maintained in English and the Company shall have the right of access and inspection of all such books of accounts etc. relating to payment of labourer considered necessary and the Company may arrange for witnessing the payment to the labourer by its representatives.
- xiv. **Insurance** - The Contractor shall take full responsibility to take all precautions to prevent loss or damage to the works or part thereof for any reasons whatsoever (except for reasons which are beyond control of the Contractor or act of God, e.g. flood, riots, war, earthquake, etc.) and shall at his own cost repair and make good the loss/damage to the work so that on completion, the work shall be in good order and condition and in conformity with the requirements of the contract and instructions of the Engineer-In-Charge, if any:
- a. The Contractor shall at all times during the pendency of the Contract indemnify the Company against all claims, damages or compensation under the provisions of the Workmen's Compensation Act and shall take insurance policy covering all risk, claims, damages or compensation payable under the Workmen's Compensation Act or under any other law relating thereto.
- b. The Contractor shall pay directly the ex-gratia amount of [Rs 15 lakhs* or such other amount as decided by the Company from time to time] to the same dependent as per the terms of the Contract or through Insurance Company by availing Group Personal Accident Insurance Policy for all its worker before commencement of the Contract, which shall be renewed periodically to cover the entire duration of the Contract. No reimbursement shall be made on this account by CIL/ Subsidiaries.

In order to comply with the above provisions, Contractor shall immediately on receipt of letter of acceptance / work order shall obtain group personal accident insurance in respect of the workmen engaged in mining activities to assure such payment of Rs 15 lakhs in case of death in mine accident within 30 days. A proof to such effect shall be produced to the satisfaction of the management before commencement of the work. However, the responsibility of payment of special relief / ex-gratia amount shall be exclusively with the Contractor.

If the Contractor fails to disburse the special Relief / Ex-gratia within the due date, the Subsidiary concerned may make the payment to the eligible dependent as mentioned herein above. However, such amount shall be recovered from the Contractor from his dues either in the same and / or other subsidiaries /CIL.

- c. The Contractor shall ensure that the insurance policy/policies are kept alive till full expiry of the contract by timely payment of premiums and shall not be cancelled without the approval of the Company and a provision is made to this effect in all the policies, and similar insurance policies are also taken by his sub-Contractors if any. The cost of



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premiums shall be borne by the Contractor and it shall be deemed to have been included in the quoted rate.

- d. In the event of Contractor's failure to effect or to keep in force the insurance referred to above or any other insurance which the Contractor is required to effect under the terms of the contract, the Company may effect and keep in force any such insurance and pay such premium/premiums as may be necessary for that purpose from time to time and recover the amount thus paid from any moneys due by the Contractor.

The Contractor shall whenever required produce before Engineer-In-Charge the policy or policies of insurance and receipt of payment of the current premium.

xiv) ACCOMMODATION, SITE OFFICE, ELECTRICITY, WATER ETC.

- a. The successful Bidder shall arrange accommodation, security etc. of its own for its workmen. The employer shall not provide any land for setting up of labour camp and the Contractor shall make his own arrangements. However, Company may provide accommodation, if available, on chargeable basis on request made in writing by the Contractor.
- b. Subject to availability, the employer may allot at his own discretion and convenience, land for the construction of Contractor's site office, godowns, workshop and assembly yard near the site. Allotment of such land shall not confer any tenancy rights to the Contractor. The Contractor shall construct and maintain the same at his cost. All these temporary works shall be well ventilated, lighted and provided with water, electricity and sanitary arrangement with the approval of Engineer-In-Charge.

Providing Land for establishing Diesel Dispensing Unit(s) along with workshop may be agreed on the request of the Contractor depending on the merit of the case and subject to availability of land.

The Contractor shall obtain all statutory approvals/license and ensure compliance of all applicable provisions for establishing of Diesel Dispensing Unit(s).

Diesel Dispensing Units will be used by the Contractor only for their own use in the contracts awarded by the Company.

The Contractor shall remove immediately on completion of the work such buildings and make good, to the satisfaction of EIC, all the damages sustained.

The Contractor shall not use the land for any purpose other than that for or in connection with the contract.



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In case Contractor requires any land additional to what is made available to him, the Contractor shall make arrangement for the same at his own cost.

- c. It will be the responsibility of Contractor, to whom the work would be allotted, for making other arrangements at their own cost.
- d. Electric power, if available, may be made available for the work by the employer at one point within the site or near the site on conditions as specified:

The Contractor shall arrange at his own cost necessary cabling/wiring, switch board, switch gear etc and shall be responsible for the safe maintenance.

Distribution arrangement shall be done by the Contractor at his cost as per approved layout. Distribution arrangement shall be shifted and rerouted at the Contractor's cost during execution of work, if same is required for continuation of work or for any unforeseen reason.

The Contractor shall install metering devices for recording of energy consumption. Tariff will be deducted as per Company rules. No extra payment will be made and no extension of time period will be allowed on account of power failure or delay in providing of power due to non-availability of such facility near the site. No idle wages will also be allowed on this ground.

The Contractor shall remove immediately on completion of the work such distribution system and all installations and make good, to the satisfaction EIC, all the damages sustained.

The Contractor shall employ certified and licensed electrician for carrying out the work and its maintenance.

- e. The Contractor shall make his own arrangement for water required for the work. Mine water, if available, may be utilized for this purpose and shall be provided free of cost. Arrangement of its pumping and distribution for use in his work shall be responsibility of Contractor. Drinking water, if available, will be provided free of cost by Company at one point. Distribution to required places will be the responsibility of Contractor. The employer doesn't guarantee uninterrupted supply. It will incumbent on the Contractor to make alternative arrangement for drinking water at his own cost.



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13. Settlement of Disputes with the Contractor

It is incumbent upon the contractor to avoid litigation and disputes during the course of execution. However, if such disputes take place between the contractor and the department, effort shall be made first to settle the disputes at the company level.

The contractor should make request in writing to the Engineer-in-charge for settlement of such disputes/ claims within 30 (thirty) days of arising of the cause of dispute/ claim failing which no disputes/ claims of the contractor shall be entertained by the company.

Effort shall be made to resolve the dispute in two stages:

In first stage dispute shall be referred to Area GM. If difference still persist the dispute shall be referred to a committee constituted by the owner. The committee shall have one member of the rank of Director of the company who shall be chairman of the committee.

If differences still persist, then matter shall be resolved through conciliation.

Conciliation:

The party initiating conciliation shall send a written invitation to the other party to conciliate and proceedings shall commence when the other party accepts the initiations to conciliation. The parties may agree on the name of a sole conciliator or each party may appoint one conciliator. The conciliation shall assist the parties to reach an amicable settlement of their dispute. When the parties sign the settlement agreement, it shall be final and binding on the parties. The conciliator shall authenticate the settlement agreement and furnish a copy thereof to each party.

If differences still persist, the settlement of the dispute shall be resolved in the following manner:

Disputes or differences relating to the interpretation and application of the provisions of commercial contract(s) between Central Public Sector Enterprise (CPSEs) / Port

Trusts inter se and also between CPSEs and Government Departments/ Organizations (excluding disputes relating to Railways, Income Tax, Customs & Excise Department), shall be taken up by either party for its resolution through Administrative Mechanism for Resolution of CPSEs Disputes (AMRCD) as mentioned in DPE OM No. 05/003/2019-FTS-10937 dated 14th December 2022 and the decision of AMRCD on the said dispute will be binding on both the parties.

In case of parties other than above Agencies, the redressal of the dispute may be sought through Arbitration (THE ARBITRATION AND CONCILIATION ACT, 1996 as amended by AMENDMENT ACT of 2015).

14. Settlement of Disputes through Arbitration

- (i) Normally, there should not be any scope of dispute between the employer (department) and the contractor after entering into a mutually agreed valid contract. However, due to various unforeseen reasons, disputes may arise during



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the progress of the contract between the employer (department) and the contractor.

Therefore, the conditions governing the contract shall contain suitable provision for settlement of such disputes / differences binding on both the parties.

- (ii) Mode of settlement of such disputes/differences shall be through Arbitration. However, when a dispute/difference arises, then, depending on the position of the case, either the employer (department) or the contractor shall give notice to the other party of its intention to commence arbitration. The applicable arbitration procedure will be as per the Arbitration and Conciliation Act, 1996 as amended by Amendment Act of 2015.
- (iii) Venue of Arbitration: The venue of arbitration shall be the place from where the contract has been issued.
- (iv) Applicable Law: The contracts shall be interpreted in accordance with the laws of the Union of India.
- (v) Legal Advice:
While processing a case for arbitration, the purchase organization is to take legal advice, at appropriate stages from competent authorities viz their Legal Department.
- (vi) Following clause shall be included in the General Conditions of the Contract (GCC):

Sole Arbitration Clause:

In the event of any question, dispute or difference arising under these terms & conditions or any condition contained in this contract or interpretation of the terms of, or in connection with this Contract (except as to any matter the decision of which is specially provided for by these conditions), the same shall be referred to the sole arbitration of a person, appointed to be the arbitrator by the Chairman, CIL/ CMD of Subsidiary Company (as the case may be). The award of the arbitrator shall be final and binding on the parties of this Contract.

- (a) In the event of the Arbitrator dying, neglecting or refusing to act or resigning or being unable to act for any reason, or his/her award being set aside by the court for any reason, it shall be lawful for the Chairman, CIL / CMD of Subsidiary Company (as the case may be) to appoint another arbitrator in place of the outgoing arbitrator in the manner aforesaid.
- (b) It is further a term of this contract that no person other than the person appointed by the Chairman, CIL / CMD of Subsidiary Company (as the case may be) as aforesaid should act as arbitrator and that, if for any reason that is not possible, the matter is not to be referred to Arbitration at all.

Subject as aforesaid, Arbitration and Conciliation Act, 1996 as amended by Amendment Act of 2015, and the rules thereunder and any statutory modification thereof for the time being in force shall be deemed to apply to the Arbitration proceedings under this clause.



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The venue of arbitration shall be the place from which the contract is issued or such other place as the Chairman, CIL / CMD of Subsidiary Company (as the case may be) at his discretion may determine.

(vii) Contracts with Partnership firm/ Joint Venture/Consortium:

A partner is the implied authority to bind the firm in a contract coming in the purview of the usual business of the firm. The implied authority of a partner, however, does not extend to enter into arbitration agreement on behalf of the firm. Therefore, while entering into a contract with partnership firm /Joint Venture/Consortium care should be taken to obtain consent of all the partners to the arbitration agreement.

A suitable clause for obtaining consent of all the partners to the arbitration agreement shall be included in the General Conditions of the Contract (GCC).

viii) In cases where CIL/ Subsidiary has challenged an arbitral award and as a result, the amount of the arbitral award has not been paid, 75% of the arbitral award (which may include interest up to date of the award) shall be paid by CIL/ Subsidiary to the contractor/ concessionaire against a Bank Guarantee (BG). The BG shall only be for the said 75% of the arbitral award as above and not for the interest which may become payable to CIL/ Subsidiary should the subsequent court order require refund of the said amount.

The payment may be made into a designated Escrow Account with the stipulation that the proceeds will be used first, for payment of lenders' dues, second, for completion of the project and then for completion of other projects of CIL/ Subsidiary as mutually agreed/ decided. Any balance remaining in the escrow account subsequent to settlement of lenders' dues and completion of projects of CIL/ Subsidiary may be allowed to be used by the contractor/ concessionaire with the prior approval of the lead banker and CIL/ Subsidiary. If otherwise eligible and subject to contractual provisions, retention money and other amounts withheld may also be released against BG.

The only circumstances in which such payment need not be made is where the contractor declines, or is unable, to provide the requisite bank guarantee and/or fails to open a escrow account as required. Persons responsible for not adhering to are liable to be held personally accountable for the additional interest arising, in the event of the final court order going against the procuring entity.

ix) Arbitration /court awards should be critically reviewed. In cases where there is a decision against CIL/Subsidiary the decision to appeal should not be taken in a routine manner, but only when the case genuinely merits going for the appeal and there are high chances of winning in the court/ higher court. There is a perception that such appeals etc. are sometimes resorted to postpone the problem and defer personal accountability. Casual appealing in arbitration / court cases has resulted in payment of heavy damages / compensation / additional interest cost, thereby causing more harm to the exchequer, in addition to tarnishing the image of the Government.



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(x) Legal department of CIL/Subsidiary should monitor the success rate of appealing against arbitration awards. There should be a clear delegation to empower officials to accept arbitration / court orders. A special board / committee may be set up by legal department of CIL/ Subsidiary to review the case before an appeal is filed against an order. Arbitration / court awards should not be routinely appealed without due application of mind on all facts and circumstances including realistic probability of success. The board / committee or other authority deciding on the matter shall clarify that it has considered both legal merits and the practical chances of success and after considering the cost of, and rising through, litigation / appeal / further litigation as the case may be, it is satisfied that such litigation / appeal / further litigation cost is likely to be financially beneficial compared to accepting the arbitration / court award.

15. E-WAY BILL:

The e-way bill required in connection with supply of goods or services, if any, shall be arranged by the supplier/vendor. However, the e-way bill will be arranged by CIL/Subsidiary if the supplier/vendor is unregistered one or if provisions of the relevant Act and the rules made there under specifically states that the e-way bill is required to be issued by recipient of goods.

16. In the event of recovery of any claim towards LD Charges, penalty, fee, fine or any other charges (Except EMD) from the supplier/vendor, the same will be recovered along with GST (if applicable) and the amount shall be adjusted with the payment to be made to the supplier/vendor against their bill/invoice or any other dues.

17. CLOSURE OF CONTRACT:

The closure of contract shall be done within a period of 150 days after completion of the work.

18. GRIEVANCE REDRESSAL:

In case of any grievance on shortfall penalty, the Contractor may appeal to the Director (Technical) of the Subsidiary Company. Director (Technical) and Director (Finance) shall jointly dispose off the appeal within 15 days by passing suitable order based on the merit of the case. No further committee shall be constituted for redressal of such grievance.



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- 1.00 The Contractor, before starting the work, shall furnish to the General Manager/ Project Officer /Engineer-In-Charge the list of equipment e.g. Excavator / Pay loaders / drills / surface miner/ tippers/ dumpers / light motor vehicles etc. as prescribed in the NIT proposed to be deployed for the work, with the related papers of registration, fitness certificate, permits, licenses, Insurance, driving licenses etc. for inspection. No equipment shall be deployed for the work without the approval of the Project Officer/ General Manager/ Engineer-In-Charge.
- 2.00 The equipment/dumpers deployed in any other project of the Company or any project of any Subsidiary of Coal India Limited, shall not be diverted for the work without the approval of the Company. Similarly, the equipment / dumpers deployed for the work shall not be diverted to any other project without the approval of the General Manager / Engineer-In-Charge.
- 3.01 The Contractor shall deploy adequate number of matching equipment at least as per NIT for the satisfactory execution of the work.
- 3.02 Only tipping trucks/dumpers with mechanical unloading arrangements shall be deployed by the Contractor and in no case "Dala" trucks shall be deployed or permitted to be deployed for the work.
- 3.03 Only equipment as elaborated above, in good and safe condition having valid fitness certificate permits/ licenses etc. (wherever applicable) and in respect of which the required taxes/ fees have been deposited and which are properly covered by insurance, shall be deployed for the work.

The Company shall have the right to inspect or arrange inspection of the vehicles/ equipment deployed by the Contractor for the work at any time and declare any vehicle/ equipment unsafe and ask for its immediate withdrawal from the site/ operation. The Contractor shall ensure prompt/ immediate compliance of the same.
- 3.04 The Contractor shall at his own cost, arrange for regular checking/ maintenance/ repair of the tipping trucks/ equipment and keep them in good and safe conditions at all times.

Proper records of such checking/ maintenance/ repair shall be maintained in a Log Book kept on the equipment /vehicle for the purpose, which shall be readily available for inspection whenever required.
- 3.05 Only experienced, skilled and disciplined operators / drivers of sound health, good behavior and antecedents having valid and requisite driving/statutory license as applicable shall be deployed by the Contractor for operating the equipment/ driving dumpers deployed for the work.

In no case any unauthorized driver of the tipping trucks or operator of pay loaders/ equipment shall be permitted by the Contractor.

The Contractor shall post adequate number of competent, experienced, skilled and disciplined persons having good antecedents for satisfactory execution of



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the work. A list of all such persons shall be kept in the office of the Contractor and a copy of the same shall be furnished to the General Manager/Project Officer/Engineer-In-charge as and when required. All these persons shall be regular employees and under direct administrative control of the Contractor and the management shall have no responsibility/ liability whatsoever in this regard.

- 3.06 The tare-weight, gross weight, maximum carrying capacity of the tipping truck/dumper and the dimensions of the body of the truck/dumper shall be clearly indicated on the body of each dumper deployed for the work and maintained in good legible condition, at all times.
- 3.07 No addition or alteration to the size of the body or any such tipping truck/dumper shall be carried out, without prior approval of the Project Officer/ General Manager/Engineer-In-charge.
The truck/dumper shall be loaded only up to the maximum carrying capacity and shall not be overloaded under any circumstances.
- 4.00 No manual workers shall be engaged by the Contractor for loading/ unloading of the truck/dumper, under any circumstances whatsoever.
- 5.00 The Contractor shall bring/ take back and arrange for the transportation of the dumper/truck/ equipment/ men and materials required for the work at his own cost.
- 6.00 Contractor's dumper/tipping truck should ply only on specified routes/ roads. In case, plying of the dumper/tipping truck on any other route/ road become necessary, due to any reason, prior approval for the same shall be taken by the Contractor from the Project Officer/ General Manager/Engineer-In-charge. In case of violation of this provision penalty may be imposed on the Contractor and/ or the contract terminated.
- 7.00 The work shall be executed round the clock or during specified period on all the days of week as directed by the Project Officer/ General Manager/Engineer-In-charge and the Contractor shall be obliged to comply with the same.
- 8.00 The Contractor shall not have any claim whatsoever for the idleness of his equipment / dumpers due to non-availability of working site or any dislocation enroute and / or for any other reason.
- 9.00 The Contractor shall maintain proper records in English/ Hindi of the trucks/ equipment/ persons, etc. deployed for the work, work done, daily attendance of the employees, payment to the employees etc. and the Company shall have the right of access to and inspection of these records or to call for any or all these records or ask the Contractor to submit such reports as it considers necessary and the Contractor shall be bound to comply with such instructions.
- 10.00 The Company shall have no responsibility/ liability whatsoever for any accident/ damage to the Contractor's vehicle/ equipment in transit or while engaged in the work.



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- 11.00 If the Company suffers any loss on account of suspension of production or idleness of its equipment/ employees or on any other account or damage to its property, due to any failure on the part of the Contractor or due to any act of omissions or commission on the part of his representative/ employees or from the trucks/ equipment of the Contractor, the value of the same as assessed by the Company, shall be recovered from the Contractor's bill/ security deposit. The decision of the Company in this regard shall be final and binding on the Contractor.
- 12.00 The monthly rate of removal of OB shall be as per removal schedule of the agreement. However, the Engineer-In-Charge (GM/Project officer) may call upon the Contractor, for a limited period, to increase monthly rate of removal up to +20%. The Contractor shall make necessary arrangement for the increased rate of removal and no claim shall lie against the Company on account of such increase ordered.
In case of exigencies, the Engineer-In-Charge may call upon the Contractor, for a limited period, to increase monthly removal of OB to a further higher range(mutually agreed), and no claim whatsoever shall lie against Company on account of such variation ordered.
- 13.00 In case a Contractor fails to deploy adequate number of equipment/dumpers, the Company may, without any reference to the Contractor, deploy its own equipment/dumpers and / or make alternative arrangements. The extra expenditure incurred by the Company for such use of Company's equipment or for making alternative arrangements shall be recovered from the Contractor.
- 14.00 The Contractor shall provide foot-wears, DGMS approved helmets, dust mask fluorescent jacket, safety appliances and other protective equipment to his employees as provided in the law, at his own cost. In case of failure on the part of the Contractor to provide this protective equipment, the Company may provide the same to the employees at the cost of the Contractor.
- 15.00 Attested photocopy of Driving License of all Contractor's drivers / operators shall be deposited with the Company.
- 16.00 Materials obtained from excavation: Material of any kind obtained from excavation on the site shall remain the property of the Company.

All fossils, coins, articles of value or antiquity, structure and other remains or things of Geological or Archaeological interest, discovered on the site shall be the absolute property of the Company. The Contractor shall immediately upon discovery thereof, and, before removal inform the Engineer-In-Charge.
- 17.00 The Contractor will attend site co-ordination meeting as fixed by the Engineer-In-Charge from time to time to discuss all issues related to works in general and progress in particular. A site order book shall be kept at the site of work as far as possible, all orders regarding execution of work are to be entered in this book. All the entries shall be signed by the Engineer or his representative and Contractor or his representative. The site order book shall not be removed from



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the work site and Contractor or his representative shall be bound to take note of all instructions and directions meant for the Contractor.

18.00 Income Tax deduction will be regulated as per IT Act 1961.

19.00 PAYMENT OF PRICE VARIATION: If the price of diesel, and 'other components' (wages, consumables, spares, tyres) increases or decreases, the Contractor shall be compensated for such increase or recoveries shall be made from the dues of the Contractor for such decrease as per provisions detailed below (for the relevant period) and the amount of the contract shall accordingly be varied, subject to the condition that such compensation/ recoveries for variation in prices shall be available only for the work done during the stipulated period of the contract including such period for which the contract is validly extended under the provisions of the contract without any penal action. If the contract is to be extended beyond the stipulated period for completion of the work due to fault on the part of the Contractor, escalation on prices should not be allowed further. However, during the extended period (beyond the stipulated period) of work due to fault on the part of Contractor, recoveries shall be effected as per applicable price variation Clause.

Where the contract period is up to 6 months, no compensation/ recovery for price variation will be made except for diesel. Where the contract period is more than 6 months, compensation/ recovery for price variation in respect of diesel, and 'other components' will be effected as per Price Variation formula provided in the Special Terms & Conditions. Such compensation/recoveries for variation in the prices when due shall be worked out based on the following provisions:

19.01 Compensation for price variation on account of diesel, and 'other components' (wages, consumables, spares, tyres) will be paid/ recovered.

19.02 The base date shall be the 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to revision, if any) for working out such price variation.

The compensation of Price variation shall be worked out at monthly intervals and shall be with respect to the work done during the previous month. The first such payment shall be made at the end of one month after the month (excluding) in which the tender was accepted and thereafter at monthly interval.

19.03 Benchmarking of price variation on account of diesel will be done on the basis of price of the diesel of the retail outlet of IOC/BP (PSUs), nearest to the worksite and the price variation will be admissible on simple average of diesel rate (nearest to the worksite) of the period considered for price variation.

19.04 Price Variation for excavation cum removal/Transportation of OB by conventional Mining on account of Diesel, Wages & other components for Excavation cum removal/transportation of OB shall be governed by the following formula:



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Activity	Diesel Component	Wage Component	Other components
Formula for price variation	$R \times a \times (D_1 - D_0) / D_0$	$R \times b \times (W_1 - W_0) / W_0$	$R \times c \times (M_1 - M_0) / M_0$
Excavation, Removal and Transportation of OB	$a = 0.56$	$b = 0.09$	$c = 0.04$

R = Awarded Rate in Rs./Cu.M for OB and Rs./Te for Coal.

a=Diesel expressed as percentage of total value of work

b= Wage expressed as percentage of total value of work

c=Other components expressed as percentage of total value of work

D₀ = Base price of diesel corresponding to the 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to the revision, if any).

D₁ = Revised price of diesel, corresponding to period of variation with in the period under consideration.

W₀=Rate of Wages including VDA per day of unskilled worker as per HPC recommendations, published by CIL, related to 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to the revision, if any).

W₁=Rate of Wages including VDA per day of unskilled worker as per HPC recommendations, for the period to which escalation/de-escalation relates, as published by CIL.

M₀ = All India Whole Sale Price Index for all commodities (WPI) as published by RBI Bulletin, Ministry of Industry & Commerce, Govt. of India, related to 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to the revision, if any).

M₁ = Average All India Whole Sale Price Index for all commodities (WPI) for the period to which escalation/de-escalation relates as published by RBI Bulletin, Ministry of Industry & Commerce, Govt. of India.



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- 1.00 The Contractor, before starting the work, shall furnish to the General Manager/ Project Officer /Engineer-In-Charge the list of equipment e.g. Excavator / Pay loaders / drills / surface miner/ tippers/ dumpers / light motor vehicle etc. as prescribed in the NIT proposed to be deployed for the work, with the related papers of registration, fitness certificate, permits, licenses, Insurance, driving licenses etc. for inspection. No equipment/dumpers shall be deployed for the work without the approval of the Project Officer/ General Manager/ Engineer-In-Charge.
- 2.00 The equipment/dumpers deployed in any other project of the Company or any Project of any Subsidiary of Coal India Limited, shall not be diverted for the work without the approval of the Company. Similarly, the equipment / dumpers deployed for the work shall not be diverted to any other project without the approval of the General Manager / Engineer-In-Charge.
- 3.01 The Contractor shall deploy adequate number of matching equipment/dumpers at least as per NIT for the satisfactory execution of the work.
- 3.02 Only tipping trucks with mechanical unloading arrangements shall be deployed by the Contractor and in no case "Dala" trucks shall be deployed or permitted to be deployed for the work.
- 3.03 Only equipment, as elaborated above, in good and safe condition having valid fitness certificate permits/ licenses etc. (wherever applicable) and in respect of which the required taxes/ fees have been deposited and which are properly covered by insurance, shall be deployed for the work.

The Company shall have the right to inspect or arrange inspection of the vehicles/ equipment deployed by the Contractor for the work at any time and declare any vehicle/ equipment unsafe and ask for its immediate withdrawal from the site/ operation. The Contractor shall ensure prompt/ immediate compliance of the same.

- 3.04 The Contractor shall at his own cost, arrange for regular checking/ maintenance/ repair of the tipping trucks/ equipment and keep them in good and safe conditions at all times.

Proper records of such checking/ maintenance/ repair shall be maintained in a Log Book kept on the equipment / vehicle for the purpose, which shall be readily available for inspection whenever required.

- 3.05 Only experienced, skilled and disciplined operators / drivers of sound health, good behaviour and antecedents having valid and requisite driving/statutory license as applicable shall be deployed by the Contractor for operating the equipment/ driving dumpers deployed for the work.

In no case any unauthorized driver of the tipping trucks or operator of pay loaders/ equipment shall be permitted by the Contractor.



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The Contractor shall post adequate number of competent, experienced, skilled and disciplined persons having good antecedents for satisfactory execution of the work. A list of all such persons shall be kept in the office of the Contractor and a copy of the same shall be furnished to the General Manager/Project Officer as and when required. All these persons shall be in the regular employees and under direct administrative control of the Contractor and the management shall have no responsibility/ liability whatsoever in this regard.

- 3.06 The tare-weight, gross weight, maximum carrying capacity of the dumper / tipping truck and the dimensions of the body of the dumper / tipping truck shall be clearly indicated on the body of each dumpers deployed for the work and maintained in good legible condition, at all times.
- 3.07 No addition or alteration to the size of the body or any such dumper / tipping truck shall be carried out, without prior approval of the Project Officer/ General Manager.
The dumper / tipping truck shall be loaded only up to the maximum carrying capacity and shall not be overloaded under any circumstances.
- 4.00 No manual workers shall be engaged by the Contractor for loading/ unloading of the dumper / tipping truck or loading of wagons, under any circumstances whatsoever.
- 5.00 The Contractor shall bring/ take back and arrange for the transportation of the dumper / tipping truck / equipment/ men and materials required for the work at his own cost.
- 6.00 Contractor's dumper / tipping truck should ply only on specified routes/ roads. In case, plying of the dumper / tipping truck on any other route/ road becomes necessary, due to any reason, prior approval for the same shall be taken by the Contractor from the Project Officer/ General Manager. In case of violation of this provision, penalty may be imposed on the Contractor and/ or the contract terminated.
- 7.00 The work shall be executed round the clock or during specified period on all the days of week as directed by the Project Officer/ General Manager and the Contractor shall be obliged to comply with the same.
- 8.00 The Contractor shall not have any claim whatsoever for the idleness of his equipment / dumpers due to non-availability of working site or any dislocation enroute and / or for any other reason.
- 9.00 The Contractor shall maintain proper records in English/ Hindi of the trucks/ equipment/ persons, etc. deployed for the work, work done, daily attendance of the employees, payment to the employees etc. and the Company shall have the right of access to and inspection of these records or to call for any or all these records or ask the Contractor to submit such reports as it considers necessary and the Contractor shall be bound to comply with such instructions.



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- 10.00 The Company shall have no responsibility/ liability whatsoever for any accident/ damage to the Contractor's vehicle/ equipment in transit or while engaged in the work.
- 11.00 If the Company suffers any loss on account of suspension of production or idleness of its equipment/ employees or on any other account or damage to its property, due to any failure on the part of the Contractor or due to any act of omissions or commission on the part of his representative/ employees or from the trucks/ equipment of the Contractor, the value of the same as assessed by the Company, shall be recovered from the Contractor's bill/ Security Deposit. The decision of the Company in this regard shall be final and binding on the Contractor.
- 12.01 Where wagons are being weighed at the loading end, payment for coal/middlings transported to the siding/ CHP (where wagons are being loaded), loading of the tipping trucks by the Contractor's pay loader (s), picking, breaking and wagon loading by Contractor's pay loader (s), shall be made on the basis of the RR weight of coal/middlings despatched, duly re-conciled with the measured quantity every month and after effecting deductions of penalties/ recoveries as per terms & conditions of this contract.
- 12.02 (i) Where wagons are not being weighed at the loading end, payment for the items of work stated in 12.01 above shall be made on the basis of the actual weight of coal received by the Power Houses/ consignees as per the weighment of the wagons at their end, duly reconciled with measured quantity every month.
- (ii) If in the case of wagons not being weighed at the loading end, the payment is made by any consignee on the basis of RR weight, the Contractor (s) shall be paid accordingly however duly reconciled with measured quantity.
- (iii) In the case of wagons not being weighed at the loading end, 90% payment for items of work stated in 12.01 above, shall be made provisionally on the basis of RR weights. Balance payment shall be made after reconciliation, as explained in (i) above and effecting deduction of penalties/ recoveries as per terms & conditions of this contract.
- 12.03 In the case of coal transportation from face to stock, if the trucks/tipping trucks are not being weighed, payment shall be made on volumetric measurement of the coal transported, converted to weight as per conversion factor adopted by the Company for the work duly reconciled with the measured opening and closing stocks for the month and off-take from stock to the siding/ CHP as per 12.01/12.02 above and payment shall be made to the Contractor (s) accordingly.
- 12.04 In case two or more Contractors are engaged for the transportation work, the re-conciled total quantity for the month arrived at in 12.01/12.02 & 12.03 above shall be distributed between the transporting Contractors, in proportion of the



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number of trips performed by each, during the month or in any other manner which the General Manager considers more appropriate.

- 12.05 In cases there is mixed contractual and departmental transportation to stock/CHP/Siding, the quantity transported departmentally shall be separated to arrive at the quantity transported contractually for 12.01/12.02 & 12.03 above.
- 13.00 In case two or more Contractors are engaged on picking/ breaking and wagon loading, the distribution of the reconciled quantity for the month, arrived at in Clause 12.01, 12.02 & 12.03 above.
- 14.00 In case of transportation of coal to the Washery, payment shall be made on the basis of the actual quantity received at the Washery, as per weighment of the trucks at the washery end, subject to such verification as the General Manager may consider necessary and appropriate.

In case the washery weighbridge goes out of order, the Project Officer shall make alternative arrangement for weighment of the trucks or the weight at the loading end shall be taken as the weight of coal received at the washery, subject to such checking/ verification as the Project Officer/ General Manager may deem necessary.

- 15.00 In case the weighbridge of the loading end goes out of order or is not available, the Project Officer/General Manager shall make alternative arrangement for weighment of the trucks or such arrangement (s) as he considers necessary to ensure that all coal loaded at the loading end reaches the destination.
- 16.00 In case the tipping truck / dumper is being weighed both at the loading end as well as unloading end, the figures of weighment at both the ends shall be reconciled every month in respect of each Contractor and if there is any shortage of coal received at the unloading end, the value of coal found short, will be deducted as under:
- a. For the variation in quantity upto 2% (two percent) at the rate of prevailing sale price of the said grade of coal
 - b. For the variation in quantity exceeding 2% (two percent) double the then prevailing rate.

The aforesaid deduction/recovery shall be made including all royalty, cess etc. from the running on A/c bill and/or Security Deposit of the transporting Contractor (s) concerned or otherwise, specifically mentioned in work order/agreement.

- 17.00 No payment shall be made to the Contractor for stone/ shale/ bands/ extraneous materials segregated at the siding/ coal handling plant/ stock/ washeries in the process of dispatching coal to consumers.



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- 18.00 If the work of transportation/ removal of picked out band/ shale/ stone/ extraneous materials/ overburden/ washery rejects is contracted out payment for the same shall be made on the basis of volumetric measurement of trucks, duly verified against the volumetric measurement of such materials at the site of unloading where weighing of such materials is not possible.
- 19.00 In case two or more Contractors are engaged for loading of wagons at any siding, the allocation of wagons to be loaded by each of them will be decided by the Project Officer/ General Manager, which will be final and binding on each Contractor.
- 20.00 Wagons supplied at the siding shall be loaded by the Contractor (s) within the free loading time given by the railways / any period decided upon by the management. Such time period may vary periodically.
- 21.01 (i) If the demurrage of wagons occurs due to less availability of coal at the siding because of less transportation of coal, the Contractor shall be held responsible and liable for the same and the demurrage charges incurred shall be recovered from him.
- (ii) If the demurrage is due to failure on the part of two or more coal transporting Contractors, the demurrage charges shall be apportioned by the General Manager/ Project Officer, amongst the concerned Contractors as he considers appropriate and his decision in the matter shall be final and binding on each of these Contractors.
- 21.02 (i) If the demurrage occurs due to failure on the part of Contractor, the demurrage charges incurred shall be recovered from the Contractor.
- (ii) In case there are two or more Contractors for wagon loading, the Contractor who has not completed the loading of all the wagons allotted to him, within the free loading time, shall be held responsible and liable for the demurrage and demurrage charges for the full rake shall be recovered from him.
- (iii) In case demurrage of any rake occurs due to failure on the part of two or more wagon loading Contractors, demurrage charges for the rake shall be recovered in proportion of the number of wagons allotted to each of them for loading.
- 21.03(i) If the demurrage of wagons occurs due to failure on the part of the picking and breaking Contractor, for not making available adequate quantity of clean and sized coal free from stone/ shale/ extraneous materials, he shall be liable for the demurrage charges incurred and the same shall be recovered from him.
- (ii) In case the demurrage occurs due to failure of two or more picking/ breaking Contractors, the demurrage charges shall be apportioned in the manner as considered appropriate by the Project Officer/ General Manager and his decision shall be final and binding on each of the concerned Contractors.



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- 22.01 The Contractor, if allowed to load wagon, shall be also responsible for cleaning of the siding tracks/ between the line and on both sides of the same, leveling of coal loaded into the wagons and lime washing on the top of the same, in respect of the wagons allotted to him/ each of them.

In case any rake is put on demurrage/ any penalty is imposed on the Company for failure on the accounts, the same shall be recovered from the Contractor/s concerned.

- 22.02 In case any derailment of wagons occurs due to non-cleaning of the tracks by the Contractor, if allowed to load wagon, the charges/ penalties for the same levied by the Railways as also the demurrage charges for the same shall be recovered from the defaulting Contractor (s).

- 22.03 All such charges/ penalties shall be apportioned between the defaulting Contractors, as the General Manager thinks fit and reasonable and his decision in all the above cases shall be final and binding on the Contractors concerned.

- 23.00 In order to ensure proper loading of wagon, the Contractor shall ensure loading upto proper level keeping in view the stipulated carrying capacity of the wagons and the loading will be done as per directions of Colliery/ Project/ Area officials responsible for the supervision of the loading of wagons at siding.

- 24.00 The Contractor shall load clean coal free from stone/ shale/ bands/ extraneous materials and of stipulated size.

(Note: Provisions at Clause 12.01 to 24 shall be guided by provisions of "Measurement of Coal Removal and Regulations in Payment of Coal" under Special Notes and Additional Terms and Conditions)

- 25.00 The monthly rate of removal of OB and extraction of Coal shall be as per removal schedule of the agreement. However, the Engineer-In-Charge (GM/Project officer) may call upon the Contractor, for a limited period, to increase monthly rate of removal of OB/Coal or both up to (+)20%. The Contractor shall make necessary arrangement for the increased rate of removal and no claim shall lie against the Company on account of such increase ordered. In case of exigencies, the Engineer-In-Charge may call upon the Contractor, for a limited period, to increase monthly removal of OB/Coal or both to a further higher range (mutually agreed), and no claim whatsoever shall lie against Company on account of such variation ordered.

- 26.00 In case a Contractor fails to deploy adequate number of equipment, the Company may, without any reference to the Contractor, deploy its own equipment and /or make alternative arrangements. The extra expenditure incurred by the Company for such use of Company's equipment or for making alternative arrangements shall be recovered from the Contractor.

- 27.00 The Contractor shall provide foot-wears, DGMS approved helmets, dust mask, fluorescent jacket, safety appliances and other protective equipment, to his employees as provided in the law, at his own cost. In case of failure on the part of the Contractor to provide this protective equipment, the Company may provide the same to the employees at the cost of the Contractor.



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- 28.00 Attested photocopy of Driving License of all Contractor's drivers / operators shall be deposited with the Company.
- 29.00 Materials obtained from excavation: Material of any kind obtained from excavation on the site shall remain the property of the Company.
All fossils, coins, articles of value or antiquity, structure and other remains or things of geological or archaeological interest, discovered on the site shall be the absolute property of the Company. The Contractor shall immediately upon discovery thereof, and, before removal inform the Engineer-In-Charge.
- 30.00 The Contractor will attend site co-ordination meeting as fixed by the Engineer-In-Charge from time to time to discuss all issues related to works in general and progress in particular. A site order book shall be kept at the site of work as far as possible, all orders regarding are to be entered in this book. All the entries shall be signed by the Engineer or his representative and Contractor or his representative. The site order book shall not be removed from the work site and Contractor or his representative shall be bound to take note of all instructions and directions meant for the Contractor.
- 31.00 Income Tax deduction at source will be regulated as per IT Act 1961.
- 32.00 **PAYMENT OF PRICE VARIATION:** If the price of diesel, and 'other components' (wages, consumables, spares, tyres) increases or decreases, the Contractor shall be compensated for such increase or recoveries shall be made from the dues of the Contractor for such decrease as per provisions detailed below (for the relevant period) and the amount of the contract shall accordingly be varied, subject to the condition that such compensation/ recoveries for variation in prices shall be available only for the work done during the stipulated period of the contract including such period for which the contract is validly extended under the provisions of the contract without any penal action. If the contract is to be extended beyond the stipulated period for completion of the work due to fault on the part of the Contractor, escalation on prices should not be allowed further. However, during the extended period (beyond the stipulated period) of work due to fault on the part of Contractor, recoveries shall be effected as per applicable price variation Clause.

Where the contract period is up to 6 months, no compensation/ recovery for price variation will be made except for diesel. Where the contract period is more than 6 months, compensation/ recovery for price variation in respect of diesel, and 'other components' will be effected as per Price Variation formula provided in the Special Terms & Conditions. Such compensation/recoveries for variation in the prices when due shall be worked out based on the following provisions:

- 32.01 Compensation for price variation on the account of diesel, and 'other components' (wages, consumables, spares, tyres) will be paid/ recovered.



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32.02 The base date shall be the 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to revision, if any) for working out such price variation.

The compensation of Price variation shall be worked out at monthly intervals and shall be with respect to the work done during the previous month. The first such payment shall be made at the end of one month after the month (excluding) in which the tender was accepted and thereafter at monthly interval.

32.03 Benchmarking of price variation on account of diesel will be done on the basis of price of the diesel of the retail outlet of IOC/BP (PSUs), nearest to the worksite and the price variation will be admissible on simple average of diesel rate (nearest to the worksite) of the period considered for price variation.

32.04 Price Variation for Excavation, Removal of Overburden, Extraction of Coal and Transportation - **Composite work**

32.04 Price Variation for

- Excavation, Removal and Transportation of OB.
- Extraction and Transportation of Coal.
- Extraction of Coal by Surface Miner.
- Loading & Transportation of Coal extracted by Surface Miner.

on account of Diesel, Wages & other components shall be governed by the following formula:

Activity	Diesel Component	Wage Component	Other components
Formula for price variation	$R \times a \times (D_1 - D_0) / D_0$	$R \times b \times (W_1 - W_0) / W_0$	$R \times c \times (M_1 - M_0) / M_0$
Excavation, Removal and Transportation of OB.	$a = 0.56$	$b = 0.09$	$c = 0.04$
Extraction and Transportation of Coal.	$a = 0.46$	$b = 0.15$	$c = 0.05$
Extraction of Coal by Surface Miner.	$a = 0.29$	$b = 0.07$	$c = 0.01$
Loading & Transportation of Coal extracted by Surface Miner.	$a = 0.37$	$b = 0.25$	$c = 0.07$

R = Awarded Rate in Rs./Cu.M for OB and Rs./Te for Coal.

a=Diesel expressed as percentage of total value of work

b= Wage expressed as percentage of total value of work

c=Other components expressed as percentage of total value of work



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D_0 = Base price of diesel corresponding to the 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to the revision, if any).

D_1 = Revised price of diesel, corresponding to period of variation with in the period under consideration.

W_0 = Rate of Wages including VDA per day of unskilled worker as per HPC recommendations, published by CIL, related to 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to the revision, if any).

W_1 = Rate of Wages including VDA per day of unskilled worker as per HPC recommendations, for the period to which escalation/de-escalation relates, as published by CIL.

M_0 = All India Whole Sale Price Index for all commodities (WPI) as published by RBI Bulletin, Ministry of Industry & Commerce, Govt. of India, related to 10th day prior to the last date on which the tenders were stipulated to be received (including the last date of submission of bid as mentioned in the NIT prior to the revision, if any).

M_1 = Average All India Whole Sale Price Index for all commodities (WPI) for the period to which escalation/de-escalation relates as published by RBI Bulletin, Ministry of Industry & Commerce, Govt. of India.



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1. Scope of Work:

The Contractor, at all times during the contract period, ensure the fulfilment of its obligation in respect of excavation, removal, depositing of overburden, extraction and delivering coal in accordance with applicable laws, mining plan, the provisions of the Agreement and good industry practice.

The Contractor shall remove overburden excavated from mines/specified locations and deposit the same, as per provisions of the agreement, at specified locations decided by EIC or shown in the mine plan.

The Contractor shall extract coal from mines and deliver at specified location as per provisions of the agreement at specified location(s) decided by the Engineering charge.

The Contractor agrees and undertakes that the geometry of the mines including bench height and width of coal seams, Overburden and inter-burden shall at all times conform to provisions of this agreement, statutory provisions and standard industry practice.

The work proposed covers removal of OB, de-coaling of area and back filling / dumping the same with available excavated spoils by deploying HEMM. The Contractor is expected to execute any of the above work or all the works as per items covered under Bill of Quantities.

This scope of work thus covers any or all of the following as specified in the Bill of Quantity: -

- i) Removal / excavation of earth / soil (all kinds) / rock (all kinds) in various strata at all depth including transportation of the same, following approved route, dumping, spreading / dozing and allied works in specified dumping area(s) / adjoining area(s)/ subsided area(s) / existing dump(s) using heavy earth moving machineries.
- ii) Extraction / winning of coal (free from extraneous materials) from various strata and its transportation following approved route upto specified area like departmental stock yard / coal dump / railway siding / coal handling plant etc. as desired by Department using conventional HEMM or Surface Miner as well as dumpers, tippers etc.
- iii) Loading into wagon deploying HEMM as and when required.
- iv) Refilling / back filling of affected area after winning of coal including loading, transportation, dumping, spreading, compacting etc. all by mechanical means. Such refilling / back filling may be carried out simultaneously during excavation and transportation stage of overburden i.e. earth, soil, rock (all kinds)



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depending on availability of de-coaled area as per direction / approved work plan.

It should be the duty and responsibility of the Contractor to report to the management as soon coal is exposed / likely to be exposed.

The Contractor expressly agrees that excavation, removal of overburden, extraction of coal and delivery of coal shall be as per agreed work schedule.

Contractor shall be solely responsible for security of the extracted coal till delivery up to the Department's specified destination. Contractor shall indemnify the Company from any pilferage in handling of coal and also be liable to pay compensation for any mishandling of such materials at any stage of operation upto the delivery at the destination.

2. Equipment:

The Contractor shall deploy their diesel operated heavy earth moving machineries consisting of any or all of the following:

- a) Shovel / Scraper / Excavator.
- b) Dumper / Tipper.
- c) Dozer / Grader.
- d) Wagon drill / blast hole drill and other deep hole drilling equipment.
- e) J. H. Drill.
- f) Compressor.
- g) Water sprinkler.
- h) Pay loader.
- i) Surface Miner
- j) Pump / Motor
- k) Any other equipment required for completion of the work.

Numbers, size and capacity of such equipment shall be brought to site considering bid provisions, if any, or as directed by Department to facilitate required output within the specified time frame and also environmental consideration.

3. Working Parameter:

- a) The area required to be excavated shall be shown in the plan / drawing given by the Engineer-In-Charge. The Contractor should sign the plan with pre-level survey and same should be the base plan for working.
- b) The area thus set out shall be cleared from all obstruction such as rubbish of all kind, vegetation, bush etc. No additional payment shall be made to the Contractor for such works.
- c) After clearance of site, the central line of excavation will be given by the Engineer-In-Charge or his representative and it will be the responsibility of the Contractor to go ahead with further detailed layout, bench marks etc. The permanent bench



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marking by constructing pillars, centres shall be permanently laid by the Contractor at his own cost and shall remain at places till handing over the work/completion of the work.

- d) The proposed site including the size of the block may vary depending upon geomining conditions and subject to mine working condition.
- e) The site of dumping as shown in plan or as originally conceived may change depending on availability of space.
- f) The deployment of equipment is to be done by the Contractor, considering the working conditions, depth of the mine working, etc. in consultation with the EIC.
- g) Excavation shall include removal of coal/soil as deliberated at 1(i) & (ii), all kinds (wet or dry) exactly in accordance with all levels and contours shown on the plan or as directed by the Engineer-In-Charge. No extra payment will be allowed for removal of semi liquid mud/sludge during the entire excavation of soil / or rock including coal and the rate quoted for the respective items of work shall be deemed to have been covered by the same. It shall be taken to the exact level and gradient of the lowest floor and the sides shall be cut by maintaining the statutory benches as required for the purpose.
- h) Environment Protection Act, 1986 and rules and regulations made thereunder from time to time are to be strictly followed for blast hole drilling, blasting, excavation, loading, hauling and dumping of broken rocks / soil-earth by mechanical means. Air pollution control measures are to be adopted as per SPCB and CPCB norms. Sufficient Sprinklers are to be used to prevent air pollution. Dumping of OB shall be at specified location. Height of dump, slope of the dump is to be followed as per instruction of EIC.

The Contractors shall follow the relevant provisions of clearance, as communicated by Ministry of Environment, Forest and Climate Change (MOEF&CC), as applicable for the mine / project and also instruction of Engineer-In-Charge.

- i) Explosives:- Supply of explosives, detonators and related materials and accessories will be made by Company free of cost. The quantity to be issued shall be to the extent as per established norms for such work.

The Contractor shall have to submit necessary programme before drilling with proper blast hole design for taking up blasting work whenever necessary to Management well in advance for uninterrupted progress of work.

The services of statutory supervisory personnel as required for the work will be provided as and when considered necessary by the Management free of cost. Blasting shall be done by Department free of cost. However, necessary supporting manpower required for such blasting is to be provided by the Contractor. Department shall transport the explosives from Departmental magazine.



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- j) Overburden is to be dumped at site indicated by Engineer-In-Charge or his representative. Necessary access road /haul road as required for the work for movement of vehicle / HEMM to the place of work and for transportation of excavated soil / rock to disposal point are to be made / maintained (as the case may be) by the Contractor for which no extra payment shall be made. For management of OB dump yard, haul roads, and working faces, dozers and graders are also to be provided. The Contractor's quoted rate shall be deemed to cover the same.

However, if soil transportation route follows any existing road (colliery road / DB road etc.), the maintenance of the same shall be the responsibility of Management/ appropriate authority.

Extracted coal is to be transported to appropriate dump yard / railway siding / stock yard/ CHP / washeries etc. as decided by Engineer-In-Charge. Necessary weighment through designated weighbridge shall also be covered in the scope of work, if so required. Necessary access/haul road for extraction of coal shall also be the responsibility of the Contractor including its making and maintenance. For management of coal dump yard(s), haul road(s) and working face(s), dozer(s) and grader(s) are also to be provided. The Contractor's quoted rate shall be deemed to cover the same.

However, if the coal transportation route follows any existing road (colliery road / DB road etc.), the maintenance of the same shall be the responsibility of Management/ appropriate authority.

- k) Face advance shall be as per approved mine plan/ section. OB removal and coal extraction shall be as per agreed work schedule. In respect of existing mines/workings, this can be seen at the office of GM of concerned Area.
- l) The Contractor shall make his own arrangement of dust suppression by water spraying at faces, loading points, haul roads, transportation roads and dump yards etc. Water, if available, at working site with the authorities /department, shall be spared free of cost. In case of non-availability of such water, arranging water for dust suppression shall be the responsibility of the Contractor.
- m) De-watering and drainage arrangement, as long as required, during the entire execution of work arising out of rain, sub soil water or stored water shall be the responsibility of the Contractor. The Contractor will have to provide necessary pumping machineries and pipes.

In the event of failure to provide required pumping arrangement by the Contractor to meet agreed work-schedule or safety of mines, the Company through its EIC may provide necessary pumping arrangement. The cost incurred for the same as derived shall be recovered.



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- n) Necessary quarry lighting as long as required during the entire execution of work shall be the responsibility of the Contractor.

NOTE: However, Company may decide based on location / mining consideration if drainage /de-watering / quarry lighting can be done Departmentally. In case Departmental de-watering and quarry lighting is preferred, the same be indicated in the bid document and necessary modification on (m) & (n) above can be incorporated indicating that the same shall be free of cost.

- o) The Contractor shall facilitate cleaning of faces, preparation of ramps and other work to facilitate movement and plying of machines deployed by him. Necessary equipment like dozers, graders etc. shall be deployed by the Contractor and shall be considered as a part of work.
- p) Earth as available in the excavation may be kept revived for use / used as far as possible in the top layer or reclamation / covering the back filling or as directed.
- q) The Management shall not be liable for any compensation whatsoever in case of any detention / idling of the machinery of the Contractor for any reason. The Contractor will fully indemnify/compensate the Company for the loss suffered by the Company due to stoppage of work by the Contractor and / or his employees / workers on his / their own accord / and / or for any damages caused to any property of Company or others due to any act of omission and commission on the part of the Contractor and / or his employees. The assessment made by the Company in this regard shall be final and binding on the Contractor.
- r) The custodial responsibility in respect of the property of the Management or of the Contractor will rest on the Contractor fully from the date of the issue of Letter of Acceptance / Work Order till the completion / termination of the work and handing over the property back to the Management.
- s) The Company shall provide the required land for execution, entirely or in a phased manner. The Company may restrict the magnitude of the work depending upon the availability of land for the purpose for which the Contractor will have no claim on Company and no compensation on this ground will be paid to the Contractor other than as mentioned under the General Terms and Conditions of the Contract.
- t) The cost of manpower & materials required for making pillars etc. for survey measurement shall be to the account of Contractor and rates quoted by the Bidder shall be deemed to have included all such costs.
- u) Company would not be liable for any compensation due to stoppage / change in Scope of work, due to local disturbance, change in Govt. policy, law and any order of judiciary, obstruction or delay by any outside elements / agency.
- v) Geometry, cross section etc. of haul roads etc. will have to be got checked by the Safety and Civil Engineering Department of the concerned Project of Company before putting in use.



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4. Detailed Scope of Work: HEMM as detailed in Clause No.2 above and others as required shall have to be engaged by the Contractor for the works as detailed below subject to stipulation in BOQ.
- a. Excavation of soil (all kinds and at all levels) and / or rock (all kinds, all strata and at all levels) from Company's land and / or from the land (arranged by the Company) at all depth as decided by EIC.
 - b. Necessary preparation of site and drilling holes to facilitate blasting (spacing and size of drilled holes shall be in consultation with Management's representatives on day to day basis so as to avoid dispute on fragmentation of rock) in all soil and all rock strata. The agency will be responsible for drilling in all gradient / terrain and stemming during charging of explosives as per requirement.
 - c. Facilitating blasting as described at 3 above
 - d. Loading the excavated spoils into dumper / tipping truck (size of such tipping truck / dumper shall be as per NIT or as decided by Management to limit pollution level).
 - e. Transporting, unloading and dumping the spoils on dump site or on the land arranged by the Management / existing dump(s) and spreading or simultaneous dumping and spreading in layers for filling de-coaled area(s) or subsided area(s) by mechanical means.
 - f. Necessary drilling to facilitate blasting (spacing and size of drilled holes shall be in consultation with Management's representative on day to day basis so as to avoid dispute) in coal strata, if applicable. The agency will be responsible for drilling in all gradient / terrain and stemming during charging of explosives as per requirement.
 - g. Winning and extraction of coal from all strata, using conventional technology such as use of excavator / drill etc. (capacity and size shall be as per NIT or as decided by Management to maintain targeted production within specified time period) as per provision of BOQ.
 - h. Facilitating blasting as described at 3 above.
 - i. Winning and extraction of coal using Surface Miner from all strata (capacity and size of Surface Miner shall be as per NIT or as decided by Management to maintain targeted production within specified time period) as per provision of BOQ.
 - j. Loading of coal into tipper / dumper, its transportation and unloading at the destination fixed by the EIC and stacking properly.



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- k. Loading into wagons by Pay Loader (as per provision of BOQ).
- l. Making and maintaining haul roads, access roads. In case of existing mines where haul road already exists, maintenance of the same shall be within the Scope of work.
- m. Back filling the coal faces after completing extraction of entire coal by way of re-handling of excavated dumped spoil (soil & rock) laid in layers and compacted only when specified in BOQ.
- n. De-watering, drainage arrangement and quarry lighting as long as required for the entire execution / operation of the work including at the coal benches (if specified in the BOQ). The Contractor will have to provide required pumping arrangement along with piping.
- o. Dust suppression by spraying water at workings as well as haul roads / roads.
- p. All other miscellaneous works for completion of the items of works as per BOQ.

5. Measurements and Payments:

Measurement of OB and Coal:

Before an area is taken up for working, the pre-level survey shall be taken up jointly as described hereafter on the base plan. The Contractor shall sign the base plan with respect of which subsequent survey volumes will be determined. Contractors are required to furnish their proposed work completion schedule indicating month-wise progress keeping in view the total quantum of work. The schedule so given should be agreed by Engineer-In-Charge.

The initial and final joint survey measurement of the works, coal and Over Burden (OB) shall be carried out by Area authority in association with HQ Survey Team, CMPDIL / other Subsidiary team and representative of Contractor.

All interim monthly measurement of OB and Coal shall be done on in-situ basis by Unit Surveyor along with the representative of Contractor in accordance with survey measurement as prescribed in the "Code for uniform system of maintenance, control, verification of coal stock and measurement, verification of over burden removal in all mines of Coal India Limited".

Mode of interim quarterly / half-yearly measurement is to be carried out by Area Surveyor, Unit Surveyor, representative of Head Quarter and representative of the Contractor. Association of CMPDIL / other Subsidiaries may also be sought with the approval of CMD of the Company.

Surveying and levelling: -

- a) Initial surveying and levelling shall be carried out as per "Code for uniform system of maintenance, control, verification of coal stock and measurement, verification



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of over burden removal in all mines of Coal India Limited". The Subsidiary shall incorporate such mode in the bid document.

- b) Subsequent Surveying and Levelling: This will be carried out as per "Code for uniform system of maintenance, control, verification of coal stock and measurement, verification of over burden removal in all mines of Coal India Limited".

The dates of all such measurements and also the date of initial measurement will be recorded on the plan along with the reduced level of each point. If the entries are too numerous and difficult to accommodate on the plan, a register may be maintained for recording the levels with dates.

- c) When coal seam is exposed, the levels of top of the seam will be taken before the coal is extracted by Area Surveyor. Similarly, the levels of floor will be taken before the area is back filled or allowed to get drowned by CMPDIL /other Subsidiary team.
- d) In the excavated portion also, the levels of the points along the grid where there are abrupt changes in the levels should also be taken.
- e) A Bench Mark and a base line will be maintained at suitable places away from the quarry on firm undisturbed ground. The levels and positions of the pillars should be rechecked in reference to the bench mark and base line every six months by Area Office Surveyor.
- f) Measurement of Overburden Removal: Any standard In-situ method for measurement of quantity of overburden removed can be adopted. The modern system of survey measurement such as Electronic Total Station (ETS) and 3D Terrestrial Laser Scanner (3DTLS) shall be used in measurement. CIL/ Subsidiary can also adopt any new technology/gadget etc.as approved by CIL or Subsidiary for measurement of quantity of overburden.

The initial and final measurement of HOE patch shall be done by CMPDIL/third party by 3DTLS. For contract more than one year, half yearly and annual measurement of OB shall also be reconciled on the basis of measurement by CMPDIL/third party done by 3DTLS.

Note: Every Bill (running on account/final) shall be paid on measured quantity (survey measurement as above) on monthly basis. However, it shall be reconciled Half yearly and Annually/Final on the basis of measurement by CMPDIL/third party done by 3DTLS.

- g) Measurement of Coal Removal: In Situ volumetric measurement of coal production can be found out in the same manner prescribed in "Code for uniform system of maintenance, control, verification of coal stock and measurement, verification of over burden removal in all mines of Coal India Limited".



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- h) Regulations in payment for Coal: The volumetric quantities of coal shall be calculated in accordance with prescribed guidelines in above para. The same shall be converted in ton and shall be reconciled with the quantity dispatched as per weighment of Railway/ Truck receipts. Payment will be made on the lesser of the quantities as determined by the above modes.

The conversion factor / specific gravity for the specified seam of coal will be worked out and shall form the basis of conversion.

In reconciliation, if the quantity of coal delivered by the Contractor at the destination is found to be less than the quantity measured at the place of work, the Contractor shall be liable to pay penalty for the variation in quantity upto 2% (two percent) at the rate of prevailing sale price of the said grade of coal and pay a penalty at double the aforesaid sale price for the quantity beyond / exceeding the variation of 2% (two percent).

- i) The running on account bill will be raised every month or stipulated in the work order/ contract agreement and payment will be made within 30(thirty) days from the date of passing of the bill by the executing authority.

Note: Every Bill (running on account/final) shall be paid on measured quantity on monthly basis.

- j) Payment will be released on quantity of coal dispatched outside the leasehold limits of the quarry and quantity of overburden removed after measurement for the above purpose as per steps mentioned below:

- i) Current stripping ratio as mutually agreed, as per NIT/ agreed work schedule shall form the basis of release of payment in respect of overburden and coal.

- ii) On Account payment for removal of OB will be admissible to the Contractor to the extent of 50% (fifty percent) of the quantity of OB disposed off by the Contractor till the initial coal seam is exposed for extraction.

- iii) Subsequent payment on removal of OB within contract quantity will be restricted on the basis of agreed stripping ratio i.e. payment will be linked to extraction of coal. However, if OB removal and coal removal is as per agreed stripping ratio, full payment on removal of OB shall be made subject to dispatch of coal as indicated above. However, 50% of payment of OB removal in excess of commensurate quantity as per stripping ratio will be made within the contract quantity provided the so payable amount do not exceed security amount (i.e Performance Security & Retention Money) available with the Department.

- iv) Full value of payment due to coal dispatched within the contract quantity shall be released in 'On Account' bills when the coal extracted matches stipulated stripping ratio.



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- v) In case, coal extraction is more and OB removal is less than the stripping ratio, full payment on OB removal will be made.

In case, coal extraction and transportation is more than OB extraction compared to agreed stripping ratio, full payment will be restricted for quantity of coal, based on stripping ratio, corresponding to the actual OB removed.

However, for the portion of coal quantity for which payments could not be released due to restriction of the stripping ratio may be released to the extent of 80% of the payable amount provided the extra coal extracted / transported is dispatched by Company and executing authority is satisfied about the stability of mining operation undertaken.

- vi) The above stipulation for progressive payment is to ensure compliance of targets as per the mile stone indicated in the schedule of progress. However, actual quantity executed (Coal & OB) as certified in the final joint measurement shall be paid after re-conciliation in the final bill irrespective of stripping ratio mentioned in agreed work schedule / Bid.
- vii) In case of variation in available stripping ratio compared to agreed stripping ratio, the same can be modified based on actuals during the course of contract subject to approval of Competent Authority.

[Note: (not to be part of tender document): Competent Authority shall be tender approving authority and in case approving authority is higher than CMD level, then approval of CMD shall be taken.]

The payment withheld for OB quantity (ref Clause (ii) and (iii) above) will be released considering the progressive achievement as per the work schedule/revised work schedule as applicable.

- k) Release of payment after extraction/production of coal and transportation to Surface Coal Dump by the Contractor in those cases where the Contractor is not responsible for non-dispatch of coal from Surface Coal Dump and the payment is held up for more than 90 days, the following system is to be adopted provided the coal received at Surface Coal Dump after weighment:
- i) 80% payment for the coal produced and transported up to Surface Coal Dump after weighment to be considered for payment.
 - ii) Balance payment for the above coal produced and transported up to Surface Coal Dump as mentioned at Sl. No. (i), will be released only after its dispatch.
 - iii) Release of payment for the quantities of OB commensurate with 80% quantity of coal produced (as per current stripping ratio) and transported to dump shall also be released after measurement as prescribed in Clause 5.



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- iv) The payment as made under serial No.(i) and (iii) above shall be treated as provisional payment and it shall be adjusted, as finalized as per Clause 5(h) and 5(j) above.

Note: (Not to be part of tender document): For releasing such payments as at 5(k), approval of tender approving authority is required. In case the tender approving authority is above CMD level, then approval of CMD of CIL/Subsidiary is required.

NOTE:

- 1) The above mode of release of payment shall be applicable where Hiring Works involve both removal of OB and extraction of coal.

In case only coal extraction or only OB removal is in the scope of work, no such restriction shall be applicable i.e. extraction of coal / removal of OB will be made based on in situ measurement. However, in case of extraction of coal release of payment shall be subject to dispatch. Mining shall be as per acceptable geometry including bench width and height, statutory provisions and standard industry practice.

- 2) Subsidiaries may also decide its own regulation towards mode of payment for items executed (duly approved) depending on locational and geo mining site consideration. However, such mode shall be elaborated in the bid document.
- 3) The provisions made above are general in nature and can be modified depending on local considerations with the approval of Subsidiary.



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A. VOCATIONAL TRAINING

1. OBLIGATION ON THE PART OF CONTRACTOR.

- i) Contractor shall ensure Initial and Refresher Vocational Training to his employees and supervisors as per Vocational Training Rule 1966 (read with any amendments) at the Company's training centre. Training shall be imparted to all workers, well in advance, before deployment in the mines. Trainees completing the training successfully shall be issued Training Certificate/Card. Trainees shall be entitled to receive stipend as per Mines Vocational Training Rules, 1966 (and its amendment from time to time) and /or other applicable minimum wages/remuneration whichever applicable for the period of training concerned.
- ii) The Contractor shall pay stipend as per Mines Vocational Training Rules, 1966 (and its amendment from time to time) and /or other applicable minimum wages/remuneration whichever is applicable to the entitled trainees as per Vocational Training Rule 1966 for the entire period of training through Bank within 30 days of completion of training. In case of failure to pay stipend, the employer shall pay the same to trainees who complete training successfully. Payment so made, shall be recovered from the bill of the Contractor.
- iii) The cost of stipend as per Mines Vocational Training Rules, 1966 (and its amendment from time to time) and /or other applicable minimum wages/remuneration whichever applicable to impart Vocational Training, shall be borne by the Contractor.
- iv) Contractor shall receive training card/ certificate for trained persons, from the employer's training centre. The Contractor shall maintain record of such trained persons with copy of certificate. A copy of certificate shall be submitted to the Engineer-In-Charge. Only trained persons shall be deployed for the contracted work.
- v) The contractor at their own cost, shall arrange training for all their operators and technicians through effective Simulators and 3D virtual Reality Systems before their actual deployment in the job and such training shall also be imparted at regular intervals as per Vocational Training Rules (and its amendment from time to time) to maintain their safety-oriented skills.
- vi) The Contractor shall ensure that only trained and skilled persons are deployed at work site during the contract period.

2. OBLIGATION ON THE PART OF EMPLOYER.

The employer shall arrange vocational training at the employer's training Centre as may be required in conformation with V.T. Rule and the nature of work. Certificate to workers, completing training successfully shall be issued. Record of such trainees with their photograph shall be maintained.



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B. SAFETY REQUIREMENTS

1.

- i) The Contractor shall comply with provisions of this agreement and shall be responsible to carry out all the jobs & activities related to this contract strictly as per the provisions of all applicable statutes including Mines Act 1952, Coal Mines Regulation 2017, Mines Rules 1955 and related amendment thereof issued from time to time and conform to Standard Industry Practice for securing the safety of mines, equipment and individual within and about the site of operation/activities of the contract.

The Contractor shall through its Board of Directors/Partners shall nominate either himself or a person(s) who shall be responsible as per applicable statutes including Mines Act 1952 and Coal Mines Regulation 2017, for the management, control, supervision or direction of all the jobs & operation/activities assigned in this contract as above. Prior to start of the job of this contract, the contractor shall submit this nomination documents to the Engineer- In-charge, who shall arrange for submission of notice in prescribed format(s) to Regulatory Authorities or appropriate Government Authorities.

- ii) The Contractor shall develop, implement and administer a surveillance, safety and health programme for providing a safe environment on and about the mine / site. Contractor shall provide relevant workplace safety and health hazards awareness and training to his workers prior to commencing and as work progresses. Such safety & health measures shall be implemented by the contractor during entire contract period or extended period.
- iii) Safety requirement include measures associated with safe movement, safety management, safety equipment, fire safety, enforcement and emergency response.
- iv) The contractor shall at all times be responsible for observance of safety procedure by its employees and agents.
- v) The Contractor shall comply with the instructions issued by appropriate Government Authority and directions of Employer.
- vi) "All cost or expenses arising out of or relating to compliance of safety requirement & up-skilling of his employees shall be borne by the Contractor."
- vii) Contractor shall submit list of persons deployed for the work to Mine Management and Engineer-in-Charge and Mines Manager. No person shall be deployed for the work without knowledge of the Mine Manager.
- viii) All employees deployed for the work shall undergo Initial Medical Examination - IME and Periodical Medical Examination - PME as per statute and the record of the same shall be maintained by the contractor and copy of the same shall be provided by the contractor to the office of the Mine Manager for record keeping.



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- ix) Contractor shall issue "Identity Card" with photograph duly attested mentioning Name of Contractor, Name of employee, Designation, DOB, Date of IME/PME, VTC and period of validity etc. to each of his employees. The contractor shall ensure that his employees shall carry original identity card, while on work and produce for inspection whenever required. Contractor shall maintain Form 'A' of his employees and a soft copy shall be submitted to the Engineer-In-Charge. Daily attendance of contractor employees shall be marked in Form 'D' for IN and OUT.
- x) Contractor shall establish effective ongoing communication and co-ordination between appropriate levels of supervisors, officials and senior officials of the mine prior to commencing work, which shall include provisions for identifying hazards and the measures to eliminate and control risks related to the assigned work & the site.
- xi) Safety requirement and safe work practices shall be exercised round the clock. In case of non-compliance of safety requirements and safe work practices or any specific instruction given in writing by the Engineer-In-Charge, the operation may be suspended till such non-compliance is rectified. In case of repeated violation of safety requirements, serious in nature, the Engineer-In-Charge shall have right to suspend the work.
- xii) Safe Operating Procedure (SOP)/Code of Practice (COP)/traffic rule for the work shall be prepared by the contractor and after the approval of the Mines Manager, these shall be displayed in working place/important places in languages understood by the employees and these shall also be handed over to concerned employees by the contractor under proper record. Copy of SOP and site-specific Code of Practice shall be given to the EIC/Authorized Representative. Contractor shall deploy qualified and competent persons to ensure proper management and supervision on the work as per statute and SOP.
- xiii) Contractor shall ensure that the installation, running and maintenance, in safe working order, of all machinery in the mine deployed by him shall be under the charge of competent persons in each working shift, in accordance with Coal Mines Regulation, 2017 and its amendment thereof. All these competent persons shall also be authorized by the mine manager.

All these competent persons shall be trained by the contractor.

Competency of the Operators and Drivers of HEMM and machinery shall be evaluated by a Evaluation Board constituted by the Mine management in accordance with Coal Mines Regulation 2017 and its amendment thereof before their actual deployment in the mine. Such evaluation shall be done through a specified SOP.

- xiv) Contractor shall ensure that the competent persons authorized by Mine Manager are deployed as in-charge(s) of his machines, vehicles, equipment, HEMMs etc., and shall ensure that before commencing work these are in proper & safe working order. In respect of electrical machinery, machine-parts, plant,



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work-shop etc, such competent person shall be an engineer or electrician holding qualifications as specified in Central Electricity Authority (Measure relating to Safety and Electric Supply) Regulation, 2010 and amendments thereof. These authorized competent person(s) shall record the result of such checking & examination in bound paged book(s) kept for the purpose, which shall be verified by the authorized representative(s) of Engineer-In-Charge of this contract.

- xv) Contractor shall ensure Group Insurance for all employees for a minimum coverage of Rs. 15 Lakhs or any amount revised from time to time.
- xvi) Contractor shall ensure that working hours for his employees comply with relevant sections of Mines Act 1952 amended from time to time and amendments thereof.
- xvii) Contractor shall ensure the arrangements for reporting work related injuries and diseases, ill health and incidents among his workers while performing work in the mine. Contractor shall submit list of all accidents and analysis thereof.
- xviii) Contractor's workers shall not remain unattended in the mine and or working face. Breakdown of machinery in mine shall be attended by competent supervisor of the contractor.

2. Safety requirement for equipment, vehicles and machinery:

- i) All equipment shall be provided with adequate safety features as per relevant statutes and instructions thereunder communicated from time to time. The operator's seat should be ergonomically designed. Operator's cabin shall be air-conditioned and substantially strong to protect operator from dust, heat and noise and flying object protection. Contractor shall ensure that all equipment and vehicles deployed by him shall have Audio Visual Alarm (AVA), rearview camera, proximity detection and warning device, additional warning system for operator's fatigue, rear vision system, efficient brakes, turbo charger guard, front and rear light, speed retarder, Semi/AFDSS, Seat belt & its reminder system, Dump body Stabilizers, Auto Dipping, Cabin guard extension etc. to conform to the relevant statute.
- ii) Contractor shall deploy only those equipment, HEMM, trucks, tippers, vans and vehicles etc. in the mine which are designed so as to afford the respective operator a clear and uninterrupted vision all around while in operation.
- iii) Contractor shall ensure that all these machineries which are used in mine shall be fitted with such safety features or devices as stipulated in the Gazette notification no. G.S.R. 987(E) issued on dated 01.10.2018, its amendments and related DGMS Circulars issued from time to time. Contractor shall also ensure that the fitment of safety features with minimum design requirements as mentioned in the DGMS Circular no. 06 of 2020 dated 27.02.2020, are provided and maintained in safe working order in respect of the machineries



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- iv) The transport vehicles shall have limiting speed device and load indicator and recorder. These shall be incorporated with AVA with sound level 5-20% higher than ambient noise level. The AVA should be of IP-67 compliant. Vehicles shall be fitted with Antiskid and Tail end protection system.
 - v) In surface miner shall have automatic water sprinkling arrangement and suitable firefighting arrangements.
 - vi) Contractor shall ensure that truck mounted drill machines designed for tube well drilling for sources of water shall not be used and only proper type of blast hole drill machine, especially designed for mining purpose, shall be used in the mine. All drills shall be fitted with portable fire extinguisher and wet drilling system shall be functional when deployed in drilling operation.
 - vii) Contractor shall ensure that all machinery and plant used in connection with this contract are of good design, sound construction, and suitable material, adequate strength, free from patent defect and are properly maintained. Contractor shall ensure that no person except an engineer or other competent person under his supervision shall undertake any work on such machinery, plant and workshop jobs in which technical knowledge or experience is required.
 - viii) Contractor shall ensure that only such fitters or mechanics possessing driver's or operator's license, shall be deployed in mine to carry out test-run of such machineries deployed by him.
 - ix) Contractor shall ensure that no person other than the operator or any person so authorized in writing by the mines manager shall be allowed to ride on a heavy earth moving machinery deployed in connection with this contract.
3. Road Worthiness of Vehicle:
- i) Contractor shall provide roadworthy transport vehicle / pick-up van for movement of his maintenance crews and operators of equipment, machine, vehicles. These vehicles shall have limiting speed device and recorder. These vehicles and all other maintenance & Service Van / vehicles deployed by the contractor shall be fitted with standard safety features required by the relevant statute. All such vehicles shall maintain / carry - (a) RC Book, (b) Valid Insurance, (c) Valid Pollution Clearance Certificate (d) Valid Fitness Certificate, (e) Operation Manual & Maintenance Manual, (f) Any other requirement as per RTO.
 - ii) The Contractor shall ensure regular checking, maintenance, and repair of all vehicles and equipment as per best industry practice and keep them in good working condition. Record of such activities shall be maintained in a logbook and kept in operator's custody, which shall always be available for inspection by EIC or his representative. In case of deficiency, EIC shall have the right to prohibit deployment of such vehicle/equipment.
4. In case of non-compliance of safety and health requirements as per statutes & provisions of this contract, Code of Practices, Traffic Rules, Standard operating



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Procedures, instructions given by Engineer-in-Charge/Mine Manager / site in-charge(s) etc., the following sanctions and penalties shall be applicable on the contractor:

- i) The authorized mine officials shall have the right to stop the work related to this contract whenever a risk of bodily injury is apparent and to suspend the related operation until the necessary remedies have been put in place. They shall have the right to send out of the mine any such person or machine which infringes or attempt to infringe any provision of the Mine Act- 1952 amended from time to time, Rules, Regulations, by-laws or any order made thereunder, or fails to carry out the direction given with regards to safety. If any dangerous condition & operation in the work place of the contractor is observed by the mine official, he shall withdraw all persons from this place and fence off the site till the danger has been removed.
- ii) Representatives authorized by the Contractor for the management & supervision of the work in the mine shall be liable for punitive disciplinary action by the contractor actions, in case of noncompliance of provisions related to discipline, safety & health in connection with the men, machineries, activities of this contract.
- iii) In case of repeated violations involving non-compliances of provisions related to discipline, safety and health of men and machineries deployed by the contractor, the Engineer-In-Charge shall have the right to suspend the related work, activities, men, machines, etc. In such cases, the company through its Engineer-In-Charge shall levy the compensation from the contractor's bills for the losses incurred by the company on account of such suspensions.
- iv) Recurrence of same violation more than 3 (three) times shall be construed as repeated violation. The penalty on account of loss of work due to such violation or actions thereafter, shall be 5% (five percent) of the value of work for the period of loss. The value of work per hour shall be derived from the contract value excluding GST by dividing it with contract period in hours, as per LoA / Work Order.

The compensation such levied shall not be refunded. The aggregate of such compensation levied shall be limited to 2% (two percent) of the total contract value and shall be apart from other penalties as applicable under GTC Clause-6.2(iv). The shortfall in quantity due to suspended work as mentioned above shall not be included in the quantity accounted for shortfall penalty.



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PREPARATION OF ESTIMATES.

Deployment of equipment through hiring for removal of OB/extraction of coal require decision making at different stages of Planning, Tendering & Execution. Related proposals be built up through following stages:-

Preparation of Draft Proposal / Scheme.

Before commencement of any work, a draft scheme/outline may be prepared with brief scope of the work, proposed boundary limit, and probable return. These may be prepared either on a pro-rata basis based on similar works in the past or on "Thumb Rule" basis. This exercise is carried out for budgeting purpose and appraisal of Competent Authority.

Detailed Planning.

Once an administrative approval/decision for a work have been obtained/taken the next step consists of detailed Planning and Estimation.

Such a detailed planning and estimate requires in depth information of site, land availability, information on necessary statutory clearances, soil report, bore hole report, report on strata and their spread, availability of fault lines, geo-mining condition, information on rehabilitation, forest clearance etc.

The planner has to work out / collect in detail all information and also location of suitable dump and its coverage area i.e. Size, height etc.

Once the detailed drawings covering location of working and dumping localities and bore hole data are available item specifications and quantities involved can be finalized /estimated.

Unit cost of such specified items can be obtained from:-

- i) Schedule of rates (if available)
- ii) Analysis of rate based on market rate of consumables, labour, materials, cost of equipment, interest, depreciation etc. inclusive of profit and overhead as 15%.
- iii) Items of similar nature from awarded works of equivalent magnitude, locations and specifications. Preferably an average rate for past few works (if available) be considered.
- iv) Any suitable mode decided through in-house consultations.
Such detailed estimate be prepared by respective area, in consultation with Regional Institute (CMPDIL) and office of the GM(PIg) Hq.. Subsidiaries may follow its own system for preparation of estimates.

Such cost estimate shall cover scope of work, detailed specification of item of work, proposed equipment (indicating minimum capacity and number),



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drawings, availability of land, geo-mining data and proposed period of completion.

Estimates shall preferably be prepared and scrutinized in the following manner:-

- i) Prepared by Area in consultation with CMPDIL, if available.
- ii) Scrutinized at Hq. by appropriate mining department.
- iii) Routed for approval through office of GM(CMC).
- iv) Concurred by Finance.
- v) Approved by approving authority as already explained at contract identification, preparation and compilation of bid document.

NOTE:- subsidiaries may follow suitable system developed as per in house decision.

Estimate after due concurrence and approval of Competent Authority shall be sent to Contract Management Cell for open Bidding action. Competent Authority for the purpose shall be as already explained at contract identification, preparation and compilation of bid document.

Contract Management Cell shall take up open Bidding as per standard procedure already outlined at Compilation of Bid Document, Bid Opening and evaluation.

However, subsidiaries may decide on its own about adopting Online Reverse Auctioning Process.

Contract Management Cell shall carry out progress monitoring, scrutiny of deviation estimates, revised estimates, grant of extension of time etc. for due approval of Competent Authority.

Based on approved estimates, CMC department shall invite Bids following approved guidelines, carry out evaluation and finalization. GM(CMC) shall be the Nodal officer for issuance of Notices, finalization of Bid, issuance of letter of acceptance. Entering into agreement, based on approval of Competent Authority shall lie with Area General manager or GM(CMC) as per decision of Subsidiary.

Technical Section attached to GM(CMC) shall prepare NIT, Bid document for approval of Competent Authority. Bid documents related to hiring, award of which requires approval of Board/CMD, shall be approved by Director Technical in charge of CMC Department, where Bid Document is as per provision of CMM. However, Bid Documents for specialized nature of work, requiring special condition beyond standard provision of manual shall require approval of approving authority.

For specialised/very high value works, it may be appropriate to adopt special technical parameters and conditions to attract competitive bidding. Under such situation to avoid amendment of bidding document after invitation of bid, it will be appropriate to prepare bid document after having Pre-NIT meeting. Before the Pre-NIT meeting a draft comprehensive bid document be prepared and hosted in website, which shall be Pre-bid document. Based on comments received on this document at Pre-NIT meeting, final bid document be prepared. This final bid document, if at variance from provisions of CMM, shall have Competent Approval.



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Alternatively, two stage bidding may be considered in which case, price bids are received in second stage after finalization of technical parameters and scope of works. Technical Section attached to the office of GM (CMC) shall prepare Comparative Statement, evaluate Bid price and its reasonableness and assist Bid Committee. CMC Department may seek assistance of other department/technical department in assessing reasonableness of rates.

The Tender Committee examines the comparative statement prepared by the technical section and wherever necessary also examines the original Bid document to satisfy itself that all aspects / conditions of each offer has been properly evaluated with respect to the financial implications etc. and truly brought out in the comparative statement. The Bids without earnest money are rejected unless they are exempted otherwise.

The deviations from the commercial terms and conditions and the Bid specification are scrutinized before opening the price bid. Normally no deviations in the commercial terms and conditions indicated in the Bid document are to be accepted.

However, the Bid committee may decide to scrutinize the different conditions given by the Bidders and formulate and freeze the acceptable conditions and intimate all the Bidders about the same but without giving them any opportunity to revise their price bid.

EVALUATION REPORT:

The Evaluation Report will be prepared by the Tender committee as approved for such Bids. It will evaluate bids only on the basis of set criteria stated in the bid document. It will not, nor will it be compelled to change the criteria, after the price bids have been opened. No document presented by the Bidder after the closing date and time of the bid, will be taken into consideration by the evaluation committee unless it has been sought through written clarification by the department and such clarifications shall not have any financial bearing. Such clarifications shall be on bid documents originally submitted.

If a Bidder offers a rebate unilaterally after the closing date and time of the bid, it will not be taken into account for evaluating purpose by the Bid Committee, but, if that Bidder emerges as the lowest evaluated, the rebate offered will be taken into account by the tender committee in its recommendation and while awarding the contract. The Bid Committee's report will be self-contained, clear, unambiguous and shall cover all aspects of the bidding process. In case of unbalanced bid suitable clarification from Bidder may be obtained to protect interest of Company, if felt required. If any additional security is recommended due to abnormally low bid prices, the same also be brought into the recommendation.

The Bid committee submits final recommendations in detail along with minutes of the negotiations /clarifications / complaints, if any and decision of the Bid committee at each stage.



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The Bid Committee recommendations with the supporting documents are sent for approval of the Competent Authority through Associate finance.

ACCEPTANCE OF OFFER.

Acceptance of offer shall be from the Tender Accepting Authority (TAA) having delegation of power to accept recommended value of award.

There are occasions where in response to call for Bid, only single Bid or single valid bid is received. The powers of accepting such single Bid shall be as per delegation of power of the accepting authority.

Rejection of Single Bid: It has become a practice among some procuring entities to routinely assume that open tenders which result in single bids are not acceptable and to go for re-tender as a 'safe' course of action. This is not correct. Re-bidding has costs: firstly, the actual costs of retenders; secondly the delay in executing of the work with consequent delay in the attainment of the purpose for which the procurement is being done; and thirdly the possibility that the re-bid may result in a higher bid.

Lack of competition shall not be determined solely on the basis of the number of Bidders. Even when only one Bid is submitted, the process should be considered valid provided following conditions are satisfied:

- (i) The procurement was satisfactorily advertised and sufficient time was given for submission of bids;
- (ii) The qualification criteria were not unduly restrictive; and
- (iii) Prices are reasonable in comparison to market values.

Letter of Acceptance, is an acceptance of offer by the Company. But the Bidder should acknowledge the receipt of order within 7 days of receipt of work order and any delay in acknowledging the receipt would be treated as a breach of contract and compensation for the loss caused by such breach will be declared by the Company by forfeiting EMD/Bid Security.

ENTERING INTO AGREEMENT.

The Agreement based on accepted offer and Letter of Acceptance be executed by GM(CMC) / GM of Area / Project, where the work is to be executed, as per decision of respective Subsidiary. Such entering into agreement shall be subjected to incorporation of all provisions of acceptance, submission of security, work programme, submission of statutory documents etc.

COMMENCEMENT OF WORK.

The Contractor is asked to commence the work within 30 days from issue of acceptance of offer or 7(seven) days after handing over of site whichever is later. The Contractor is also asked to sign the agreement within the said time limit. However, in



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the interest of work and also for saving time the Contractor may be allowed to start work before 30 days, but execution of agreement shall be within the 30 days period allowed after acceptance of the Bid. Failure to execute the agreement within 30 days' time allowed or any extended time allowed on the written request of the Bidder, will be considered as just cause for cancellation of the award and forfeiture of his earnest money.

HANDING OVER THE SITE.

Availability of clear site shall normally be ensured before issue of Bid notice. After issue of work order or the Bid acceptance letter to the Contractor communication shall be sent to the Contractor in writing stating the date of handing over of clear site of work or first phase of handing over of site requiring him to start the work forthwith. Full site may be handed over in phases.

AVAILABILITY OF LAND AND STATUTORY CLEARANCES:

- a) It is desirable to have 100% of the required land in possession before award of contract; however, it may not always be possible to have the entire land due to prevailing circumstances. Also, it may not be prudent to put the entire process of award of contract on hold for want of the remaining portion of land, which in the assessment of public authority or the project executing authority, could possibly be acquired in a targeted manner after award of the contract, without affecting progress.
- b) Minimum necessary encumbrance free land should be available before award of contract. The minimum may be determined based on the circumstances each case or general guidelines, issued by the concerned authorities. Only such land, non-availability of which, will prevent essential components of work from execution, should be insisted upon.
- c) Time taken in grant of statutory and other clearances also contributes to the time and cost of public projects. These clearances are required to achieve specific objectives like concern for the environment, aviation safety, preservation of national heritage, conservation of forest and wildlife etc. Public Authorities / Project Executing Authorities should plan for obtaining all necessary clearances quickly and closely monitor the progress.

Note:

To be made part of NIT / Tender Document: "Details of land availability on a A-4 size plan (not to scale) indicating availability of land, status of land under acquisition, bore-hole data as available.

PROJECT MANAGEMENT:

- a) The quality of project works significantly depends on supervision and monitoring. For completion of the projects within the stipulated time and cost and with specified quality standards, periodical review should be done by various levels of the officers.



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- b) Information Technology (IT) enabled project management systems can help in improving efficiency, transparency and aid faster decision making in execution of projects. These systems may be used for maintenance of records for the progress of work (including hindrance register), variations, etc. wherein reasons for delays are also to be captured on real time basis. Such systems may be used for capturing progress and quality of work, site records/ photographs/videos etc. including geo tagging.
- c) Wherever applicable, the role of the Project Management Consultant (PMC) should be clearly defined in the contracts. Deployment of the PMC does not absolve the project executing authority of the responsibility to supervise the quality and timelines of the project.
- d) The credentials and deployment schedule of key and other technical personnel to be engaged by PMC on the work should be taken along with the bid. During execution, adherence to deployment of key and other technical personnel as per the schedule of deployment should be ensured.
- e) Execution of the work shall primarily be the responsibility of the officials designated with such responsibility. However, for large contracts senior officers shall also review the progress and quality of the work at various stages of construction. To this effect, presentations on the project performance may be made periodically before the senior officers depending upon the value of the project and progress of the project vis-à-vis schedule. Project executing authorities should put in place detailed instructions in this regard.
- f) Project executing authorities should put in place a system for capturing the photographs and videos of important and critical activities of construction. This may be implemented in projects above a threshold value or, if possible, in all projects. Such photos/videos may be uploaded in IT based project monitoring system to facilitate monitoring the progress and quality of work as well as assessment of delay in execution of work by stakeholders and senior management. Apart from this, photographs and videos may serve as permanent record of the project for posterity in case needed for any eventuality including litigation or enquiry/investigation.

INCREASED OR DECREASED QUANTITIES.

In any running work, modification of original plans to suit ground realities becomes often necessary. These modifications in turn affect the original schedule of quantities appended to the agreement.

The right is reserved by the department at any time during which the contract is in force, to make such alterations, in the plans or the quantities of the work as may be necessary. Such alteration shall be ordered in writing. Further, the quantities of any items of work may vary from the quantities indicated in the schedule of work due to unforeseen or other conditions. Alterations in quantities shall not be considered as a change in the conditions of the contract nor invalidate any of the provision thereof.



COAL INDIA LIMITED- CONTRACT MANAGEMENT MANUAL - 2023

CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

SECTION-07

GENERAL GUIDELINES FOR HIRING WORKS/CONTRACT

However, such deviation in quantities, and payment for excess work/Extra work, shall be dealt as per provisions of general Conditions of Contract (refer Clause 8) and also Para. 12 related to “Issue of LOA and Signing of Agreement”.

EXTENSION OF TIME

Completion of works after scheduled completion period may require Competent approval for Extension of Time. Such Extension of Time in all cases shall be granted by Approving Authority. In case Board or Empowered Committee or FDs of CIL/Subsidiary or CMD is tender accepting authority, then the approval of CMD of CIL/Subsidiary is to be obtained and if the TAA is below CMD level then approval of TAA shall be obtained.

CLOSURE OF CONTRACT.

Closure of contract may be done under following circumstances:-

- i) On execution of the work as per agreement or within the agreemental provision (value-wise) and within the stipulated time period or the extended time period (extended time period to be approved from Competent Authority).
- ii) Closure of contract can be done on complete execution of awarded/available quantity of coal. However, it shall be ensured that mining has been done as per good industry practice.



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

Section-08

Guidelines on Debarment of firms from Bidding

CIL and its Subsidiary Companies shall follow the following guidelines for effecting 'Debarment of firms from Bidding' with a contracting entity in respect of Works and Services Contracts.

1. Observance of Principle of Natural Justice before debarment of firm from Bidding.
2. The Bidder/Contractor may be debarred in the following circumstances:
 - i) If Bidder backs out after notification of opening of price bid and if that Bidder is found to be L-1.
 - ii) If L-1 Bidder fails to submit PSD, if any and/or fails to execute the contract within stipulated period.
 - iii) If L-1 Bidder fails to start the work on scheduled time.
 - iv) In case of failure to execute the work as per mutually agreed work schedule.
 - v) Continued and repeated failure to meet contractual Obligations:
 - a. In case of partial failure on performance, agency shall be debarred from future participation in tenders keeping his present contract alive.
 - b. On termination of contract.
 - vi) Willful suppression of facts or furnishing of wrong information or manipulated or forged documents by the Agency or using any other illegal/unfair means.
 - vii) Formation of price cartels with other contractors with a view to artificially hiking the price.
 - viii) The contractor fails to maintain/repair/redesign the work up to the expiry of performance guarantee period, when it is specifically brought to his notice.
 - ix) Contractor fails to use Mobilisation advance given to him for the purpose it was intended.
 - x) Contractor fails to renew the securities deposited to the department.
 - xi) The contractor fails to rectify any lapse(s) in quality of the work done within defect liability period.
 - xii) Transgression of any clause(s) relating to Contractor's obligation defined in the Integrity Pact wherever such Pact exists.
 - xiii) Any other breach of Contract or misdeed which may cause financial loss or commercial disadvantage to the Company.
3. Such 'Debarment of firms from Bidding', if and when effected, shall be with prospective effect only. The effect of 'Debarment of firms from Bidding' shall be for future tenders from the date of issue of such Order. However, if any contracting entity is debarred after online notification of opening of Price Bid, such a debarment will not be effective for that work.



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Section-08

Guidelines on Debarment of firms from Bidding

4. The debarment shall be for a minimum period of one year and shall be effective for the concerned Subsidiary for the tenders invited at Subsidiary level. Similarly, in case of tenders of CIL HQ, debarment shall be for CIL HQ. However, if such 'Debarment of firms from Bidding' has to be made effective for entire CIL and its Subsidiaries then approval of Chairman, CIL shall be required. In case of clause 14(2)(vi) above, minimum period of debarment shall be 05 (Five) years for work covered under Chapter 3 & 6 of CMM.
5. Once a contracting entity is debarred, it shall be extended to the constituents of that entity, all partners in case of Joint Venture/Consortium, all the partners in case of Partnership Firm, owner/proprietor in case of Proprietorship Firm and all the Directors in case of Limited Company. If such debarred owner/Proprietor/ Partner/Director make/form different Firms/entity and attempts to participate in tenders, the same will not be entertained during the currency of such debarment.
6. The above 'Debarment of firms from Bidding' shall be in addition to other penal provisions of NIT/Contract document.
7. Approving Authority: The 'Debarment of firms from Bidding' of a contracting entity shall be done with the approval of the Competent Authority as per the details below:
 - a) In case the Accepting Authority of the work is Board or Empowered Committee or FDs or CMD of CIL/Subsidiary Company, then the Competent Authority for debarment shall be CMD of CIL/Subsidiary Company.
 - b) In case the Accepting Authority of the work is up to the level of Director of CIL/Subsidiary Company, then the Competent Authority for debarment shall be Director of CIL/Subsidiary Company.
8. Appellate Authority shall be one Rank higher than the Competent Authority meant for 'Debarment of firms from Bidding'. In case the debarment is done with the approval of CMD of the Subsidiary Company then Chairman, CIL shall be the Appellate authority.

Any change on the above may be done with approval of FDs of CIL.
9. All the orders of debarment or orders passed in appeal shall be marked to GM(CMC) / Civil / concerned HODs of CIL/Subsidiary Company. Further, all such orders will be uploaded in Coal India site as well website of the Subsidiary Company.
10. Efforts shall be made by the concerned Department so that such order is linked to e tender portal of Coal India Limited.



COAL INDIA LIMITED- CONTRACT MANAGEMENT MANUAL - 2023

CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-I (PRE-CONTRACT INTEGRITY PACT)

ANNEXURE -I

PRE-CONTRACT INTEGRITY PACT

(To be signed on Plain Paper)

General

This pre-bid pre-contract Agreement (hereinafter called the Integrity Pact) is made on.....day of the month of20..., between, on one hand, Coal India Limited/Subsidiary Cos. acting through Shri, Designation of the officer, (hereinafter called the “BUYER / Principal”, which expression shall mean and include, unless the context otherwise requires, his successors in office and assigns) of the First Part and M/s.represented by Shri....., Chief Executive Officer (hereinafter called the “BIDDER/Seller/Contractor” which expression shall mean and include, unless the context otherwise requires, his successors and permitted assigns) of the Second Part.

WHEREAS the BUYER proposes to execute(Name of the work) and the BIDDER/Seller is willing to offer/has offered the Services and

WHEREAS the BIDDER is a private Company / public Company / Government undertaking/ partnership/ proprietorship/ Joint Venture/Consortium constituted in accordance with the relevant law in the matter and the BUYER is a Ministry/ Department of the Govt. of India/ PSU performing its functions on behalf of the President of India.

NOW, THEREFORE,

To avoid all forms of corruption by following a system that is fair, transparent and free from any influence/prejudiced dealings prior to, during and subsequent to the currency of the contract to be entered into with a view to: -

Enabling the BUYER to complete the desired work at a competitive price in conformity with the defined specifications by avoiding the high cost and the distortionary impact of corruption on public procurement and Enabling BIDDERS to abstain from bribing or indulging in any corrupt practice in order to secure the contract by providing assurance to them that their competitors will also abstain from bribing and other corrupt practices and the BUYER will commit to prevent corruption, in any form, by its officials by following transparent procedures.

The parties hereto hereby agree to enter into this Integrity Pact and agree as follows:

Section 1 – Commitments of the Principal

(1) The Principal commits itself to take all measures necessary to prevent corruption and to observe the following principles:-

- a. No employee of the Principal, personally or through family members, will in connection with the tender for, or the execution of a contract, demand, take a promise for or accept, for self or third person, any material or immaterial benefit which the person is not legally entitled to.



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- b. The Principal will, during the tender process treat all Bidder(s) with equity and reason. The Principal will in particular, before and during the tender process, provide to all Bidder(s) the same information and will not provide to any Bidder(s) confidential / additional information through which the Bidder(s) could obtain an advantage in relation to the tender process or the contract execution.
- c. Principal will exclude from the process all known prejudiced persons.
- (2) If the Principal obtains information on the conduct of any of its employees which is a criminal offence under the IPC/ PC Act, or if there be a substantive suspicion in this regard, the Principal will inform the Chief Vigilance Officer and in addition can initiate disciplinary actions.

Section 2 - Commitments of the Bidder(s)/ Contractor(s)

- (1) The Bidder(s) / Contractor(s) commit themselves to take all measures necessary to prevent corruption. The Bidder(s) / Contractor(s) commit themselves to observe the following principles during participation in the tender process and during the contract execution.
 - a. The Bidder(s) / Contractor(s) will not, directly or through any other person or firm, offer, promise or give to any of the Principal's employees involved in the tender process or the execution of the contract or to any third person, any material or other benefit which he/ she is not legally entitled to, in order to obtain in exchange any advantage of any kind whatsoever during the tender process or during the execution of the contract.
 - b. The Bidder(s) / Contractor(s) will not enter with other Bidders into any undisclosed agreement or understanding, whether formal or informal. This applies in particular to prices, specifications, certifications, Subsidiary contracts, submission or non-submission of bids or any other actions to restrict competitiveness or to introduce cartelisation in the bidding process.
 - c. The Bidder(s)/ Contractor(s) will not commit any offence under the relevant IPC/ PC Act; further the Bidder(s) / Contractor(s) will not use improperly, for purposes of competition or personal gain, or pass on to others, any information or document provided by the Principal as part of the business relationship, regarding plans, technical proposals and business details, including information contained or transmitted electronically.
 - d. The Bidder(s) / Contractors(s) of foreign origin shall disclose the name and address of the Agents/ representatives in India, if any. Similarly, the Bidder(s) /Contractors(s) of Indian Nationality shall furnish the name and address of the foreign principals, if any. Further details as mentioned in the "Guidelines on Indian Agents of Foreign Suppliers" shall be disclosed by the Bidder(s) / Contractor(s). Further, as mentioned in the Guidelines all the payments made to the Indian agent/ representative have to be in Indian Rupees only. Copy of the "Guidelines on Indian Agents of Foreign Suppliers" shall be as per the provisions at Annexure-A.



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ANNEXURE-I (PRE-CONTRACT INTEGRITY PACT)

- e. The Bidder(s) / Contractor(s) will, when presenting their bid, disclose any and all payments made, is committed to or intends to make to agents, brokers or any other intermediaries in connection with the award of the contract.
 - f. Bidder(s) / Contractor(s) who have signed the Integrity Pact shall not approach the Courts while representing the matter to IEMs and shall wait for their decision in the matter.
- (2) The Bidder(s) / Contractor(s) will not instigate third persons to commit offences outlined above or be an accessory to such offences.

Section 3 - Disqualification from tender process and exclusion from future contracts

If the Bidder, before contract award, has committed a transgression through a violation of Section 2 or in any other form such as to put his reliability or credibility as Bidder into question, the Principal is entitled to disqualify the Bidder from the tender process or to terminate the contract, if already signed, for such reason.

- (1) If the Bidder / Contractor / Supplier has committed a transgression through a violation of Section 2 such as to put his reliability or credibility into question, the Principal is also entitled to exclude the Bidder / Contractor / Supplier from future contract award processes. The imposition and duration of the exclusion will be determined by the severity of the transgression. The severity will be determined by the circumstances of the case, in particular the number of transgressions, the position of the transgressors within the Company, hierarchy of the Bidder and the amount of the damage. The exclusion will be imposed for a minimum of 1 (One) Year and maximum of 2 (two) years.
- (2) A transgression is considered to have occurred if the Principal, after due consideration of available facts and evidences within his / her knowledge concludes that there is a reasonable ground to suspect violation of any commitment listed under Section 2 i.e "Commitments of Bidder(s) / Contractor(s)".
- (3) The Bidder accepts and undertakes to respect and uphold the Principal's absolute right to resort to and impose such exclusion and further accepts and undertakes not to challenge or question such exclusion on any ground, including the lack of any hearing before the decision to resort to such exclusion is taken. This undertaking is given freely and after obtaining independent legal advice.
- (4) If the Bidder / Contractor / Supplier can prove that he has restored / recouped the damage caused by him and has installed a suitable corruption prevention system, the Principal may revoke the exclusion prematurely.

Section 4 - Compensation for Damages

- (1) If the Principal has disqualified the Bidder(s) from the tender process prior to the award according to Section 3, the Principal is entitled to demand and recover the damages equivalent to Earnest Money Deposit/ Bid Security.



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ANNEXURE-I (PRE-CONTRACT INTEGRITY PACT)

- (2) If the Principal has terminated the contract according to Section 3, or if the Principal is entitled to terminate the contract according to Section 3, the Principal shall be entitled to demand and recover from the Contractor liquidated damages of the Contract value or the amount equivalent to Performance Bank Guarantee.

Section 5 - Previous transgression

- (1) The Bidder declares that no previous transgressions occurred in the last three years with any other Company in any country conforming to the anti-corruption approach or with any Public Sector Enterprise in India that could justify his exclusion from the tender process.
- (2) If the Bidder makes incorrect statement on this subject, he can be disqualified from the tender process or action can be taken as per the procedure mentioned in "Guidelines on Debarment of firms from Bidding".

Section 6 - Equal treatment of all Bidders / Contractors / Sub-Contractors

- (1) In case of Sub-contracting, the Principal Contractor shall take the responsibility of the adoption of Integrity Pact by the Sub-Contractor.
- (2) The Principal will enter into agreements with identical conditions as this one with all Bidders and Contractors.
- (3) The Principal will disqualify from the tender process all bidders who do not sign this Pact or violate its provisions.

Section 7 - Criminal charges against violating Bidder(s)/Contractor(s)/ Sub-Contractor(s)

If the Principal obtains knowledge of conduct of a Bidder, Contractor or Sub-Contractor, or of an employee or a representative or an associate of a Bidder, Contractor or Sub-Contractor which constitutes corruption, or if the Principal has substantive suspicion in this regard, the Principal will inform the same to the Chief Vigilance Officer.

Section 8 - Independent External Monitor

- (1) The Principal appoints competent and credible Independent External Monitor for this Pact after approval by Central Vigilance Commission. The task of the Monitor is to review independently and objectively, whether and to what extent the parties comply with the obligations under this agreement.
- (2) The Monitor is not subject to instructions by the representatives of the parties and performs his/ her functions neutrally and independently. The Monitor would have access to all Contract documents, whenever required. It will be obligatory for him / her to treat the information and documents of the Bidders/Contractors as confidential. He/ she reports to the Chairman, Coal India Limited / CMD, Subsidiary Companies
- (3) The Bidder(s) / Contractor(s) accepts that the Monitor has the right to access without restriction to all Project documentation of the Principal including that provided by the Contractor. The Contractor will also grant the Monitor, upon his/ her request and demonstration of a valid interest, unrestricted and unconditional



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access to their project documentation. The same is applicable to Sub-Contractors.

- (4) The Monitor is under contractual obligation to treat the information and documents of the Bidder(s) / Contractor(s) / Sub-Contractor(s) with confidentiality. The Monitor has also signed declarations on 'Non-Disclosure of Confidential Information' and of 'Absence of Conflict of Interest'. In case of any conflict of interest arising at a later date, the IEM shall inform Chairman, Coal India Limited / CMD, Subsidiary Companies and recuse himself / herself from that case.
- (5) The Principal will provide to the Monitor sufficient information about all meetings among the parties related to the Project provided such meetings could have an impact on the contractual relations between the Principal and the Contractor. The parties offer to the Monitor the option to participate in such meetings.
- (6) As soon as the Monitor notices, or believes to notice, a violation of this agreement, he/ she will so inform the Management of the Principal and request the Management to discontinue or take corrective action, or to take other relevant action. The Monitor can in this regard submit non-binding recommendations. Beyond this, the Monitor has no right to demand from the parties that they act in a specific manner, refrain from action or tolerate action.
- (7) The Monitor will submit a written report to the Chairman, Coal India Limited / CMD, Subsidiary Companies within 8 to 10 weeks from the date of reference or intimation to him by the Principal and, should the occasion arise, submit proposals for correcting problematic situations.
- (8) If the Monitor has reported to the Chairman, Coal India Limited / CMD, Subsidiary Companies, a substantiated suspicion of an offence under relevant IPC/ PC Act, and the Chairman, Coal India Limited / CMD, Subsidiary Companies has not, within the reasonable time taken visible action to proceed against such offence or reported it to the Chief Vigilance Officer, the Monitor may also transmit this information directly to the Central Vigilance Commissioner.
- (9) The word 'Monitor' would include both singular and plural.

Section 9 - Pact Duration

This Pact begins when both parties have legally signed it. It expires for the Contractor 12 months after the last payment under the contract, and for all other Bidders 6 months after the contract has been awarded. Any violation of the same would entail disqualification of the bidders and exclusion from future business dealings.

If any claim is made / lodged during this time, the same shall be binding and continue to be valid despite the lapse of this pact as specified above, unless it is discharged / determined by Chairman Coal India Limited / CMD, Subsidiary Companies.

Section 10 - Other provisions

- (1) Changes and supplements as well as termination notices need to be made in writing. Side agreements have not been made.



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ANNEXURE-I (PRE-CONTRACT INTEGRITY PACT)

- (2) If the Contractor is a partnership or Joint Venture (JV)/Consortium, this agreement must be signed by all partners or JV/CONSORTIUM members.
- (3) Should one or several provisions of this Agreement turn out to be invalid, the remainder of this Agreement remains valid. In this case, the parties will strive to come to an Agreement to their original intentions.
- (4) Issues like Warranty / Guarantee etc. shall be outside the purview of IEMs.
- (5) In the event of any contradiction between the Integrity Pact and its Annexure, the Clause in the Integrity Pact will prevail.

Section 11- Facilitation of Investigation

In case of any allegation of violation of any provisions of this Pact or payment of commission, the BUYER or its agencies shall be entitled to examine all the documents including the Books of Accounts of the BIDDER and the BIDDER shall provide necessary information and documents in English and shall extend all possible help for the purpose of such examination.

Section 12- Law and Place of Jurisdiction

This Pact is subject to Indian Law. The place of performance and jurisdiction is the seat of the BUYER.

Section 13- Other Legal Actions.

The actions stipulated in this Integrity Pact are without prejudice to any other legal action that may follow in accordance with the provisions of the extant law in force relating to any civil or criminal proceedings.

(For & On behalf of the Principal)

(For & On behalf of Bidder/ Contractor)

(Office Seal)

(Office Seal)

Place -----

Date -----

Witness 1:
(Name & Address)

Witness 2:
(Name & Address)



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ANNEXURE-I (PRE-CONTRACT INTEGRITY PACT)

ANNEXURE- A

Guidelines for Indian Agents for Foreign supplier (Part of Integrity Pact)

1. Authorized Indian Agent of a foreign manufacturer or indigenous manufacturer is also eligible to quote on behalf of its principal against the tender, in case manufacturer as a matter of corporate policy does not quote directly. However, in such case, authorized Indian Agent shall have to upload scanned copy of tender specific Manufacturer's Authorization, signed and stamped by the manufacturer to quote against the CIL Tender, indicating the Tender Reference No. and date along with the offer. The authorized Indian Agent is to upload scanned copies of details in respect of its organization along with the copies of document like certificate of incorporation/ registration etc. along with the offer. The firm (Indian Agent) should be in existence for 3 years on the date of tender opening, irrespective of date of appointment as Indian Agent.

In case an Indian Agent is participating in a tender on behalf of one manufacturer, it is not allowed to participate/quote on behalf of another manufacturer in this tender or in a parallel tender for the same item. Further, in a tender, either manufacturer can quote or its authorized Indian Agent can quote but both are not allowed to participate/quote in the same tender. Also, one manufacturer can authorise only one agent to quote in the same tender. All the bids, not quoted as per the above guidelines, will be rejected.

2. The Foreign manufacturer must indicate the name & address of its agent in India. It should also indicate the commission payable to them and the specific services rendered by them. The Indian Agency commission will be payable only on FOB prices of goods and it should be quoted as a percentage of the FOB price. In case, the foreign manufacturer does not have any Indian Agent, it should be clearly mentioned in the bid. In terms of Integrity Pact, the Bidder has also to disclose all payments to agents, brokers or any other intermediaries.

The amount of agency commission payable to Indian Agent should not exceed 5% or what is specified in agency agreement, whichever is lower.

3. In addition to above A certificate that no commission is payable by the principal supplier to any agent, broker or any other intermediary against this contract other than percentage as indicated in BOQ (not exceeding 5% of FOB) of FOB value of the contract to Indian Agent. This certificate forms apart of letter of credit.
4. The payment of Indian Agency Commission, if any, involved, may be considered in case of necessity, subject to compliance of the Government of India guidelines issued from time to time. The name of the Indian Agent with their full address and the quantum of Agency commission if any, payable shall have to be mentioned in the offer by the foreign manufacturer.

The following documents shall be submitted by the Bidder in case of contract with foreign principals involving Indian agents:

- a. Foreign principal's pro-forma invoice or any other authentic document indicating the commission payable to the Indian agent, nature of after sales service to be rendered by the Indian Agent and the precise relationship between the Principal and the Agent and their mutual interest
 - b. Copy of the agency agreement if any with the foreign principal stating the precise relationship between them and their mutual interest in the business.
However, if all the details given in Para-(i) are complied with, the requirement of submission of document mentioned at Para- (ii) may be waived.
5. Agency commission, if any, shall be paid in equivalent Indian Rupees.



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE -III (PROFORMA FOR CIPP)

ANNEXURE- II

PROFORMA OF JOINT VENTURE/CONSORTIUM AGREEMENT

(On Non-Judicial Stamp paper of appropriate value as per provision of the Stamp Act applicable in the concerned state)

This Joint Venture/Consortium agreement is made on thisday of.....

AMONGST/BETWEEN

M/s....., having its registered Office at
Represented by Shri..... (Name and Designation) of M/s....., who has power of Attorney to enter into Joint Venture/Consortium with.....and sign all documents/ agreements on behalf of M/s..... (hereinafter referred to as””)

AND

M/s....., having its registered Office at
Represented by Shri.....(Name and Designation) of M/s....., who has power of Attorney to enter into Joint Venture/Consortium with.....and sign all documents/agreements on behalf of M/s..... (hereinafter referred to as””).

AND

M/s....., having its registered Office at
Represented by Shri.....(Name and Designation) of M/s....., who has power of Attorney to enter into Joint Venture/Consortium with.....and sign all documents/agreements on behalf of M/s..... (hereinafter referred to as””).

The expressions M/s and M/s.....and M/sshall, wherever the context admits, mean and include their respective legal representatives, successors-in-interest and assigns and shall collectively be referred to as “Joint Venture/Consortium /Parties” and individually as “Joint Venture/Consortium Partner/Party”.

WHEREAS M/s.....and M/s..... and M/sagreed to form a Joint Venture/Consortium in order to join their forces to obtain best results from the combinations of their individual resources of technical and management skill, finance and equipment for the benefit of the project and in order to submit the Bid for the work of
“
.....” (Hereinafter referred to as “Project”) under..... (Name of Company)(hereinafter referred to as “the Principal Employer”).



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ANNEXURE -III (PROFORMA FOR CIPP)

The Parties hereby enter into this Joint Venture/Consortium Agreement (hereinafter referred to as "Joint Venture/Consortium agreement") to jointly prepare and submit the Bid for the Project and in the event of securing the Project from the Employer, to execute the Project in accordance with the Contract Terms and Conditions, to the satisfaction of the Principal Employer.

NOW THEREFORE, the parties, in consideration of the mutual premises contained herein, agree as follows:

1) FORMATION AND TERMINATION OF THE JOINT VENTURE/CONSORTIUM.

The parties under this Agreement have decided to form a Joint Venture/Consortium to submit the Bid for the above Project and execute the Contract with the Principal Employer for the Project, if qualified and awarded.

- a) The name and style of the Joint Venture/Consortium shall be "....."
(hereinafter called the "Joint Venture/Consortium")
- b) The Head Office of the Joint Venture/Consortium shall be located at..... and the site office will be located at the site of the Project. All communication regarding the Project will be made to.....
Telephone Nos.....
- c) Neither of the parties of the Joint Venture/Consortium shall be allowed to assign, pledge, sell or otherwise dispose all or part of its respective interests in the Joint Venture/Consortium to any party including the existing partner of the Joint Venture/Consortium.
- d) The terms of the Joint Venture/Consortium shall begin as on the date first set forth above and shall terminate on the earliest of the following dates.
 - i) The Joint Venture/Consortium fails to obtain qualification from the Employer.
 - ii) The Contract for the Project is not awarded to the Joint Venture/Consortium.
 - iii) The Employer cancels the Project.
 - iv) Either Party commits material breach of this Agreement and fails to cure such breach within the period designated by the non-defaulting Party.
 - v) Both parties agree to terminate this Agreement in writing.
 - vi) The Project is completed including defects liability period to the satisfaction of the Employer and all the parties complete any and all duties, liabilities and responsibilities under or in connection with the Contract and the Joint Venture/Consortium agreement.

2) LEAD PARTNER.

M/s..... shall be the Lead Partner of the Joint Venture/Consortium and is In-charge for performing the contract management. M/s..... shall be attorney of the parties duly authorized to incur liabilities and receive instructions for and on behalf of any and all partners in the Joint Venture/Consortium and also all the partners of the Joint Venture/Consortium shall be jointly and severally liable during the bidding process and for the execution of the contract as per contract terms



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ANNEXURE -III (PROFORMA FOR CIPP)

with the employer. All Joint Venture/Consortium Partners M/s....., M/s..... & M/s..... nominate and authorize Shri..... (name and designation) of M/s..... to sign all letters, correspondence, papers & certificates and to submit the Pre-qualification Application / Bid documents for and on behalf of the Joint Venture/Consortium.

In case of submission of bid in GeM portal:

All Joint Venture/Consortium Partners M/s..., M/s.....& M/s..... authorize M/s..... (the lead partner) to submit the bid on behalf of this Joint Venture/Consortium for the bidding process and agree that in case of a successful bid, the contract shall be executed by this Joint Venture/Consortium as per contract terms with the employer. i.e. Company.

3) REPRESENTATIVE OF THE PARTNERS OF THE JOINT VENTURE/CONSORTIUM.

Each constituent party of the Joint Venture/Consortium appoints the following personnel as the representative of the relevant party with full power of attorney from the Board of Directors of the concerned Company, or from the partners of the entity, or from the proprietor.

<u>JV/Consortium Partner</u>	<u>Name</u>	<u>Position in the respective Company</u>
M/s.....
M/s.....
M/s.....

4) PARTICIPATION SHARE & WORK RESPONSIBILITIES.

4.1 The parties agree that their respective participation share (hereinafter called 'Participation Share') in the Joint Venture/Consortium shall be as follows:

M/s.....:% (.....per cent)
M/s.....:% (.....per cent) and
M/s.....:% (.....per cent)

4.2 The Parties shall share the rights and obligations, risk, cost and expenses, working capitals, profits or losses or others arising out of or in relation to execution of the Project individually or collectively.

4.3 The parties shall jointly execute the works under the Project as an integrated entity and allocate responsibilities as regards division of work between themselves by organizing the adequate resources for successful completion of the Project. However, all parties shall remain jointly and severally responsible for the satisfactory execution of the Project in accordance with the Contract terms and conditions.

5) JOINT AND SEVERAL LIABILITIES.

All partner of Joint Venture/Consortium shall be liable jointly and severally during the Pre-qualification and Bidding process; and in the event the contract is awarded, during the execution of the Contract, in accordance with Contract terms.

6) WORKING CAPITAL



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During the execution of work/service, the requirement of Working Capital shall be met individually or collectively by the JV/CONSORTIUM partners.

7) BID SECURITY:

Bid Security, Performance Security and other securities shall be paid by the Joint Venture/Consortium except as otherwise agreed.

8) PERSONNEL & EQUIPMENT

Team of Managers / Engineers of all the partners of the Joint Venture/Consortium will form part of the core management structure and assist in execution of the project. The list of personnel and equipment proposed to be engaged for the Project by each Party will be decided by the management committee.

9) NON-PERFORMANCE OF RESPONSIBILITY BY ANY PARTY OF JOINT VENTURE/CONSORTIUM.

- a) As between themselves, each Party shall be fully responsible for the fulfillment of all obligations arising out of its scope of the work for the Project to be clarified subject to the Agreement between the Parties and shall hold harmless and indemnified against any damage arising from its default or non-fulfilment of such obligations.
- b) If any Party fails to perform its obligations described in this Agreement during the execution of the Project and to cure such breach within the period designated by the non-defaulting party, then the other party shall have the right to take up work, the interest and responsibilities of the defaulting party at the cost of the defaulting party.
- c) Stepping into the shoes of the existing partner of Joint Venture/Consortium with all the liabilities of the existing partner from the beginning of the contract with the prior approval of Company.
- d) Notwithstanding demarcation or allotment of work of between/amongst Joint Venture/Consortium partners, Joint Venture/Consortium shall be liable for non-performance of the whole contract irrespective of their demarcation or share of work.
- e) In case bid being accepted by Company, the payments under the contract shall only be made to the Joint Venture/Consortium and not to the individual partners.

10) BANK A/C.

Separate Bank A/c. shall be opened in the name of the Joint Venture/Consortium in a scheduled or Nationalized Bank in India as per mutual Agreement and all payments due to the Joint Venture/Consortium shall be received only in that account, which shall be operated jointly by the representative of the Parties hereto. The financial obligations of the Joint Venture/Consortium shall be discharged through the said Joint Venture/Consortium Bank Account only and also all the payments received or paid by Company to the Joint Venture/Consortium shall be through that account alone.



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11) LIMIT OF JOINT VENTURE/CONSORTIUM ACTIVITIES.

The Joint Venture/Consortium activities are limited to the bidding and in case of award, to the performance of the Contract for the Project according to the conditions of the Contract with the Employer.

12) TAXES.

Each Party shall be responsible for its own taxes, duties and other levies to be imposed on each party in connection with the Project. The taxes, duties and other levies imposed on the Joint Venture/Consortium in connection with the Project shall be paid from the account of the Joint Venture/Consortium.

13) EXCLUSIVITY

The Parties hereto agree and undertake that they shall not directly or indirectly either individually or with other party or parties take part in the Bid for the said Project. Each party further guarantees to the other party hereto that this undertaking shall also apply to its subsidiaries and companies under its direct or indirect control.

14) MISCELLANEOUS:

a. Neither party of the Joint Venture/Consortium shall assign, pledge, sell or otherwise dispose all or part of its respective interests in the Joint Venture/Consortium to all third party without the Agreement of the other party in writing.

b. Subject to the above Clause, the terms and conditions of this agreement shall be binding upon the parties, the Directors, Officers, Employees, Successors, Assigns and Representatives.

15) APPLICABLE LAW

This agreement shall be interpreted under laws and regulations of India.

IN WITNESS Whereof the parties hereto have hereunder set their respective hands and seals the day, month, year first above written.

For

For.....

Signature _____
(Name & Address)
(Official Seal)

Signature _____
(Name & Address)
(Official Seal)

Place

Place.....

Date
.....

Date

Witness
Signature
(Name & Address)

Witness
Signature
(Name & Address)



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ANNEXURE-III

PROFORMA FOR DECLARATION TOWARDS CODE OF INTEGRITY FOR PUBLIC PROCUREMENT TO BE ACCEPTED UNCONDITIONALLY BY BIDDER/S

(To be signed on Plain Paper)

To

Tender Inviting Authority,

.....

Sub: Declaration towards CIPP by Bidder

Ref: NIT No.:

Tender Id No:

Dear Sir,

I, Sri,, proprietor, representative, partner of I / We,
..... Proprietor/ Partner / Legal Attorney / Director/ Accredited
Representative of M/s Solemnly declare that:

1. I/we have read and examined the conditions of Code of Integrity for Public Procurement in respect to this contract as laid down in the General Terms and Conditions.
2. Without prejudice to and in addition to the rights of the Procuring Entity to other penal provisions as per the bid documents or contract, if the Tender Inviting Authority comes to a conclusion that a (prospective) bidder/contractor/ Supplier/ consultant/ service provider, directly or through an agent, has violated this code of integrity in competing for the contract or in executing a contract, actions deemed fit as per the punitive actions recommended in the tender document may be taken against me/us.
3. In-case the contract is awarded to me/us, I/we will submit a signed copy of Code of Integrity for Public Procurement, signed by All Partners/Authorized Signatory of the Bidder.

(For & On behalf of the Principal)

(For & On behalf of Bidder/ Contractor)

(Office Seal)

(Office Seal)

Place -----

Date -----

Witness 1:

(Name & Address)

Witness 2:

(Name & Address)



Code of Code of Integrity for Public Procurement (CIPP)

1. Introduction

Public procurement is perceived to be prone to corruption and ethical risks. To mitigate this, the officials of Procuring Entities involved in procurement and the bidders/ contractors must abide by the following Code of Integrity for Public Procurement (CIPP). All Procuring officials may be asked to submit sign declarations to this effect while processing PR on ERP of CIL. To implement it uniformly and mandatorily, this undertaking shall be in-built in the PR format in ERP of CIL. The bidders/ contractors should be asked to sign a declaration about abiding by a Code of Integrity for Public Procurement (including sub-contractors engaged by them) during submission of bid, with a warning that, in case of any transgression of this code, it would be liable for punitive actions such as cancellation of contracts, banning and blacklisting or action in Competition Commission of India, and so on.

2. Code of Integrity for Public Procurement

Procuring authorities as well as bidders, contractors and consultants should observe the highest standard of ethics and should not indulge in the following prohibited practices, either directly or indirectly, at any stage during the procurement process or during execution of resultant contracts:

- i) **“Corrupt practice”**: making offers, solicitation or acceptance of bribe, rewards or gifts or any material benefit, in exchange for an unfair advantage in the procurement process or to otherwise influence the procurement process or contract execution;
- ii) **“Fraudulent practice”**: any omission or misrepresentation that may mislead or attempt to mislead so that financial or other benefits may be obtained or an obligation avoided. This includes making false declaration or providing false information for participation in a tender process or to secure a contract or in execution of the contract;
- iii) **“Anti-competitive practice”**: any collusion, bid rigging or anti-competitive arrangement, or any other practice coming under the purview of The Competition Act, 2002, between two or more bidders, with or without the knowledge of the procuring entity, that may impair the transparency, fairness and the progress of the procurement process or to establish bid prices at artificial, non-competitive levels;
- iv) **“Coercive practice”**: harming or threatening to harm, persons or their property to influence their participation in the procurement process or affect the execution of a contract;
- v) **“Conflict of interest”**: A Bidder may be considered to have a Conflict of Interest with one or more parties in this bidding process, if:
 - a) they have controlling partner(s) in common; or



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- b) they receive or have received any direct or indirect subsidy/financial stake from any of them; or
- c) they have the same legal representative/agent for purposes of this bid; or
- d) they have business relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the bid of another Bidder; or
- e) a Bidder or any of its affiliate participated as a consultant in the preparation of the design or technical specification of the contract that is the subject of the bid; or
- f) in case of a holding Company having more than one Subsidiary/Sister Concern having common business ownership/management only one of them can bid. Bidders must proactively declare such sister/common business/management in same/similar line of Business;

all such Bidders having a Conflict of Interest, shall be disqualified.

The Bidders shall comply the above provision of “Conflict of Interest” and submit an undertaking with respect to Clause No. 4.2 (d) & 4.2 (e) in GTE.

Earnest Money deposited by such defaulting Bidders shall be forfeited and they shall be debarred from participating in future tenders in concerned Subsidiary/CIL HQ for a period of 12(twelve) months from the date of issue of such letter. In case of JV/CONSORTIUM/Partnership firm, the debarment shall also be applicable to all individual partners of JV/CONSORTIUM/Partnership firm.

Note (Not part of tender document):

In the above case, approval of Tender Accepting Authority shall be taken. In case Board/CMD of CIL/Subsidiary is Tender Accepting Authority, then the approval of CMD of CIL/Subsidiary is to be obtained. and

- vi) **“Obstructive practice”**: materially impede the procuring entity’s investigation into allegations of one or more of the above mentioned prohibited practices either by deliberately destroying, falsifying, altering; or by concealing of evidence material to the investigation; or by making false statements to investigators and/or by threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or by impeding the procuring entity’s rights of audit or access to information;

3. Obligations for Proactive Disclosures

- i) Procuring authorities as well as bidders, contractors and consultants, are obliged under Code of Integrity for Public Procurement to suo-moto proactively declares any conflicts of interest (coming under the definition mentioned above – pre-existing or as and as soon as these arise at any stage) in any procurement



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process or execution of contract. Failure to do so would amount to violation of this code of integrity; and

- ii) Any bidder must declare, whether asked or not in a bid document, any previous transgressions of such a code of integrity with any entity in any country during the last three years or of being debarred by any other procuring entity. Failure to do so would amount to violation of this code of integrity.
- iii) To encourage voluntary disclosures, such declarations would not mean automatic disqualification for the bidder making such declarations. The declared conflict of interest may be evaluated and mitigation steps, if possible, may be taken by the procuring entity. Similarly voluntary reporting of previous transgressions of Code of Integrity elsewhere may be evaluated and barring cases of various grades of debarment, an alert watch may be kept on the bidder's actions in the tender and subsequent contract.

4. Punitive Provisions

Without prejudice to and in addition to the rights of the procuring entity to other penal provisions as per the bid documents or contract, if the procuring entity comes to a conclusion that a (prospective) bidder/ contractor directly or through an agent, has violated this code of integrity in competing for the contract or in executing a contract, the procuring entity may take appropriate measures including one or more of the following:

- i) If his bids are under consideration in any procurement
 - a) Forfeiture or encashment of bid security;
 - b) calling off of any pre-contract negotiations; and
 - c) rejection and exclusion of the bidder from the procurement process
- ii) If a contract has already been awarded
 - a) Cancellation of the relevant contract and recovery of compensation for loss incurred by the procuring entity;
 - b) Forfeiture or encashment of any other security or bond relating to the procurement;
 - c) Recovery of payments including advance payments, if any, made by the procuring entity along with interest thereon at the prevailing rate;
- iii) Provisions in addition to above:
 - a) banning/ debarment of the bidder from participation in future procurements of the procuring entity for a period not less than one year;
 - b) In case of anti-competitive practices, information for further processing may be filed, with the Competition Commission of India;
 - c) Initiation of suitable disciplinary or criminal proceedings against any individual or staff found responsible.



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ANNEXURE-IV

BANK GUARANTEE FOR PERFORMANCE SECURITY

To

.....

Re: Bank Guarantee in respect of Contract No.....Dated.....
Between.....(Name of the Company) and.....(Name of the Contractor)

WHEREAS

..... (Name and address of the Contractor) (herein after called “the Contractor”) has entered into a contract made as per letter of acceptance..... dated..... (herein after called the said contract) with (name of the Company) (hereinafter called “the Company”) to execute (name of the contract and brief description of work) on the terms and conditions contained in the said Contract.

It has been agreed that the Contractor shall furnish a Performance Security in the shape of Bank Guarantee from a Scheduled Bank for a sum of Rs..... as security for due compliance and performance of the terms and conditions of the said Contract.

We..... (name of the Bank) having its Branch/Office at..... have, at the request of the Contractor, agreed to furnish this Bank Guarantee by way of Performance Security.

NOW, THEREFORE, we the..... Bank (herein after called The Bank) hereby, unconditionally and irrevocably, guarantee and affirm as follows:

The Bank do hereby irrevocably guarantee and unconditionally agree with the Company that if the Contractor shall in any way fail to observe or perform the terms and conditions of the said Contract or shall commit any breach of its obligation thereunder, the Bank shall on its mere first written demand, and without any objection, demur and without any reference to the Contractor, pay to the Company the said sum of or such portion as shall then remain due with interest without requiring the Company to have recourse to any legal remedy that may be available to it to compel the Bank to pay the sum, or failing on the Company to compel such payment by the Contractor.

Any such demand shall be conclusive as regards the liability of the Contractor to the Company and as regards the amount payable by the Bank under this Guarantee. The Bank shall not be entitled to withhold payment on the ground that the Contractor has disputed its liability to pay or has disputed the quantum of the amount or that any arbitration proceeding or legal proceeding is pending between the Company and the Contractor regarding the claim.

The Bank further agree that the Guarantee shall come into force from the date hereof and shall remain in force and effect till the period that will be taken for the performance of the said Contract which is likely to be day of but if the period of



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ANNEXURE-IV (PROFORMA OF BANK GUARANTEE FOR PERFORMANCE SECURITY)

Contract is extended either pursuant to the provisions in the said Contract or by mutual agreement between the Contractor and the Company, the Bank shall renew the period of the Bank Guarantee failing which it shall pay to the Company the said sum of or such lesser amount of the said sum of as may be due to the Company and as the Company may demand.

This Guarantee shall remain in force until the dues of the Company in respect of the said sum of and interest are fully satisfied and the Company certifies that the Contract has been fully carried out by the Contractor and discharged the guarantee.

The Bank further agrees with the Company that the Company shall have the fullest liberty without consent of the Bank and without affecting in any way the obligations hereunder to vary any of the terms and conditions of the said contract or to extend time for performance of the said contract from time to time or to postpone for any time or from time to time any of the powers exercisable by the Company against the Contractor and to forebear to enforce any of the terms and conditions relating to the said Contract and the Bank shall not be relieved from its liability by reason of such failure or extension being granted to the Contractor or to any forbearance, act or omissions on the part of the Company or any indulgence by the Company to the Contractor or any other matter or thing whatsoever which under the law relating to sureties would but for this provision have the effect of relieving or discharging the Guarantor.

The Bank further agrees that in case this Guarantee is required for a longer period and it is not extended by the Bank beyond the period specified above, the Bank shall pay to the Company the said sum of or such lesser sum as may then be deemed to the Company and as the Company may require.

This Bank Guarantee shall also be operative at our Branch located at (detailed address), from whom, confirmation regarding issue of this guarantee or extension/renewal thereof shall be made available on demand.

Any notice by way of request, demand or otherwise hereunder may be sent by post/e-mail/Fax addressed to the bank branch / operative branch, which shall be deemed to be a sufficient demand notice. Bank shall effect payment thereof forthwith.

The details of outstation Bank issuing the Bank Guarantee are as below.

- i) Complete Postal Address with PIN Code-
- ii) Branch Code-
- iii) IFSC Code –
- iv) SWIFT –
- v) Telephone No. –
- vi) Fax No. –
- vii) Email ID –

The details of Local Operating Branch of the Bank issued the Bank Guarantee are as below.

- i) Complete Postal Address with PIN Code-
- ii) Branch Code-



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- iii) IFSC Code –
- iv) SWIFT –
- v) Telephone No. –
- vi) Fax No. –
- viii) Email ID –

Whenever there is change in postal address and/or other details of this branch issued the guarantee and/or the operative branch, we(the issuing bank) will ensure to intimate respective Area, being the beneficiary, of such changed address, telephone number, fax number and e-mail ID.

Notwithstanding anything contained herein the liability of the Bank under this Guarantee is restricted to Rs..... The guarantee shall remain in force till the day* of* and unless the guarantee is renewed or claim is preferred against the Bank on or before the said date all rights of the Company under this guarantee shall cease and the Bank shall be relieved and discharged from all liabilities hereunder except as provided in the preceding Clause.

** The date of guarantee shall cover a period of minimum one year or 90 days beyond the date of completion whichever is more.*

This guarantee will not be discharged due to the change in the constitution of the Bank or the Contractor.

The Bank has under its constitution power to give this Guarantee and Sri..... who has signed it on behalf of the Bank has authority to do so.

Signed and sealed this..... day of.....at.....

SIGNED, SEALED AND
DELIVERED

For and on behalf of the Bank by:

(Signature)

(Name)

(Designation)

(Code number)

(Address)

“The Bank Guarantee as referred above shall be operative at our branch at..... payable at.....(NIT shall specify town/city of the operative Branch. Bank Guarantee shall specify name of the branch with address of the specified town/city)

NOTE: - The department shall ensure extension of guarantee period in case of extension of time.



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ANNEXURE-V

BANK GUARANTEE FOR RELEASE OF RETENTION MONEY DEDUCTED FROM RUNNING ON ACCOUNT BILLS

To

.....
.....

Re: Bank guarantee in respect of contract
No..... Dated.....
between (Name of the)
And (Name of the Contractor)

WHEREAS

..... (Name and address of the Contractor) (herein after called "the Contractor")
has entered into a contract dated.....(herein after called the said contract) with
..... (name of the Company) (hereinafter called "the Company") to execute
..... (name of the contract and brief description of work) on the terms and
conditions contained in the said contract.

It has been agreed that the Contractor shall furnish a Bank Guarantee from a
Scheduled Bank for a sum of Rs..... as security for release of equivalent
amount of Retention Money/Bid Security as per Terms and Conditions of the said
Contract.

We..... (name of the Bank) having its branch/Office at..... have, at the
request of the Contractor, agreed to furnish this bank Guarantee by way of Bid
Security.

NOW, THEREFORE, we the..... Bank (herein after called The Bank)
hereby, unconditionally and irrevocably, guarantee and affirm as follows:

The Bank do hereby irrevocably guarantee and unconditionally agree with the
Company that if the Contractor shall in any way fail to observe or perform the Terms
and Conditions of the said Contract or shall commit any breach of its obligation
thereunder, the Bank shall on its mere first written demand, and without any objection,
demur and without any reference to the Contractor, pay to the Company the said sum
of or such portion as shall then remain due with interest without requiring
the Company to have recourse to any legal remedy that may be available to it to
compel the Bank to pay the sum, or failing on the Company to compel such payment
by the Contractor.

Any such demand shall be conclusive as regards the liability of the Contractor to the
Company and as regards the amount payable by the Bank under this guarantee. The
Bank shall not be entitled to withhold payment on the ground that the Contractor has



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disputed its liability to pay or has disputed the quantum of the amount or that any arbitration proceeding or legal proceeding is pending between the Company and the Contractor regarding the claim.

The Bank further agree that the Guarantee shall come into force from the date hereof and shall remain in force and effect till the period that will be taken for the performance of the said Contract which is likely to be day of but if the period of Contract is extended either pursuant to the provisions in the said Contract or by mutual agreement between the Contractor and the Company, the Bank shall renew the period of the Bank Guarantee

failing which it shall pay to the Company the said sum of Rs..... or such lesser amount of the said sum of Rs..... as may be due to the Company and as the Company may demand.

This Guarantee shall remain in force until the dues of the Company in respect of the said sum of Rs..... and interest are fully satisfied and the Company certifies that the Contract has been fully carried out by the Contractor and he has discharged the guarantee.

The Bank further agrees with the Company that the Company shall have the fullest liberty without consent of the Bank and without affecting in any way the obligations hereunder to vary any of the Terms and Conditions of the said Contract or to extend time for performance of the said Contract from time to time or to postpone for any time or from time to time any of the powers exercisable by the Company against the Contractor and to forebear to enforce any of the terms & conditions relating to the said Contract and the Bank shall not be relieved from its liability by reason of such failure or extension being granted to the Contractor or to any forbearance, act or omissions on the part of the Company or any indulgence by the Company to the Contractor or any other matter or thing whatsoever which under the law relating to sureties would but for this provision have the effect of relieving or discharging the Guarantor.

The Bank further agrees that in case this Guarantee is required for a longer period and it is not extended by the Bank beyond the period specified above the Bank shall pay to the Company the said sum of Rs..... or such lesser sum as may then be deemed to the Company and as the Company may require.

This Bank Guarantee shall also be operative at our Branch located at(detailed address), from whom, confirmation regarding issue of this guarantee or extension/renewal thereof shall be made available on demand.

Any notice by way of request, demand or otherwise hereunder may be sent by post/e-mail/Fax addressed to the bank branch / operative branch, which shall be deemed to be a sufficient demand notice. Bank shall effect payment thereof forthwith.



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The details of outstation Bank issuing the Bank Guarantee are as below.

- i) Complete Postal Address with PIN Code-
- ii) Branch Code-
- iii) IFSC Code –
- iv) SWIFT –
- v) Telephone No. –
- vi) Fax No. –
- vii) Email ID –

The details of Local Operating Branch of the Bank issued the Bank Guarantee are as below.

- i) Complete Postal Address with PIN Code-
- ii) Branch Code-
- iii) IFSC Code –
- iv) SWIFT –
- v) Telephone No. –
- vi) Fax No.
- vii) Email ID –

Whenever there is change in postal address and/or other details of this branch issued the guarantee and/or the operative branch, we(the issuing bank) will ensure to intimate respective Area, being the beneficiary, of such changed address, telephone number, fax number and e-mail ID.

Notwithstanding anything contained herein the liability of the Bank under this Guarantee is restricted to Rs. The Guarantee shall remain in force till the day* of* and unless the Guarantee is renewed or claim is preferred against the Bank on or before the said date all rights of the Company under this Guarantee shall cease and the Bank shall be relieved and discharged from all liabilities hereunder except as provided in the preceding Clause.

- * The date of guarantee shall cover a period of minimum one year or 270 days beyond the date of completion whichever is more.

Any notice by way of request, demand or otherwise hereunder maybe sent by post/e-mail/Fax addressed to the bank branch/operative branch, which shall be deemed to be a sufficient demand notice. Bank shall effect payment thereof forthwith.

This Guarantee will not be discharged due to the change in the constitution of the Bank or the Contractor.

The Bank has under its constitution power to give this guarantee and Shri who has signed it on behalf of the Bank has authority to do so.

Signed and sealed this.....day of.....at.....

SIGNED, SEALED AND DELIVERED



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For and on behalf of the Bank by:
(Signature)
(Name)
(Designation)
(Code number)
(Address)

“The Bank Guarantee as referred above shall be operative at our branch at..... payable at.....(NIT shall specify town/city of the operative Branch. Bank Guarantee shall specify name of the branch with address of the specified town/city)”

NOTE:- The department shall ensure extension of guarantee period in case of extension of time.

Instructions to Bidders for both the above BGs

NOTES TO BANK GUARANTEES

While issuing the Bank Guarantee, the issuing bank may please note the following.

- The bank guarantees issued by the issuing bank on behalf of Contractor, supplier, customer in favour ofCoalfields Limited shall be in paper form as well as Structured Financial Messaging System (SFMS).
-(Company name) has chosen(Bank name) and(Bank name) to act advising/beneficiary bank of(Company name). The bank issuing the guarantee can choose either of these banks to send confirmation through SFMS.
- The details of beneficiary for issue of bank guarantee in SFMS platform is as furnished as below.

1.	Name and details of the Beneficiary	i.	Name	
		ii.	Area	
		iii.	Name of Bank	
		iv.	Bank Account No.	***
		v.	Department	**
2.	Beneficiary's Advising Bank, Branch and Address for Confirmation of BGs through SFMS	i.	Name of Bank	
		ii.	Bank Branch Name	***
		iii.	Branch Code	***
		iv.	Beneficiary Bank Branch IFSC	***
			Beneficiary Bank Address	***

* Name of the Area/HQ, to which the NIT is concerned, is to be mentioned.

** Name of Department of the Area/ HQ, to which the NIT is concerned, is to be mentioned

***Details of Bank Account, IFSC Code, Bank Address of the Area/HQ to which the NIT is concerned, is to be mentioned

- The Supplier / Contractor/ Customers are required to take note of it that above particulars are to be incorporated by the issuing bank properly while issuing the



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL **ANNEXURE-V (BANK GUARANTEE FOR RELEASE OF RETENTION MONEY DEDUCTED FROM RUNNING ON** **ACCOUNT BILLS)**

Bank Guarantee under SFMS mode to avoid any future problem in accepting the BGs.

- ii) The Guarantor (BG issuing bank) shall send information about issuance of this Guarantee through SFMS gateway to the(Bank name) (IFSC-_____) or(Bank name) (IFSC-_____), as the case may be, to aid in the process of confirmation of Bank Guarantee.
- iii) The Guarantor (BG issuing bank) shall also send information about issuance of this Guarantee to its local operating branch at _____ to aid in the process of confirmation as well as claim for encashment of Bank Guarantee.
- iv) The Original Bank Guarantee issued by the outstation bank shall be sent by the Issuing Bank to the Concerned Department of Head Quarters or Area of Coalfields Limited at (as the case may be) by Speed Post /Registered Post (AD).



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL ANNEXURE-VI (MANDATE FORM FOR ELECTRONIC FUND TRANSFER / INTERNET BANKING PAYMENT.)

ANNEXURE-VI

MANDATE FORM FOR ELECTRONIC FUND TRANSFER / INTERNET BANKING PAYMENT.

1. Name of the Bidder: _____
2. Address of the Bidder: _____
- City _____ PIN Code _____
- E- Mail Id _____
- Permanent Account Number _____

3. Particulars of Bank

Bank Name		Branch Name	
Branch Place		Branch City	
PIN Code		Branch Code	
MICR No.			
(9 Digits code number appearing on the MICR Band of the cheque supplied by the Bank. Please attach Xerox copy of a cheque of your bank for ensuring accuracy of the bank name, branch name and code number)			
RTGS CODE			
Account Type	Savings	Current	Cash Credit
Account Number(as appearing in the Cheque Book)			

4. Date from which the mandate should be effective.

I hereby declare that the particulars given above are correct and complete. If any transaction is delayed or not effected for reasons of incomplete or incorrect information. I shall not hold Company responsible. I also undertake to advise any change in the particulars of my account to facilitate updation of records for purpose of credit of amount through SBI Net / RTGS transfer. I agree to discharge responsibility expected of me as a participant under the scheme. Any bank charges levied by the bank for such e-transfer shall be borne by us.

Place:

Date:

Signature of the Party / Authorized Signatory

Certified that particulars furnished above are correct as per our records.

Banker's Stamp

Date

(Signature of the Authorized official from the Bank)



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL ANNEXURE-VII (PROFORMA FOR LETTER OF BID TO BE UPLOADED BY BIDDER DURING SUBMISSION OF BID ONLINE)

ANNEXURE-VII **PROFORMA FOR LETTER OF BID TO BE ACCEPTED UNCONDITIONALLY BY** **BIDDER DURING SUBMISSION OF BID ONLINE: (TO BE ACCEPTED THROUGH** **GTE)**

FORMAT OF “Letter of Bid” (for Works & Services Tenders)

To,
The Tender Inviting Authority.
.....Coalfields Limited

Sub: Letter of Bid for the work “_____” (to be filled by the department)

Ref:1. NIT No.: “_____” (to be filled by the department)

2. Tender Id No.: “_____” (to be filled by the department)

Dear Sir,

This has reference to above referred bid. I/we have read and examined the conditions of contract, Scope of Work, technical specifications, BOQ and other documents carefully.

I /We am/are pleased to submit our bid for the above work. I/We hereby unconditionally accept the bid conditions and bid documents as available in the website/e-Procurement portal, in its entirety for the above work and agree to abide by and fulfill all terms and conditions and specifications as contained in the bid document.

I/we here by submit all the documents as required to meet the eligibility criteria as per provision of the bid notice/document.

I/We hereby confirm that this bid complies with the Bid validity, Bid Security and other documents as required by the Bidding documents.

If any information furnished by me/us towards eligibility criteria of this bid is found to be incorrect at any time, penal action as deemed fit may be taken against me/us for which I/We shall have no claim against CIL/Subsidiary.

Until a formal agreement is prepared and executed, this bid and your subsequent Letter of Acceptance/Work Order shall constitute a binding contract between us and(*To be filled up by Department*) Coalfields Ltd.

Should this bid be accepted, we agree to furnish Performance Security within stipulated date and commence the work within stipulated date. In case of our failure to abide by the said provision(*To be filled up by Department*) Coalfields Ltd. shall, without prejudice to any other right or remedy, be at liberty to” cancel the letter of acceptance/ award and to forfeit the Earnest Money and also debar us from participating in future tenders for a minimum period 12 months” OR to act as specified in the NIT.



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL ANNEXURE-VIII (PROFORMA FOR UNDERTAKING TO BE ACCEPTED UNCONDITIONALLY BY BIDDER/S)

ANNEXURE-VIII

PROFORMA FOR UNDERTAKING TO BE ACCEPTED UNCONDITIONALLY BY BIDDER/S FOR GENUINENESS OF THE INFORMATION FURNISHED ONLINE AND AUTHENTICITY OF THE DOCUMENTS UPLOADED ONLINE IN SUPPORT OF HIS ELIGIBILITY : (TO BE ACCEPTED THROUGH GTE)

FORMAT OF UNDERTAKING

We solemnly declare that:

1. I/We am/are submitting Bid for the work against Tender id No. (to be entered by TIA) Dated..... and I/we offer to execute the work in accordance with all the terms, conditions and provisions of the bid.
2. All information furnished by us in respect of fulfilment of eligibility criteria and qualification information of this Bid is complete, correct and true.
3. All copy of documents, credentials and documents submitted along with this Bid are genuine, authentic, true and valid.
4. I/ We hereby authorize department to seek references / clarifications from our Bankers.
5. We hereby undertake that we shall register and obtain license from the Competent Authority under the contract labour (Regulation & Abolition Act) as relevant, if applicable.
6. I/We have not been debarred by any procuring entity for violation of Preference to Make in India (as applicable) vide Order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020, issued by Govt. of India as amended from time to time.
7. I/We do not have relationship with any other participating Bidders, directly or through common third parties, that puts us in a position to have access to information about or influence on the bid of another Bidder, or
8. I/We or any of my/our affiliate has/have not participated as consultant in the preparation of the design or technical specification of the contract that is the subject of the bid.
9. **Certificate regarding compliance to order no.F.No.6/18/2019-PPD dt 23/7/2020 as amended from time to time of Ministry of Finance, Dept of Expenditure, Public Procurement Division with respect to restrictions on procurement of goods, services or works from a Bidder of a country which shares a land border with India and on sub-contracting to Contractors from such countries** - I/we have read the Clause regarding restrictions on procurement from a Bidder of a country which shares a land border with India and on sub-contracting to Contractors from such countries; I/we certify that I am/ we are not from such a country or, if from such a country, has/have been registered with the Competent Authority and will not sub-contract any work to a



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-VIII (PROFORMA FOR UNDERTAKING TO BE ACCEPTED UNCONDITIONALLY BY BIDDER/S)

Contractor from such countries unless such Contractor is registered with the Competent Authority. I hereby certify that I/we fulfil all requirements in this regard and I am/ we are eligible to be considered.

(Where applicable, evidence of Competent Authority shall be attached.)

10. We hereby confirm that we shall deploy matching equipment, dumpers, tippers etc. of required capacity as per NIT either owned or through hiring.
11. If any information and document submitted is found to be false/ incorrect at any time, department may cancel my/our Bid and action as deemed fit may be taken against me/us, including termination of the contract, forfeiture of all dues and Debarment of our firm and all partners of the firm etc from Bidding, as per the tender document.

Note: Tender specific or for a particular category of tender, relevant Clauses may be added/ modified/ substituted while framing the standard NIT



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL ANNEXURE-IX (PROFORMA FOR UNDERTAKING TO BE UPLOADED BY BIDDER/S (ON THEIR LETTER HEAD))

ANNEXURE-IX

PROFORMA FOR UNDERTAKING TO BE UPLOADED BY BIDDER/S (ON THEIR LETTER HEAD) REGARDING RELATIVES AS EMPLOYEES OF COMPANY, ARBITRATION CLAUSE (IN CASE OF PARTNERSHIP FIRM/JV), LOCAL SUPPLIER STATUS OF THE BIDDER ETC.:

PROFORMA FOR UNDERTAKING

(To be uploaded by the Bidder on his Letter Head during submission of bid online)

I / We, _____,
Proprietor/Partner/Legal Attorney/Director/ Accredited Representative of M/s.
_____, solemnly declare that:

1. Myself/Our Partners/Directors don't has/have any relative as employee of Coal India Limited/Subsidiary.

OR

The details of relatives of Myself/Our Partners/Directors working as employee of Coal India Limited is as follows:

- a) Name of the employee
 - b) Place of posting
 - c) Department
 - d) Designation
 - e) Type of relation - Wife/Husband/ Father/ Step-Father/Mother / Step-Mother/ Son/Step-son/ Son's wife / Daughter / Daughter's Husband / Brother/ Step-Brother/ Sister / Stet-Sister.
2. *I/We hereby confirm that we have registration with CMPF / EPF Authorities. We shall make necessary payments as required under law.

Or

*I/We hereby undertake that we shall take appropriate steps for registration as relevant under CMPF / EPF authorities, if applicable. We shall make necessary payments as required under law.

* Delete whichever is not applicable.

3. ** I/We have not been debarred or banned or delisted by any Govt., or Quasi Govt. Agencies or PSUs.

Or

**I / Wehave been debarred or banned by the organization named " _____ " for a period of year/s, effective from to.....

** Delete whichever is not applicable.

4. We,(Name of Partners of Partnership Firm/Joint Venture), partners of(Name of Partnership Firm/Joint Venture) hereby consent to abide by the relevant provisions of General Terms and Conditions of CMM/MCEW pertaining to arbitration.



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ANNEXURE-IX (PROFORMA FOR UNDERTAKING TO BE UPLOADED BY BIDDER/S (ON THEIR LETTER HEAD))

(Applicable in case of Partnership firm/Joint Venture)

5. We certify that the works/services offered by us against the tender for the work "..... (Name of work)" against NIT No/Tender ID.
Dated....., meet the minimum local content requirement and has local content:

* Equal to or more than 50% (Select this, in case of Class-I Local Suppliers) i.e.....%
(indicating the percentage of local content)

* More than 20% but less than 50% (Select this, in case of Class-II Local Suppliers)
i.e.....% (indicating the percentage of local content)

*Delete whichever is not applicable.

Note: If the estimated value of procurement is more than Rs. 10 crores, all the Bidders shall submit along with this Undertaking, a certificate (with UDIN) from the statutory auditor or cost auditor of the company (in case of companies) or from a practicing cost accountant or practicing chartered account (in respect of suppliers other than companies) giving the percentage of local content.

6. Certificate regarding compliance to order no.F.No.6/18/2019-PPD dt 23/7/2020 as amended from time to time of Ministry of Finance, Dept of Expenditure, Public Procurement Division with respect to restrictions on procurement of goods, services or works from a Bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries - I/we have read the Clause regarding restrictions on procurement from a Bidder of a country which shares a land border with India and on sub-contracting to contractors from such countries; I/we certify that I am/ we are not from such a country or, if from such a country, has/have been registered with the Competent Authority and will not sub-contract any work to a contractor from such countries unless such contractor is registered with the Competent Authority. I hereby certify that I/we fulfil all requirements in this regard and I am/ we are eligible to be considered.

Note: Where applicable, evidence of Competent Authority shall be attached along with this Undertaking.

7. **I/We have not been debarred by any procuring entity for violation of Preference to Make in India (as applicable) vide Order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020, issued by Govt. of India as amended from time to time.

OR

**I / Wehave been debarred by.....(name of procuring entity) for violation of Preference to Make in India vide Order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020, issued by Govt. of India as amended from time to time for a period of.....year/s, effective from to.....

**Delete whichever is not applicable.

Note: A bidder who has been debarred by any procuring entity for violation of Preference to Make in India vide Order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020, issued by



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ANNEXURE-IX (PROFORMA FOR UNDERTAKING TO BE UPLOADED BY BIDDER/S (ON THEIR LETTER HEAD))

Govt. of India as amended from time to time shall not be eligible for preference under this Order for procurement by any other procuring entity for the duration of debarment.

8. I/we abide the Code of Integrity for Public Procurement (CIPP) as given in the tender document.

9. *I/we do not have any previous transgression of CIPP in last three years with any entity in any country.

Or

*I / We have been debarred by.....(name of procuring entity) for violation of Code of Integrity for Public Procurement (CIPP), for a period of..... year/s, effective from to.....

*Delete whichever is not applicable

10. If any information and document submitted is found to be false/ incorrect at any time, department may cancel my/our Bid and action as deemed fit may be taken against me/us, including termination of the contract, forfeiture of all dues and Debarment of our firm and all partners of the firm etc from Bidding, as per the tender document.



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-X (PROFORMA FOR INVITATION FOR NEGOTIATION)

ANNEXURE -X

Invitation and Declaration for Negotiations

(Refer Para 25.2(A) OF ITB)

Invitation for Negotiations

(On letterhead of the procuring entity)

No: _____

Dt: _____

To M/ s _____

Registered A/ D

Sub: **Tender No** ----- **opened on** ----- **for the supply of** -----

Dear Sir,

The rates quoted in your tender are considered high. You are therefore, requested to come for negotiations of rates, on..... (date) at.....(time) at.....(venue).

You should, however, come for negotiations only in case you are prepared to furnish before such date the declaration appended herewith.

A copy of the form in which you may submit your revised offer after negotiations is enclosed.

Yours faithfully,

(Authorised Officer)

Enclosure:

(1) Form of Declaration

(2) Form of Revised
Offer

FORM OF DECLARATION

(To be signed and submitted before start of negotiations)

(On company letterhead)

No: _____

Dt: _____

To _____

Sub: **Tender No** ----- **Opened on** ----- **for the supply of** -----

Ref: Your invitation for negotiations No: dated:

Dear Sir,

I _____ duly authorised on behalf of M/ s. _____ do declare that in the event of failure of the contemplated negotiations relating to Tender No. _____ opened on _____ my original tender shall remain open for acceptance on its original terms and conditions.

Yours faithfully,

Place: _____

Date: _____

Signatures of bidder, or officer
authorised to sign the bid documents
on behalf of the bidder



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL ANNEXURE-XI (PROFORMA FOR REVISED OFFER IN NEGOTIATION)

ANNEXURE-XI

Format of Revised Offer in Negotiations

(Refer Para 25.2(A) OF ITB)

Revised Offer in Negotiations

(On company letterhead)

From.....

Full address.....

To

Sir,

Sub: Tender No ----- opened on -----for the supply of -----

Ref: Your invitation for negotiations no: dated:

1. On further discussions with your representatives onin response to your letter no dated

We are not prepared to reduce the rates already quoted in the original tender, which will remain valid up to.....

Or

1. I/ we reduce my/ our rates as shown in the enclosed schedule of items.

2. I/ we am/ are aware that the provisions of the original bidding document remain valid and binding on me.

3. I/ we undertake to execute the contract as per following Schedule.....

4. I/ we agree to abide by this tender on the revised rate quoted by me/ us, it is open for acceptance for a period of 120/ 180 days from this date, i. e., up to and in default of my/ our doing so, I/ we will forfeit the earnest money deposited with the original tender/ attached herewith. Eligibility as valid tenderers shall be deemed to be the consideration for the said forfeiture.

Yours faithfully,

Signatures of bidder or

Officer authorised to sign the bid documents on behalf of the bidder



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-XII Letter of Acceptance (Specimen Copy)

ANNEXURE-XII

Letter of Acceptance

(Specimen Copy-Subsidiary Company may modify suitably)

To,
.....

This is to inform that your Bid dated _____ for execution of the
(name of the contract and identification number, as given in the Notice Inviting
Tender) for the contract Price of Rupees _____ (_____)
(amount in words and figures), is hereby accepted.

The time of completion for the work shall be days / month which shall be
counted from the expiry of 10(Ten) days from the issue of letter of acceptance/ work
order or handing over site of work whichever is later.

However, the date of commencement may be decided with mutual consent with the
Contractor on any date after issuance of Letter of Acceptance/Work Order or handing
over the site of work prior to the date as prescribed above. The payment for the work
done would be made only after execution of the agreement.

You are further advised to furnish Performance Security Deposit in the form as
detailed in relevant Clause of Instruction to Bidders for an amount of Rs.
..... within 21 days of issue of this letter of acceptance and sign the contract
failing which actions as stated in Clause No. 14.5 / 26 of Instruction to Bidders will be
taken.

.....



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-XIII Work Order

ANNEXURE-XIII

WORK ORDER

(Specimen Copy-Subsidiary Company may modify suitably)

..... COALFIELDS LTD
(A Subsidiary of Coal India Limited)
Office of the Area General Manager
Address.....

Ref. No.

Date:

To
M/s

Dear Sirs,

Sub: Name of the Work as NIT.
Ref: - 1. e-Tender Notice No.

Pursuant to the Tender Notice No. dated Tenders were invited for the above-mentioned work and were opened online on..... and you had submitted a tender/offer in response to the aforesaid Tender Notice as per the terms and conditions stipulated for submission of such tenders which form part of this Work Order.

2. The management of Coalfields Ltd. having decided to award the work in your favour, the work is awarded to you for a period of year (s) commencing from (date) on the following terms and conditions:

3. Work Description :
Quantity of
at the following rates :

Items of Work	Quantity	Rate per M.T./ Cu.m./unit	Rs.
(i).....
(ii).....
(iii).....
and so on			

TOTAL

4. Minimum quantity to be handled shall be M.T./ Cu.m. per day.

Security Deposit

5. Performance Security Deposit, Retention Money and Additional Performance Security if any. (As per relevant Clause of GTC)

Payment of Bills



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ANNEXURE-XIII Work Order

6. You shall submit monthly running account bills supported with receipt challans for the measurement of work/ certified by the official authorized by the Company's purpose.

Standard Deductions

- (a) 5% of the Running Account bill to be deducted till the recovery of 5% of the total value of the work order as Retention Money, (2nd Part of security deposit).
- (b) 2% of the Running Account bill to be deducted towards income tax.
- (c) Any other deduction as decided by Company.

Statutory Obligations - on Contractor's Account

7. Statutory obligations for engagement of contract labour (to be on Contractor's Account) are to be specified as under:

- (a) Maintenance of statutory records
- (b) Payment of workmen's compensation
- (c) Holding of license as required under statute
- (d) Compliance with the provisions of safety regulations
- (e) Provision of medicines/ medical facilities to workmen to be engaged by the Contractor.
- (f) Payment of wages/ other benefits to workers as per HPC wages/CIL Guidelines.

Penal Clauses/ Recovery of Damages

8. Penal Clauses/ recovery of damages are to be specified as under:

- (a) Any shortfall in the quantum of work as per Condition of Contract (Clause 6.2).
- (b) Forfeiture of Security Deposit due to unsatisfactory performance / violations/ breach of terms of contract.
- (c) Recovery of monetary loss/ damage to the Company arising out of any action on the part of the Contractor
- (d) Any other items of special nature for a particular unit.

Maintenance of Private Roads other than P.W.D. Roads where Trucks/ Tipping Trucks to Ply

9. It is the responsibility of the Contractor

Change in Scope/ Nature of Work During Progress of Work

10. It shall be dealt as per relevant Clauses of the NIT (Deviation Clauses, Clauses related to extension of Time etc.)

Appointment of Sub-Contractor by Contractor

11. No sub-letting of the work as a whole by the Contractor is permissible. Prior permission is required to be taken from the principle employer for engagement of sub-Contractors in hiring equipment contract for part work / piece rated work.

The works contract may provide for the contractor to get specified works executed from subcontractors included in the pre-qualification application or later agreed to by the Procuring Entity, with a caveat that the responsibility for all sub-contact work rests with the prime contractor. Sub-contracting may be for



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ANNEXURE-XIII Work Order

specialized items of work. Procurement of material, hiring of equipment or engagement of labour will not mean sub-contracting. The total value of subcontracted work should not exceed the percentage of the contract price specified in the contract (say 25%). Sub-contracting by the contractor without the approval of the Procuring Entity shall be a breach of contract, unless explicitly permitted in the contract.

Termination/ Cessation of Work with Notice

12. The management reserves the right to terminate the work by giving notice by displaying such notice on the colliery/ Area notice board, without assigning any reason. The measurement of the transportation in such eventuality be taken up to the date of such termination by the management.
13. The management reserves the right to terminate the contract under the following specific conditions/ circumstances:
 - (a) Unsatisfactory performance of the contracted work
 - (b) Involvement in action causing breach of peace and discipline within the Company/area premises.
 - (c) Failure to comply with terms and conditions of the contract
 - (d) Moral turpitude
 - (e) Violation of the provisions under various laws and awards in force from time to time as are applicable to the work
 - (f) Any action on the part of the Contractor which in the opinion of the management is detrimental to the interest of the Company.

Production of Evidence for Payment of Government Dues Connected with the Work

14. The Contractor is to produce evidence of payment of government dues (e.g. toll taxes, royalty for sand) which he is under legal obligation to pay to state government or any other legal authority to the Company every month.

Escalation in the Rates

15. Escalation in the rates shall not be considered under any circumstances as the rates have been quoted and Finalized for a given period for which the contract is to remain in force.

Fleet Strength

16. The Contractor shall submit to the Area General Manager concerned before commencement of the work, a list of trucks/ tipping trucks to be deployed for the work with respective registration marks and the names and addresses of the owners of vehicles not owned by the Contractor. Any change in the list furnished during progress of work shall be intimated immediately to the concerned Area General Manager.

Contractor's Representation at Site

17. The Contractor shall depute his agent/ representative to be in charge of the work during the period of contract. The agent/ representative shall receive instruction on the Contractor's behalf, from the Area General Manager or any official authorized by him for the purpose.



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ANNEXURE-XIII Work Order

18. The above terms and conditions are, however, subject to review by the Management and may be revised/ altered in the interest of the work as may be mutually agreed upon.

19. The work order is being issued to you in duplicate. Please return the duplicate copy duly signed on all pages, as a token of your acceptance.

Yours faithfully,

General Manager, Area

Copy To :

- 1) Concerned Technical Deptt.
- 2) General Manager CMC
- 3) Corporate Finance

NOTES :

- 1) Draft Work Order is only a specimen form content.
- 2) Additional Clauses e.g. Penal Clauses/ recovery of damages, termination Clauses, etc. may be inserted according to the requirement in a particular case.
(* * *This specimen Work Order is also applicable for Over burden/ Shale/ Extraneous material etc.. with appropriate modification mainly in the Description/ Details of work.*)



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-XIV AGREEMENT

ANNEXURE-XIV

Agreement

(Specimen Copy-Subsidiary Company may modify suitably)

This agreement, made the _____ day of _____ 200...between _____ (name and address of the Employer) (hereinafter called "the Employer" and _____ (name and address of the Contractor) (hereinafter called "the Contractor" of the other part)

Whereas the Employer is desirous that the Contractor execute _____ (name and identification number of Contract) (hereinafter called "the Works") and the Employer has accepted the Bid by the Contractor for the execution and completion of such Works and the remedying of any defects therein.

NOW THIS AGREEMENT WITNESSETH as follows:

1. In this agreement, works and expressions shall have the same meanings as are respectively assigned to them in the Conditions of Contract hereinafter referred to, and they shall be deemed to form and be read and construed as part of this agreement.
2. In consideration of the payments to be made by the Employer to the Contractor as hereinafter mentioned, the Contractor hereby covenants with the Employer to execute and complete the Works and remedy any defects therein in conformity in all respects with the provisions of the Contract.
3. The Employer hereby covenants to pay the Contractor in consideration of the execution and completion of the Works and the remedying of the defects wherein the Contract price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.
4. The following documents shall be deemed to form and be read and construed as part of this Agreement, viz.:
 - (i) Letter of Acceptance;
 - (ii) Notice to proceed with the work;
 - (iii) Contractor's Bid
 - (iv) Conditions of Contract
 - (v) Specifications
 - (vi) Drawings
 - (vii) Bill of Quantities and
 - (viii) Scope of work and Conditions of Contract
 - (ix) Integrity Pact
 - (x) Any other document listed in the bid document/ Contract as forming part of the contract

IN witness whereof, the parties thereto have caused this Agreement to be executed the day and year first before written



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-XIV AGREEMENT

The Common Seal of _____

was hereunto affixed in the presence of : _____

Signed, Sealed and Delivered by the said _____

in the presence of : _____

Binding Signature of Employer _____

Binding Signature of the Contractor

Signature of Witness
(Name , address & Date)



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CHAPTER 6 - HIRING OF EQUIPMENT FOR REMOVAL OF OVERBURDEN & EXTRACTION OF COAL

ANNEXURE-XII Notice Inviting Tender (Specimen Copy)

ANNEXURE-XII NOTICE INVITING TENDER

(Scope of Work indicating Quantity, value etc. with GST)
(Specimen Copy-Subsidiary Company may modify suitably)

(Standard NIT is to be approved and followed uniformly in line with provision of e-Procurement Guidelines and relevant ITB with the following minimum parameters)

1. Tenders are invited on-line on the website <https://coalindiatenders.nic.in> from the eligible Bidders having Digital Signature Certificate (DSC) issued from any agency authorized by Controller of Certifying Authority (CCA), Govt. of India and which can be traced upto the chain of trust to the Root Certificate of CCA, for the following work(s):

Description of work	Estimated Cost of Work (Without GST) (In Rs.)	GST on the Estimated Cost of Work (In Rs.)	Total Cost of the Work including GST (In Rs.)	Earnest Money (In Rs.)	Period of Completion (In Days)

Note: * Average quantity per day is _____

**The estimate is prepared at a base diesel price of Rs. _____ etc. and including GST@_____.

The details of the tender will be mirrored in the Central Public Procurement Portal <http://eprocure.gov.in> of Govt. of India.

2. Time Schedule of Tender:

Sl. No.	Particulars	Date	Time
1	Tender e-Publication date		
2	Document download start date		
3	Document download end date		
4	Bid Submission start date		
5	Bid submission end date		
6	Start date for seeking Clarification on-line		
7	Last date for seeking Clarification on-line		
8	Date of Pre-bid Meeting		
9	Part-I/Technical Bid Opening date		
10	Part-II/ Price Bid Opening date		

*Note:

- i. If number of bids received online is found to be less than three, then last date of submission of Bid and Technical Bid Opening date will be automatically extended for a period of Four days ending at _____ hrs. The auto extension shall



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work on the basis of number of bids received only. In case of holiday, the due date of opening will be extended to next working day.

- ii. This extension will be also applicable in case of receipt of zero bid.
- iii. Bidders will have right to modify / withdraw their bids during extended period of submission of bids.
- iv. After extension, as stated above the tender shall be opened irrespective of available No. of bids on the extended date of opening of tender.
- v. If the above extended date falls on Holiday i.e. a non-working day as defined in the e-procurement portal, then the same is to be re-scheduled to the next working day.
- vi. The validity period of the tender should be decided based on the final end date of submission of bids.
- vii. The Employer reserves the right to issue corrigendum/addendum and it shall be binding on part of the Bidders.

3. Earnest Money (EMD):

Rs.....(1.25 % of the annualized value of estimated cost/estimated cost whichever is less, rounded off to next hundred rupees subject to maximum of Rs.50 Lakhs.) as Earnest Money/ Bid Security.

- 3.1 The Bidder will have to make the payment of EMD through online mode only. In Online mode the Bidder can make payment of EMD either through net-banking from designated Bank/s or through NEFT/ RTGS from any scheduled Bank.

Net-Banking: In case of payment through net-banking the money will be immediately transferred to designated Account.

NEFT/ RTGS: In case of payment through NEFT/ RTGS the Bidder will have to make payment as per the Challans generated by system on e-Procurement portal before submission of bid. The EMD payment through NEFT/ RTGS mode should be made well ahead of time to ensure that the EMD amount is transferred to account before bid submission.

- 3.2 Bidder will be allowed to submit his/her bid only when the EMD is successfully received in designated account and the information flows from Bank to e-Procurement system.

- 3.3 Micro and Small Enterprises (MSEs) as defined in MSE Procurement Policy issued by Department of Micro, Small and Medium Enterprises (MSME) will be exempted from the payment of Earnest Money.

In case of exemption of EMD, the scanned copy of document in support of exemption will have to be uploaded by the bidder during bid submission. However, this option is to be enabled only in those cases where the exemption of EMD to some bidders is allowed as per NIT.

In online payment of EMD, if the payment is made by the Bidder within the last date & time of bid submission but not received by the Company within



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the specified period due to any reason then the bid will not be accepted. However, the EMD will be refunded back to the Bidder.

4. Pre-bid Meeting:

Pre-bid meeting will be held on..... at..... (time) in the office of, if specified under Instructions to Bidders. The purpose of the pre-bid meeting is to clarify the issues and to answer the questions on any matter that may be raised at that stage. Non-attendance at the pre-bid meeting will not be a cause for disqualification of Bidder and it shall be presumed that the Bidder does not require any clarification. If a Pre-Bid meeting is held then the minutes of the Pre-Bid meeting shall be uploaded on the Portal, before start date of bid submission which can be viewed by all interested Bidders.

5. Clarification of Bid:

The Bidder may seek clarification on-line within the specified period. However, the management will clarify as far as possible the relevant queries.

6. On-line user portal agreement:

The Bidders have to accept the on-line user portal agreement, which contains the acceptance of all the Terms and Conditions of NIT and tender document, undertakings and the e-Procurement system through <https://coalindiatenders.nic.in> in order to become an eligible Bidder. This will be a part of the Agreement.

7. Eligible Bidders:

1. The Invitation for Bid is open to all Bidders including an Individual, Proprietorship firm, Partnership firm, Company registered under Companies Act or Joint Ventures (JV)/Consortium. The Bidders shall be eligible to participate only if they fulfill the qualifying/eligibility criteria specified in NIT.
2. Joint Venture (JV)/Consortium: Two or three Companies/Contractors may jointly undertake contract/contracts. Each entity will be jointly and severally responsible for completing the task as per the contract.

Joint Venture/Consortium details:

Name of all members of a JV/CONSORTIUM (not more than 3):

1. Lead Member (minimum participation share – 50%)
2. Member (minimum participation share – 20%)
3. Member (minimum participation share – 20%)

Joint Venture/Consortium must comply the following requirements:

- i. The qualifying criteria parameter e.g. experience, financial resources (of the relevant period) and the equipment/fleet strength of the individual member of the JV/CONSORTIUM will be added together and the total criteria should not be less than as spelt out in qualifying/eligibility criteria as specified in e-tender Notice. However, the required Working Capital shall be met by individual members of JV/CONSORTIUM as spelt out in the relevant Clause.



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- ii. The formation of JV/CONSORTIUM or change in the JV/CONSORTIUM character/ members after submission of the bid and any change in the bidding regarding JV/CONSORTIUM will not be permitted.
- iii. The bid, and in case of a successful bid - the Agreement, shall be signed so as to legally bind all members jointly and severally and any bid shall be submitted with a copy of the JV/CONSORTIUM Agreement providing the joint and several liabilities with respect to the contract.
- iv. The pre-qualification of a JV/CONSORTIUM does not necessarily pre-qualify any of its member individually or as a member in any other JV/CONSORTIUM. In case of dissolution of a JV/CONSORTIUM, each one of the constituent firms may pre-qualify if they meet all the pre-qualification requirements, subject to written approval of the employer.
- v. The bid submission must include documentary evidence to the relationship between JV/CONSORTIUM members in the form of JV/CONSORTIUM Agreement to legally bind all members jointly and severally for the proposed Agreement which should set out the principles for the constitution, operation, responsibilities regarding work and financial arrangements, participation (percentage share in the total) and liabilities (joint and several) in respect of each and all of the firms in the JV/CONSORTIUM. Such JV/CONSORTIUM Agreement must evidence the commitment of the parties to bid for the facilities applied for (if pre-qualified) and to execute the contract for the facilities if their bid is successful.
- vi. One of the members shall be nominated as 'In-charge' of the contract and shall be designated as Lead Member. This authorization shall be evidenced by submitting with the bid a Power of Attorney signed by legally authorized signatories of all the members.
- vii. The JV/CONSORTIUM must provide that the Lead Member shall be authorized to incur liabilities and receive instructions for and on behalf of any and all members of the JV/CONSORTIUM and the entire execution of the contract shall be done with active participation of the Lead Member.
- viii. The contract agreement should be signed by each JV/CONSORTIUM members. Subsequent declarations/letters/documents shall be signed by Lead Member authorized to sign on behalf of the JV/CONSORTIUM or authorized signatory on behalf of JV/CONSORTIUM.
- ix. The bid should be digitally signed by a person authorized by all the members of the JV/CONSORTIUM.
- x. An entity can be a member in only one JV/CONSORTIUM. Bid submitted by JV/CONSORTIUMs including the same entity as member will be rejected.
- xi. The JV/CONSORTIUM Agreement may specify the share of each individual member for the purpose of execution of this contract. This is required only for the sole purpose of apportioning the value of the contract to that extent to



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individual member for subsequent submission in other bids if he intends to do so for the purpose of the qualification in that Bid.

- xii. The JV/CONSORTIUM agreement must specifically state that it is valid for the project for which bidding is done. If JV/CONSORTIUM breaks up midway before award of work and during bid validity period, bid will be rejected. If JV/CONSORTIUM breaks up midway before award of work and during bid validity/after award of work/during pendency of contract, in addition to normal penalties as per provision of bid document, all the members of the JV/CONSORTIUM shall be debarred from participating in future bids for a minimum period of 12 months.
 - xiii. JV/CONSORTIUM Agreement shall be registered in accordance with law so as to be legally valid and binding on the members before making any payment.
Note: If the work is awarded to a JV/CONSORTIUM firm, they will register the JV/CONSORTIUM Agreement under Registration Act in accordance with law.
 - xiv. JV/CONSORTIUM shall open a bank account in the name of JV/CONSORTIUM and all payments due to the JV/CONSORTIUM shall be credited by employer to that account only. To facilitate statutory deductions all statutory documents like PAN, GST registration etc. shall be submitted by JV/CONSORTIUM before making any payment.
 - xv. The JV/CONSORTIUM must enroll in the e-Procurement portal with the name of the firm as appearing in the JV/CONSORTIUM agreement.
 - xvi. If a Bidder participates as Joint Venture (JV)/Consortium, the benefits as per Public Procurement Policy for MSEs Order-2012 shall not be applicable for them.
- 3. Procurement from Micro and Small Enterprises (MSEs) shall be applicable for Service Tenders in accordance to the notification of Govt. of India and including its amendment(s) as notified by Gol from time to time.
 - 4. Preference to Make in India (as applicable) vide Order No. P-45021/2/2017-PP (BE-II) issued by Govt. of India as amended from time to time shall be applicable.

8. Eligibility Criteria to qualify for the award of contract and data/supporting documents to be uploaded online.

8.1 Eligibility criteria to qualify for award of the contract –

- a. Work Experience:** The Bidder must have experience of works (includes completed/ ongoing) of similar nature valuing 50 % of the Annualized estimated value of the work put to tender (for period of completion over 1 year) / 50 % of the Estimated value of the work (for completion period up to one year) put to Tender, in any year (consecutive 365 days) during last 7(seven) years



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ending last day of month previous to the one in which bid applications are invited.

Similar nature of works shall mean: Excavation & transportation of coal / soil/overburden/shale/ore/ minerals etc. by mechanized means. However, works of similar nature may be suitably defined by respective Subsidiaries based on its own necessity.

“Annualised value” of the work shall be calculated as the “(Estimated value/Period of completion in Days) x 365”.

The value of executed works shall be given a simple weightage to bring them at current price level by adding 7% for each completed year (total number of days/365) after the end date of experience till the last day of month previous to one in which e-Tender has been invited.

Note:

1. Till the time of changes in the e-procurement portal regarding weightage from 5% to 7% is configured in the portal, the 5% weightage shall be considered for work experience for floating of tender on NIC portal.
2. The definition of Similar Work to be given in the NIT should be broader, unambiguous, explicit and it should contain the predominant nature of tendered work. There should not be any scope for different interpretation by Bidder and the department with respect to “Similar nature of work” defined in the NIT.

[In case of JV/CONSORTIUM, Work Experience shall be met collectively by all the members.]

Information to be furnished online:

- I. Start date of the year for which work experience of Bidder is to be considered for eligibility.
- II. Start date & end date of each qualifying experience (similar nature).
- III. Work Order Number/Agreement Number of each experience
- IV. Name & address of Employer/Work Order Issuing authority of each experience
- V. Percentage (%) share of each experience (100% in case of an Individual/ Proprietorship firm or the actual % of share in case of a Joint Venture/Consortium/Partnership firm).
- VI. Executed Value of work against each experience
- VII. In case the Bidder is a Joint Venture/Consortium, the work experience of any one, two or three of the individual partners of JV/CONSORTIUM or the JV/CONSORTIUM itself may be furnished as the work experience of the Bidder.

Note:

Work experience criteria is not required for tender value below Rs.50 Lakh unless otherwise specified in the NIT.



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Supporting Documents to be uploaded online:

For work experience Bidders are required to submit Work Experience (includes completed / ongoing) Certificate issued by the employer against the Experience of similar work containing all the information as sought on-line.

Work order, BOQ, TDS etc. may be sought during clarification or along with deficient documents.

b. Working Capital: Evidence of possessing adequate working capital (at least 20% of the "Annualized value or Estimated value whichever is less" of this work) inclusive of access to lines of credit and availability of other financial resources to meet the requirement. The Bidder should possess the working capital within three months prior to the date of opening of tender.

[In case of JV/CONSORTIUM, the lead member shall have to possess at least 50% share and all other members shall have to possess at least 25% share in the Working Capital].

Information to be furnished online:

- i. Amount of available working capital inclusive of lines of credit and availability of other financial resources.
- ii. Date on which the Bidder possesses the required working capital.
- iii. Name of the Chartered Accountant (CA).
- iv. Membership Number of CA who certifies the Bidder's working capital on a particular date.
- v. Date of Issue of Certificate.

In case the Bidder is a Joint Venture/Consortium, the working capital of the individual members of the JV/CONSORTIUM will be added together.

Note:

Working Capital criteria is not required for tender below Rs.50 Lakh.

Supporting Documents to be uploaded online:

Certificate with UDIN of Working Capital issued by a Practicing Chartered Accountant having a membership number with Institute of Chartered Accountants of India containing the information as furnished by Bidder online.

c. Fleet Requirement: The Bidder is required to accept unconditionally in GTE (General Technical Evaluation) as an undertaking in the prescribed format to deploy matching equipment/tippers/pay loaders as per NIT either owned or hired.

Information to be furnished online:

Confirmation in the form of YES/NO regarding acceptance to deploy matching equipment/tippers/pay loaders as per NIT either owned or hired

d. PAN Card: PAN card issued by Income Tax department, Govt. of India (In case of JV/CONSORTIUM, PAN card for each Indian partner of



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JV/CONSORTIUM and Verifiable Tax Residency Certificate of respective country for each foreign partner or JV/CONSORTIUM itself).

Information to be furnished online:

In respect of the above eligibility criteria the Bidders are required to furnish the confirmation of possessing the Permanent Account Number (PAN), in the form of Yes/No.

Supporting Documents to be uploaded online (in BIDDER SPACE / MY DOCUMENT):

PAN card issued by Income Tax department, Govt. of India.

Note: In case of JV/CONSORTIUM, each Indian member of JV/CONSORTIUM should possess PAN and each foreign member should possess Verifiable Tax Residency Certificate of respective country or JV/CONSORTIUM itself should possess PAN.

e. GST Registration (Not Applicable for Exempted Services): The Bidder should be either GST Registered Bidder under regular scheme.

OR

GST Registered Bidder under composition scheme

OR

GST unregistered Bidder during bid submission as per above.

Information to be furnished online:

1. Confirmation in the form of Yes/No regarding possessing of required document as enlisted in NIT with respect to GST status of the Bidder.
2. Status of the Bidder in the BOQ excel sheet being uploaded by the Bidder during bid submission as per above.

Supporting Documents to be uploaded online (in BIDDER SPACE / MY DOCUMENT):

The following documents depending upon the status w.r.to GST as declared by Bidder in the BOQ sheet:

a) Status: GST registered Bidder under regular scheme

Document: GST Registration Certificate (i.e. GST identification Number) issued by appropriate authority of India.

b) Status: GST registered Bidder under composition scheme.

Document: GST Registration Certificate (i.e. GST identification Number) issued by appropriate authority of India.

c) Status: GST unregistered Bidder:

Document: A Certificate with UDIN from a practicing Chartered Accountant having membership number with Institute of Chartered Accountants of India certifying that the Bidder is GST unregistered Bidder in compliance with the relevant GST rules of India.



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(In case of JV/CONSORTIUM, Bidder should submit scanned copy of GST status of Lead Partner.).

Note: - If turnover of Bidder exceeds exemption limit, the Bidder must have GST registration as per GST Act and rules.

f. Legal Status of the Bidder: The Bidder should be Individual/ Proprietorship firm/ Partnership firm/ Company registered under Companies Act/Joint Venture/Consortium.

Supporting Documents to be uploaded online (in BIDDER SPACE / MY DOCUMENT):

The following documents in respect of Legal Status of a JV Bidder shall be uploaded in Bidder's Space by the JV/CONSORTIUM Bidder:

- i. Scanned copy of JV/CONSORTIUM Agreement containing name of partners and lead partner, Power of Attorney to the lead partner and share of each partner.
 - ii. Power of attorney of the respective partners from the Board of Directors of the concerned Company, or from the partners of the entity, or from the proprietor, authorizing the signatory of JV/CONSORTIUM agreement on behalf of them.
 - iii. The document(s) (any of them as applicable) regarding legal status of all the individual partners of JV/CONSORTIUM as mentioned below:
 - a) Affidavit or any other document to prove Proprietorship/Individual status of the Bidder.
OR
 - b) Partnership deed containing name of partners.
OR
 - c) Memorandum & Article of Association with certificate of incorporation containing name of Bidder.
2. The document(s) (any of them as applicable) regarding legal status of eligible Bidders other than JV/Consortium as mentioned below:
- a) Affidavit or any other document to prove Proprietorship/Individual status of the Bidder.
OR
 - b) Partnership deed containing name of partners.
OR
 - c) Memorandum & Article of Association with certificate of incorporation containing name of Bidder



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- g. Digital Signature Certificate (DSC):** The Bidders have to get themselves registered online on the e-Procurement portal of CIL (<https://coalindiatenders.gov.in>) with valid Digital Signature Certificate (DSC) issued from any agency authorized by Controller of Certifying Authority (CCA), Govt. of India and which can be traced up to the chain of trust to the Root Certificate of CCA. The registration should be in the name of Bidder, whereas DSC holder may be either Bidder himself or his duly authorized person.

If the Bidder himself is the DSC holder bidding on-line, then no document is required. However, if the DSC holder is bidding online on behalf of the Bidder then the Power of Attorney or any sort of legally acceptable document for the authority to bid on behalf of the Bidder.

h. Written Consent regarding Arbitration:

It shall be taken as an undertaking by the Bidder during submission of bid in case the Bidder is a Partnership Firm/Joint Venture/Consortium. For this a general form of undertaking has been specified in e-Procurement Guideline of Works and Services containing this Clause. For other category of Bidders acceptance of terms and condition through User Portal Agreement / LOB complies this requirement.

- i.** The Letter of Bid addressed to the Tender Inviting Authority (TIA) will be given in Tender document containing name of the work, NIT No., Tender ID. This will be the covering letter of the Bidder for his submitted bid. The Bidders have to accept unconditionally the Letter of Bid in GTE (General Technical Evaluation) at the time of bid submission. This online acceptance during bidding through GTE shall be construed as submission of LOB by bidder.

j. Restrictions on Public Procurement from certain countries:

The Undertaking of the Bidder regarding compliance to order No.F.No.6/18/2019-PPD dt 23/7/2020 as amended from time to time of Ministry of Finance, Dept of Expenditure, Public Procurement Division with respect to restrictions on procurement of goods, services or works from a Bidder of a country which shares a land border with India and on sub-contracting to Contractors from such countries will be given in the tender document. The Bidders have to accept unconditionally this condition in GTE (General Technical Evaluation) at the time of bid submission. This online acceptance during bidding through GTE shall be construed as acceptance of the Bidder for fulfilment of the requirements towards eligibility under this provision.

- k. Undertaking:** An undertaking is to be given on Bidder's letter head online as per the format given in the bid document.

- l.** The MSEs are required to submit copy of documentary evidence, issued by their registering authority whether they are small enterprise or micro enterprise as per provisions of Public Procurement Policy for Micro and Small Enterprise (MSEs) Order, 2012 with latest guidelines/clarifications provided by MoMSME.



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m. Purchase Preference under 'Make in India' Policy for "Local supplier".

Preference to Make in India (as applicable) vide Order No. P-45021/2/2017-PP (BE-II) dated 16.09.2020, issued by Govt. of India as amended from time to time shall be applicable.

In terms of the above said policy, purchase preference shall be given to Class-I local supplier.

In terms with the above said policy, Class-I local suppliers and Class-II local suppliers shall be eligible to bid.

The definitions of Class-I *Local Supplier*, Class-II local supplier, Non-Local supplier, *Local Content* and Margin of Purchase Preference as per above mentioned Order are as follows: -

- A. 'Class-I local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content equal to or more than 50%, as defined under said order.
- B. 'Class-II local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content equal to or more than 20% but less than 50%, as defined under said order.
- C. 'Non-Local supplier' means a supplier or service provider, whose goods, services or works offered for procurement, has local content less than 20% as defined under said order.
- D. '*Local Content*' means the amount of value added in India which shall be the total value of the item procured (excluding net domestic indirect taxes) minus the value of imported content in the item (including all customs duties) as a proportion of the total value, in percent.
- E. 'Margin of Purchase Preference' means the maximum extent to which the price quoted by a Class-I local supplier may be above the L1 for the purpose of purchase preference. The margin of purchase preference is 20%.

In respect of the above eligibility criteria the bidder is required to furnish the following information online:

Confirmation in the form of Yes/No regarding possessing of required document indicating percentage of local content as enlisted in NIT.

Note:-

- a) If the estimated value of Procurement is less than Rs. 10 crores, all the Bidders at the time of bidding shall submit either self-certification indicating the percentage of local content in the offered items in Undertaking as per format.



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- b) If the estimated value of procurement is more than Rs. 10 crores, all the Bidders shall submit along with its bid a certificate from the statutory auditor or cost auditor of the Company (in case of companies) or from a practicing cost accountant or practicing chartered account (in respect of suppliers other than companies) giving the percentage of local content.

Scanned copy of documents to be uploaded by bidder(s) in support of information / declaration furnished online by the bidder against Eligibility Criteria as Confirmatory Document.

8.2 Even though the Bidders meet the above eligibility criteria, they are subject to be disqualified:

1. If they have made misleading or false representations in the forms, statements, affidavits and attachments submitted in proof of the qualification requirements; and/ or;
2. On account of currency of debarment as applicable.

8.3 If the Bidder is a Subsidiary of a Company, the experience and resources of the Holding Company or its other Subsidiaries will not be taken into account. However, if the Bidder is a Holding Company, the experience and resources of its wholly owned Subsidiaries will be taken into consideration.

Notes: The documents to be furnished by the Bidder to prove that he is satisfying the eligibility criteria laid down should all be in the Bidders name except in cases where though the name has changed, owners continued to remain the same and in cases of amalgamation of entities and when a Holding Company relies on the credentials of its wholly owned Subsidiaries.

8.4 Sub-Contractors experience and resources will not be taken into account in determining the Bidder's compliance with eligibility criteria.

9. General Instructions for Submission of Bid (As per e-Procurement Guidelines)

- a. All the bids are to be submitted online on e-procurement portal of CIL. No bid shall be accepted offline.
- b. In order to submit the Bid, the Bidders have to get themselves registered online on the e-Procurement portal of CIL with valid Digital Signature Certificate (DSC) issued from any agency authorized by Controller of Certifying Authority (CCA), Govt. of India and which can be traced up to the chain of trust to the Root Certificate of CCA. The online Registration of the Bidders on the portal will be free of cost and one-time activity only. The registration should be in the name of Bidder, whereas DSC holder may be either Bidder himself or his duly authorized person. The Bidder is one whose name will appear as Bidder in the e-Procurement Portal.
- c. The Bidders have to accept unconditionally the online user portal agreement which contains the acceptance of all the Terms and Conditions of NIT including General and Special Terms & Conditions and other conditions, if any, along with on-line undertaking in support of the authenticity of the declarations



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regarding the facts, figures, information and documents furnished by the Bidder on-line in order to become an eligible Bidder. No conditional bid shall be accepted.

d. Letter of Bid: The Letter of Bid addressed to the Tender Inviting Authority (TIA) will be given in Tender document containing name of the work, NIT No., Tender ID. This will be the covering letter of the Bidder for his submitted bid. The Bidders have to accept unconditionally the Letter of Bid in GTE (General Technical Evaluation) at the time of bid submission. This online acceptance during bidding through GTE shall be construed as submission of LOB by bidder.

e. Confirmatory Documents:

All the Confirmatory documents as enlisted in the NIT in support of online information furnished by the Bidder are to be uploaded in Cover-I by the Bidder while submitting the bid online.

f. Price Bid (in Cover-II):

The Price bid containing the Bill of Quantity will be in .xls format (password protected) and will be uploaded during tender creation. This will be downloaded by the Bidder and he will quote the rates for all items on this Excel file. Thereafter, the Bidder will upload the same Excel file during bid submission in Cover-I/ Cover-II, as specified for One Part system and in Cover-II for Two Part system. The Price-bid will be in Item Rate or Percentage Rate BOQ or Mixed Rate BOQ format and the Bidder will have to quote for all the tendered items as per NIT and the L-1 will be decided on overall quoted value (i.e. Cost to company). The Price-bids of the tenderers will have no condition. The rate to be quoted will be exclusive of GST but inclusive of all other Taxes and duties as applicable on the last date of submission of Bid. GST is to be shown separately on the overall value of the work. The Price Bid which is incomplete and not submitted as per instruction given above will be rejected.

10. Validity Period of Offer

The rates offered in Part II (Price Bid) should be valid for 120 days after the last date of submission of Bid.

11. Opening of Bids

As per provisions in the NIT in line with e-Procurement Guidelines.

12. The Company is not under any obligation to accept the lowest Bid/Bids and reserves the right to reject any or all the Bids without assigning any reason whatsoever, and also to distribute the work and allot the work/works to more than one Bidder or accept the tender in part and not in its entirety, at its sole discretion.

13. The Company reserves the right to extend the date of submission and opening of bid or to cancel the bid without assigning any reason whatsoever.

Any addendum/corrigendum/date extension etc. in respect of above tender shall be issued on our websiteonly. No separate notification shall be issued in the press. Bidders are therefore requested to visit our website regularly to keep themselves updated.



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ANNEXURE-XII Notice Inviting Tender (Specimen Copy)

14. A) User portal agreement of e-Procurement portal shall be made a part of Contract document.
B) The provisions regarding notification of award, formation of agreement, acceptance/rejection of Bid, cancellation/award with respect to the Tender etc shall be the Part of NIT.
15. The Bidder is required to sign the pre-contract integrity pact as per proforma given in the Bid. (Applicable on Estimated cost for bids as specified by CIL from time to time).

Shri..... has/have been nominated as Independent External Monitor for this tender, whose contact details are as under.

Name	Address	Contact Number

Signature



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ANNEXURE-XIII SAMPLE CHECKLIST OF WORK EXPERIENCE CERTIFICATE

THIS IS A SAMPLE CHECKLIST FOR WORK EXPERIENCE CERTIFICATE. (FOR REFERENCE PURPOSE ONLY).

The Work Experience Certificate issued by Employer (Principal Employer as applicable) should contain the following important parameters in line with the information furnished by the bidder online:

1. Name of Work: (Should be as per the Similar Nature of Work and should be matching with the Name of work mentioned in Work Order or Agreement).
2. Work Experience Certificate Reference No.: (should contain Reference No. and issuing date.)
3. Work Order Ref Number: (Work Order Reference Number should be clearly mentioned).
4. Agreement Ref Number: (Agreement Reference Number should be clearly mentioned) (As applicable).
5. Name of Contractor: (In case of a JV/CONSORTIUM, Share of each JV/CONSORTIUM Partner).
6. Name & Address of Employer/Work Order Issuing authority of experience.
7. Start Date & End Date of Qualifying Experience: (Should be maximum consecutive 365 days and should be within the period [1 year (consecutive 365 days)] declared online for consideration of eligibility).
8. Executed Value of Work: (Should be for the period as declared online)

NOTE:

1. For eligibility Total Amount of Work Experience (adding all the Experience Value during the consecutive 365 days declared online) should be at least 50% of the Annualized value or estimated value whichever is less.
2. In case of JV/CONSORTIUM, above documents of partner(s) shall be submitted and Work Experience shall be met collectively by all the partner/ members.



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ANNEXURE-XIV SAMPLE CHECKLIST OF WORKING CAPITAL CERTIFICATE

THIS IS A SAMPLE CHECKLIST FOR WORKING CAPITAL CERTIFICATE. (FOR REFERENCE PURPOSE ONLY).

The Working Capital Certificate issued by CA should contain the following important parameters in line with the information furnished by the bidder online:

1. Name of Bidder:
2. Amount of Available Working Capital inclusive of lines of credit and availability of other financial resources:

SI No.	Particulars	Value in Rs.
(1)	(2)	(3)
1	Current Asset (CA)	
2	Current Liability (CL)	
3	Working Capital (1-2)	
4	Access to lines of credit and availability of other financial resources	
5	Working Capital inclusive of Access to lines of credit and availability of other financial resources (3+4)	

Note: For eligibility Amount should be at least 20% of the Annualized value or Estimated value whichever is less.

3. Date on which bidder possess working capital: (Should be within 3 months prior to the date of opening of tender).
4. Name of Chartered Accountant (CA) with Membership No.:
5. Date of issue of Certificate: (Should be within 3 months prior to the date of opening of tender).
6. Certificate should be issued by Practicing CA (having Membership No.) containing UDIN No.

NOTE:

1. Access to line of Credit and availability of other financial resources shall imply the Net availability of Funds* towards Working Capital, as on the date on which bidder possesses working capital.

* The net availability of funds is the availability of unutilized fund.

2. In case of JV/CONSORTIUM Bidder needs to submit the Working Capital Certificate of individual Partners and the requirement of Working Capital shall be met as per following proportion:
 - i. The lead member shall have to possess at least 50% share in the required Working Capital in order to qualify in this tender.
 - ii. All other members shall have to possess at least 25% share in the required Working Capital, in order to qualify in this tender.